



[Redacted]

08 September 2022

Dear [Redacted],

Freedom of Information request: FOI2022/00265

Thank you for your Freedom of Information request received on the 12 August in which you requested the following:

Your request:

For Innovate UK's Smart Grants January 2022 competition (both the <18 month [<£500k] and >18 month streams), please could you release the following information:

- *The number of applications.*
- *The number of assessed applications.*
- *The number of successful applications.*
- *The success rate.*
- *The minimum successful score.*
- *The maximum successful score.*
- *Maximum score of failed applications.*
- *Average grant fund value per project.*
- *Average score of funded projects.*
- *The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).*
- *The count of assessed applications by innovation area.*
- *The count of successful projects by innovation area for each strand.*
- *The count of assessed applications by research category (feasibility studies, industrial research, experimental development).*
- *The count of successful projects by research category.*
- *The number of unsuccessful applications, if any, which scored above the minimum funded score.*

Our response

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information below.

	Stream 1 (6 - 18 month projects)	Stream 2 (19 – 36 month projects)	Total (if applicable)
<i>The number of applications.</i>	Ineligible notifications are not split into streams therefore only the total number of applications received is available		1235
<i>The number of assessed applications.</i>	934	128	1062
<i>The number of successful applications.</i>	71	13	84
<i>The success rate.</i>	7.6%	10.2%	
<i>The minimum successful score.</i>	83.4%	83.7%	
<i>The maximum successful score.</i>	93.8%	90.0%	

<i>Maximum score of failed applications.</i>	83.2%	83.0%	
<i>Average grant fund value per project.</i>	£271,608	£544,131	This is for successful projects only.
<i>Average score of funded projects.</i>	86.0%	86.1%	
<i>The number of unsuccessful applications, if any, which scored above the minimum funded score.</i>	Smart Grants funds on a top-down basis, based solely on the scores provided by the independent assessors, and split across the two streams as detailed in the online competition brief. There are therefore no applications that scored below the funding threshold that received funding from core Smart budget.		

The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).

%	Stream 1 (6 - 18 month projects)	Stream 2 (19 - 36 month projects)
0-70	459	32
70.1-75	162	29
75.1-80	169	40
80.1-85	103	19
85.1-90	38	8
90.1-95	3	0
95.1-100	0	0
Total	934	128

The count of assessed applications by innovation area.

The count of successful projects by innovation area for each strand:

Stream 1 (6 - 18 month projects)		
Innovation Area	Assessed Applications	Successful Applications
Additive layer manufacturing (ALM)	7	1
Advanced therapies	6	0
Aerospace	6	0
Agricultural productivity	19	3
Assembly / disassembly / joining	4	0
Biosciences	25	5
Chemical / bio processes	5	1
Composite materials	9	1
Connected and autonomous vehicles	7	1
Connected transport	6	1
Creative industries	48	4
Diagnostics, medical technology and devices	41	8
Digital health	75	0
Digital industries	166	9
Digital manufacturing	6	1
Digital technology	149	10
Electronic materials and manufacturing	2	0
Electronics manufacturing	3	0
Electronics, sensors and photonics	6	3
Emerging technology	36	1
Energy - other	31	2

Energy and automotive	11	1
Energy efficiency	25	1
Energy systems	13	0
Enhancing food quality	4	0
Forming technologies	2	0
Independent living and wellbeing	11	0
Low carbon vehicles	9	1
Marine transport	12	1
Material recovery and treatment	10	2
Materials, process and manufacturing design technologies	14	1
Nanotechnology / nanomaterials	4	1
Not specified	52	3
Nuclear fission	1	0
Offshore wind	2	0
Other transport	7	0
Polymers and plastics	6	2
Precision medicine	4	0
Preclinical technologies and drug target discovery	2	0
Rail transport	2	0
Resource efficiency	9	2
Robotics and autonomous systems	12	2
Satellite applications	6	0
Sensor and instrument design or manufacture	9	1
Smart infrastructure	16	0
Space technology	5	0
Surface engineering	3	0
Sustainable materials	13	2
Therapeutic and medicine development	5	0
Urban living	8	0
<i>Grand Total</i>	<i>934</i>	<i>71</i>

Stream 2 (19 - 36 month projects)		
Innovation Area	All Applications	Successful Applications
Aerospace	3	1
Agricultural productivity	3	0
Biosciences	9	3
Chemical / bio processes	2	0
Composite materials	2	1
Connected and autonomous vehicles	1	0
Connected transport	1	0
Creative industries	2	0
Diagnostics, medical technology and devices	11	1
Digital health	9	2
Digital industries	6	0
Digital manufacturing	1	0
Digital technology	15	1

Electronic materials and manufacturing	1	0
Electronics, sensors and photonics	1	0
Emerging technology	5	0
Energy - other	8	1
Energy and automotive	1	0
Energy efficiency	3	0
Energy systems	6	0
Low carbon vehicles	2	0
Marine transport	3	0
Material recovery and treatment	2	1
Materials, process and manufacturing design	1	0
Materials, process and manufacturing design technologies	4	0
Nanotechnology / nanomaterials	1	0
Not specified	3	0
Precision medicine	1	0
Rail transport	1	0
Resource efficiency	1	0
Robotics and autonomous systems	3	0
Smart infrastructure	5	0
Surface engineering	1	1
Surface engineering, coatings and thin films	1	0
Sustainable materials	6	1
Therapeutic and medicine development	2	0
Urban living	1	0
<i>Grand Total</i>	<i>128</i>	<i>13</i>

The count of assessed applications by research category (feasibility studies, industrial research, experimental development).

Research category	Number of applications	
	Stream 1 (6-18 month projects)	Stream 2 (19 - 36 month projects)
Experimental development	165	8
Feasibility studies	151	7
Industrial research	618	113
<i>Total</i>	<i>934</i>	<i>128</i>

The count of successful projects by research category.

Research category	Number of applications	
	Stream 1 (6-18 month projects)	Stream 2 (19 - 36 month projects)
Experimental development	7	0
Feasibility studies	9	0
Industrial research	55	13
<i>Total</i>	<i>71</i>	<i>13</i>

It should be noted that the research category is requested as part of the application process as this impacts on the % grant funding that can be claimed, as explained in the online competition brief, however it does not impact on the outcome of the funding decision.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance

Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: www.ico.org.uk.

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,


Information Governance
Information Rights Team
UK Research and Innovation
foi@ukri.org | dataprotection@ukri.org