

[Redacted]

26 April 2023

Dear [Redacted]

Freedom of Information request: FOI2023/00180

Thank you for your Freedom of Information request received on the 3 April in which you requested the following:

Your request:

For the SMART October 2022 competition, for both the <18 month (<£500k) and >18 month streams:

1. The number of applications
2. The number of successful applications
3. The success rate
4. The minimum successful score
5. The maximum successful score
6. Maximum score of failed applications
7. Average grant fund value per project
8. The score distributions of the applications (e.g. % (or number) of applications which scored <70, 71-75, 76-80, 81-85, 86+)
9. The count of successful projects by innovation area for each strand.
10. The number of 1) applications and 2) successful projects with total project cost within £100k increments across both Streams.
11. The total amount of funding allocated

Our response

I can confirm that UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

	Stream 1 (6-18 months)	Stream 2 (19-36 months)	Notes
1. The number of applications.	Applications are not divided by stream prior to eligibility checks being completed. There were 902 applications submitted.		
2. The number of successful applications.	32	6	Total: 38
3. The success rate.	4.62%	8.33%	
4. The minimum successful score.	84.30%	80.70%	
5. The maximum successful score.	92%	89.20%	
6. Maximum score of failed applications.	84%	80.30%	
7. Average grant fund value per project.	£317,379.00	£817,520.00	

8. The **score distributions** of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).

%	Stream 1 (6-18 months)	Stream 2 (19-36 months)
<70	403	31
70.1-75	137	17

75.1-80	94	15
80.1-85	57	5
85.1-90	21	3
90.1-95	2	0
95.1-100	0	0

9. The count of **successful** projects by innovation area for each strand.

Stream 1 (6-18 months)

Innovation Area	Total
Agricultural productivity	1
Biosciences	5
Diagnostics, medical technology and devices	3
Digital health	1
Digital industries	4
Digital technology	7
Electronics, sensors and photonics	1
Enhancing food quality	1
Independent living and wellbeing	1
Preclinical technologies and drug target discovery	3
Sustainable materials	2
Therapeutic and medicine development	1
Not Specified	2
Grand Total	32

Stream 2 (19-36 months)

Innovation Area	Total
Additive layer manufacturing (ALM)	1
Offshore wind	1
Resource efficiency	2
Robotics and autonomous systems	1
Sustainable materials	1
Grand Total	6

10. the number of 1) applications and 2) successful projects with total project cost within £100k increments across both Streams.

Stream 1 (6-18 months)

Funding	Applications - All	Applications - Successful
£100,000 - £200,000	74	0
£200,000 - £300,000	95	2
£300,000 - £400,000	126	2
£400,000 - £500,000	419	28

Stream 2 (19-36 months)

Funding	Applications - All	Applications - Successful
£100,000 - £200,000	0	0
£200,000 - £300,000	1	0
£300,000 - £400,000	2	0
£400,000 - £500,000	2	0
£500,000 - £600,000	1	0
£600,000 - £700,000	6	0
£700,000 - £800,000	6	1
£800,000 - £900,000	5	0
£900,000 - £1,000,000	6	2

£1,000,000 - £1,100,000	6	2
£1,100,000 - £1,200,000	6	0
£1,200,000 - £1,300,000	5	0
£1,300,000 - £1,400,000	3	0
£1,400,000 - £1,500,000	3	0
£1,500,000 - £1,600,000	2	0
£1,600,000 - £1,700,000	2	0
£1,700,000 - £1,800,000	2	0
£1,800,000 - £1,900,000	3	0
£1,900,000 - £2,000,000	10	1

11. *The total amount of funding allocated*

As per the information provided in the [online competition brief](#)¹ this is up to £25M across both streams. The amount of funding awarded to each organisation will be published [as part of transparency data](#)² in due course.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact within the next 40 working days:

Head of Information Governance
Email: foi@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: www.ico.org.uk.

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,


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UK Research and Innovation
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¹ <https://apply-for-innovation-funding.service.gov.uk/competition/1330/overview/314566f0-6e69-4516-a0a2-31c9182c4a36>

² <https://www.ukri.org/publications/innovate-uk-funded-projects-since-2004/>