

[REDACTED]

13 February 2023

Dear [REDACTED],

**Freedom of Information request: FOI2023/00011**

Thank you for your Freedom of Information request received on the 16 January in which you requested the following:

**Your request:**

*For the SMART April 2022 and July 2022 competitions, for both the <18 month (<£500k) and >18 month streams:*

- 1. The number of applications*
- 2. The number of successful applications*
- 3. The success rate*
- 4. the minimum successful score*
- 5. the maximum successful score*
- 6. maximum score of failed applications*
- 7. average grant fund value per project*
- 8. the score distributions of the applications (e.g. % (or number) of applications which scored <70, 71-75, 76-80, 81-85, 86+)*
- 9. the count of successful projects by innovation area for each strand.*
- 10. the number of 1) applications and 2) successful projects with total project cost within £100k increments across both Streams.*

**Our response**

I can confirm that UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

For April 2022, the answers are below.

**Table 1: Questions 1-7**

	Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)
<b>1. The number of applications</b>	Applications are not divided by stream prior to eligibility checks being completed. There were 950 applications submitted.	

<b>2. The number of successful applications</b>	65	7
<b>3. The success rate</b>	9.5%	7.1%
<b>4. The minimum successful score</b>	81%	84.6%
<b>5. The maximum successful score</b>	92.7%	92.7%
<b>6. Maximum score of failed applications</b>	80.8%	84%
<b>7. Average grant fund value per project (successful projects only)</b>	£303,865	£736,953

**Table 2: Question 8 – Score distributions**

%	Stream 1 (6 - 18 month projects)	Stream 2 (19 - 36 month projects)
0-70	430	47
70.1-75	115	15
75.1-80	67	18
80.1-85	62	12
85.1+	11	6
<i>Total</i>	685	98

**Table 3: Question 9 – Innovation Area (successful)**

Stream 1 (6-18 month projects)		Stream 2 (19 - 36 month projects)	
Innovation area	Number of applications	Innovation area	Number of applications
Additive layer manufacturing (ALM)	1	Agricultural productivity	1
Agricultural productivity	1	Chemical / bio processes	1
Assembly / disassembly / joining	1	Diagnostics, medical technology and devices	1
Biosciences	4	Digital technology	3
Chemical / bio processes	1	Emerging technology	1
Connected transport	1	<i>Grand Total</i>	7
Creative industries	1		
Diagnostics, medical technology and devices	5		
Digital health	9		
Digital industries	9		
Digital technology	5		
Electronic materials and manufacturing	1		
Electronics manufacturing	1		
Electronics, sensors and photonics	2		
Emerging technology	2		
Energy - other	1		
Materials, process and manufacturing design technologies	6		
Not specified	5		
Polymers and plastics	2		
Preclinical technologies and drug target discovery	1		
Rail transport	1		
Smart infrastructure	2		

Sustainable materials	2		
Therapeutic and medicine development	1		
<i>Grand Total</i>	65		

**Table 4: Question 10 – Total Project Costs (All)**

Stream 1 (6 - 18 month projects)			Stream 2 (19 - 36 month projects)		
Total project costs	Number of applications (All)	Number of Applications (Successful)	Total project costs	Number of applications (All)	Number of Applications (Successful)
£100,000 - £200,000	92	1	£100,000 - £200,000	0	0
£200,000 - £300,000	90	5	£200,000 - £300,000	0	0
£300,000 - £400,000	123	11	£300,000 - £400,000	4	0
£400,000 - £500,000	380	48	£400,000 - £500,000	3	0
<b>Total</b>	<b>685</b>	<b>65</b>	£500,000 - £600,000	5	2
			£600,000 - £700,000	6	0
			£700,000 - £800,000	10	1
			£800,000 - £900,000	6	0
			£900,000 - £1,000,000	8	0
			£1,000,000 - £1,100,000	6	0
			£1,100,000 - £1,200,000	7	1
			£1,200,000 - £1,300,000	4	2
			£1,300,000 - £1,400,000	8	0
			£1,400,000 - £1,500,000	4	0
			£1,500,000 - £1,600,000	6	0
			£1,600,000 - £1,700,000	5	1
			£1,700,000 - £1,800,000	1	0
			£1,800,000 - £1,900,000	5	0
			£1,900,000 - £2,000,000	10	0
			<b>Total</b>	<b>98</b>	<b>7</b>

For July 2022, the answers are below.

