

9 September 2021

Dear [REDACTED],

Freedom of Information request: FOI2021/00369

Thank you for your Freedom of Information request received on the 12 August in which you requested the following:

Your request:

For each of the last 6 Innovate UK Smart competitions could you provide:

- *Total number of applications*
- *Total number of winning applications*
- *Threshold for funding*
- *Total complaints about proposal assessment by companies*
- *Total complaints about proposal assessment by companies that were upheld*

Our response:

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information below.

Please note that:

Stream 1: Projects 18 months or less in duration, with total project cost of £500k or less

Stream 2: Projects either over 18 months in duration and/or £500k project costs

Competition	Number of applications	Number of funded projects	Funding threshold (Stream 1)	Funding threshold (Stream 2)	Number of complaints	Number of complaints upheld
July 2019	968	84	80.4%	84.0%	0	0
October 2019	886	77	81.0%	83.4%	1	0
January 2020	2728	152	82.6%	86.8%	12	2
August 2020	2183	74	84.8%	86.4%	14	0
November 2020	1501	73	82.8%	82.8%	5	0
January 2021	2617	74	85.6%	87.4%	18	2

Innovate UK adopt a policy whereby funding decisions are final and not subject to appeal. The assessment process that Innovate UK employ uses a panel of independent experts to ensure that all decisions are objective and technically informed.

As Innovate UK operates a rigorous approach to assessment, discussions are not entered into relating to scientific or technical decisions concerning an application for a grant or innovation loan. Complaints are investigated to assess adherence to established procedures and ensure decision-making has not been impacted.

There is a complaint process available if there are any issues relating to the conduct of Innovate UK, which includes any issues surrounding grant awards. Details of what will and will not be accepted as a complaint can be

found in the [Innovate UK complaints procedure](#)¹, along with further details on [decisions for grant or innovation loan applications](#)².

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance

Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: <http://www.ico.gov.uk/>

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,


Information Governance
Information Rights Team
UK Research and Innovation
foi@ukri.org | dataprotection@ukri.org

¹ <https://www.gov.uk/government/organisations/innovate-uk/about/complaints-procedure>

² <https://www.gov.uk/government/organisations/innovate-uk/about/complaints-procedure#technical-decisions>