



20 August 2021

Dear [REDACTED],

Freedom of Information request: FOI2021/00350

Thank you for your Freedom of Information request received on the 2 August in which you requested the following:

Your request:

*If the information is available and you are able to share it could you please answer the following requests:
For the SMART January 2021 competition, for both the <18 month (<£500k) and >18 month streams:*

- 1. The number of applications*
- 2. The number of successful applications*
- 3. The success rate*
- 4. the minimum successful score*
- 5. the maximum successful score*
- 6. maximum score of failed applications*
- 7. average grant fund value per project*
- 8. total funds allocated*
- 9. the score distributions of the applications (e.g. % (or number) of applications which scored <70, 71-75, 76-80, 81-85, 86+)*
- 10. the count of successful projects by innovation area for each strand.*

I would also like to request the number of unsuccessful applications, if any, which scored above the minimum funded score, please.

Our response

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information below.

Information in relation to questions 1 to 7 is available in table 1 for both stream 1 and stream 2.

Table 1: Questions 1-7

	Stream 1 (6-18 month projects)	Stream 2 (19 - 36 month projects)
The number of applications	2014	317
The number of successful applications	62	11
The success rate	3.07%	3.47%
The minimum successful score	85.6%	87.4%
The maximum successful score	91.5%	92.5%
Maximum score of failed applications	85.4%	87.2%
Average grant fund value per project (for successful applicants only)	£290,237	£671,245

In relation to question 8, as outlined in the [online competition brief](#)¹ up to £25m was available for this round. Information on total funds allocated will be available as specific grant costs are confirmed following the completion of financial viability and eligibility checks, during which process costs may change. Information on funds allocated will be published [here](#)² as it becomes available.

Information in relation to question 9, the score distributions of the applications is available in table 2.

Table 2: Score distributions

	Stream 1 (6-18 month projects)	Stream 2 (19 - 36 month projects)
<70%	1152	99
71-75%	309	82
76-80%	323	82
81-85%	177	35
>86%	53	19
Total	2014	317

Information in relation to question 10, the count of successful projects by innovation area for stream 1 and stream 2, is available in tables 3 and 4.

Table 3: Count of successful projects by Innovation area (Stream 1)

Stream 1 (6-18 month projects)	
Innovation Area	Count of projects
Additive layer manufacturing (ALM)	1
Advanced therapies	2
Agricultural productivity	1
Bioscience	3
Connected transport	1
Creative industries	1
Diagnostics, medical technology and devices	4
Digital health	1
Digital industries	12
Digital manufacturing	4
Digital technology	8
Electronics, sensors and photonics	1
Emerging technologies	1
Energy - other	1
Energy efficiency	3
Independent living and wellbeing	1
Materials, process and manufacturing design technologies	1
Metals / metallurgy	1
Not Specified	4
Offshore wind	1
Polymers and plastics	2
Robotics and autonomous systems	1
Smart infrastructure	2

¹ <https://apply-for-innovation-funding.service.gov.uk/competition/810/overview>

² <https://www.gov.uk/government/publications/innovate-uk-funded-projects>

Space technologies	1
Sustainable materials	1
Therapeutic and medicine development	1
Transport - Marine	1
Urban living	1
Grand Total	62

Table 4: Count of successful projects by Innovation area (Stream 2)

Stream 2 (19 - 36 month projects)	
Innovation Area	Count of projects
Additive layer manufacturing (ALM)	1
Aerospace	1
Agricultural productivity	1
Digital health	2
Digital technology	1
Materials, process and manufacturing design technologies	1
Metals / metallurgy	1
Robotics and autonomous systems	2
Surface engineering, coatings and thin films	1
Grand Total	11

Please note that the Smart competition funds solely on a top down basis split across the two streams (as detailed in the online competition brief), Innovation area is not a factor in the decision-making process.

With regard to your final question for “*the number of unsuccessful applications, if any, which scored above the minimum funded score*” data on minimum funded score and maximum unsuccessful score is provided in table 1. There were no projects that scored above the minimum funded score and were unsuccessful.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance


Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at:
<http://www.ico.gov.uk/>

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,


Information Governance
Information Rights Team
UK Research and Innovation
foi@ukri.org | dataprotection@ukri.org