**Table 1 – Questions 1 - 7**

	Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)
<b>1. The number of applications.</b>	Applications are not divided by stream prior to eligibility checks being completed. There were 1019 applications submitted.	
<b>2. The number of successful applications.</b>	41	4
<b>3. The success rate.</b>	5.2%	4.2%
<b>4. The minimum successful score.</b>	84%	86.25%
<b>5. The maximum successful score.</b>	91.7%	89.1%
<b>6. Maximum score of failed applications.</b>	83.3%	85.8%
<b>7. Average grant fund value per project. (successful projects only)</b>	£299,385	£642,938

**Table 2: Question 8 – Score distributions**

%	Stream 1 (6-18 month projects)	Stream 2 (19 - 36 month projects)
0-70	454	46
70.1-75	122	14
75.1-80	120	14
80.1-85	64	16
85.1-100	25	6
<i>Total</i>	<i>785</i>	<i>96</i>

**Table 3: Question 9 – Innovation Area (successful)**

Stream 1 (6-18 month projects)		Stream 2 (19 - 36 month projects)	
Innovation area	Number of successful applications	Innovation area	Number of successful applications
Additive layer manufacturing (ALM)	0	Aerospace	0
Advanced therapies	1	Agricultural productivity	1
Aerospace	0	Assembly / disassembly / joining	0
Agricultural productivity	1	Biosciences	1
Assembly / disassembly / joining	0	Chemical / bio processes	0
Biosciences	1	Composite materials	0
Ceramic / electronic / functional materials	0	Connected and autonomous vehicles	0
Chemical / bio processes	0	Diagnostics, medical technology and devices	1
Composite materials	0	Digital health	0
Connected and autonomous vehicles	2	Digital industries	0
Connected transport	0	Digital manufacturing	0
Creative industries	1	Digital technology	0
Diagnostics, medical technology and devices	3	Emerging technology	0
Digital health	4	Energy - other	0
Digital industries	7	Energy efficiency	0
Digital manufacturing	0	Enhancing food quality	0
Digital technology	4	Marine transport	0
Electronic materials and manufacturing	1	Material recovery and treatment	0
Electronics manufacturing	0	Materials, process and manufacturing design technologies	1
Electronics, sensors and photonics	0	Offshore wind	0
Emerging technology	3	Polymers and plastics	0
Energy - other	0	Rail transport	0
Energy and automotive	0	Resource efficiency	0

Energy efficiency	0	Robotics and autonomous systems	0
Energy systems	1	Sensor and instrument design or manufacture	0
Enhancing food quality	1	Smart infrastructure	0
Forming technologies	0	Space technology	0
Independent living and wellbeing	2	Sustainable materials	0
Low carbon vehicles	1	Not specified	0
Marine transport	0	<i>Grand Total</i>	<i>4</i>
Material recovery and treatment	1		
Materials, process and manufacturing design technologies	0		
Metals / metallurgy	0		
Nanotechnology / nanomaterials	0		
Offshore wind	0		
Other transport	0		
Polymers and plastics	0		
Precision medicine	1		
Preclinical technologies and drug target discovery	0		
Rail transport	1		
Resource efficiency	0		
Robotics and autonomous systems	1		
Satellite applications	0		
Sensor and instrument design or manufacture	0		
Smart infrastructure	0		
Space technology	1		
Surface engineering	1		
Surface engineering, coatings and thin films	0		
Sustainable materials	0		
Therapeutic and medicine development	0		
Urban living	1		
Not specified	1		
<i>Grand Total</i>	<i>41</i>		

**Table 4: Question 10 – Total Project Costs (All)**

Stream 1 (6 - 18 month projects)			Stream 2 (19 - 36 month projects)		
Total project costs	Number of applications (All)	Number of Applications (Successful)	Total project costs	Number of applications (All)	Number of Applications (Successful)
£100,000 - £200,000	80	2	£100,000 - £200,000	0	0
£200,000 - £300,000	111	5	£200,000 - £300,000	2	0

£300,000 - £400,000	126	3	£300,000 - £400,000	3	0
£400,000 - £500,000	468	31	£400,000 - £500,000	4	0
<b>Total</b>	<b>785</b>	<b>41</b>	£500,000 - £600,000	4	1
			£600,000 - £700,000	9	1
			£700,000 - £800,000	7	0
			£800,000 - £900,000	7	0
			£900,000 - £1,000,000	5	0
			£1,000,000 - £1,100,000	10	0
			£1,100,000 - £1,200,000	8	1
			£1,200,000 - £1,300,000	5	0
			£1,300,000 - £1,400,000	3	1
			£1,400,000 - £1,500,000	5	0
			£1,500,000 - £1,600,000	5	0
			£1,600,000 - £1,700,000	4	0
			£1,700,000 - £1,800,000	3	0
			£1,800,000 - £1,900,000	2	0
			£1,900,000 - £2,000,000	10	0
			<b>Total</b>	<b>96</b>	<b>4</b>

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:


Head of Information Governance  
Email: [foi@ukri.org](mailto:foi@ukri.org)

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: [www.ico.org.uk](http://www.ico.org.uk).

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,

  
Information Governance  
Information Rights Team  
UK Research and Innovation  
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