



[REDACTED]

8 April 2024

Dear [REDACTED],

**Freedom of Information request: FOI2024/00197**

Thank you for your Freedom of Information request received on the 8 March in which you requested the following:

**Your request:**

*I am trying to better understand the funding process, and portfolio approach of Innovate UK. For the following competition*

*Collaborative AI Solutions to improve productivity in key sectors*

*<https://apply-for-innovation-funding.service.gov.uk/competition/1715/overview/ad93e28e-0efc-4841-a927-6150c57b1525>*

*Competition opens: Wednesday 27 September 2023*

*Competition closes: Wednesday 8 November 2023 5:00pm*

*Could you kindly provide:*

**Application Data:**

1. Total number of applications.
2. Total number of applications ineligible for assessment.
3. Total number of successful applications.
4. Total number of applications considered a resubmission of a previous application.
5. Total number of successful applications which were considered a resubmission of a previous application.
6. The success rate.
7. The score of the lowest scoring funded project.
8. The score of the highest scoring funded project.
9. The score of the highest non-funded project.
10. The average (mean) score of funded projects.
11. Average grant fund value of funded projects.
12. The score distributions of the applications (eg. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).
13. The number of assessed applications by research category.
14. The number of successful projects by research category.
15. The number of assessed applications by innovation area.
16. The number of successful projects by innovation area.
17. The number of unsuccessful applicants, if any, which scored above the minimum funded score.

**Our response**

I can confirm that UK Research and Innovation (UKRI) holds some information relevant to your request. Please see the information on the next page below.

**Table 1: Questions 1-11 and 17**

Question	>£500k
1. Total number of applications.	127
2. Total number of applications ineligible for assessment.	46
3. The number of successful applications.	28
4. Total number of applications considered a resubmission of a previous application.	0
5. Total number of successful applications which were considered a resubmission of a previous application.	0
6. The success rate. (figure is for funders panel applications only (percentage is 22% if successful number is compared against all applications including those marked NOT_APPLICABLE))	35%
7. The score of the lowest scoring funded project. <sup>1</sup>	74.6
8. The score of the highest scoring funded project. <sup>1</sup>	88.80
9. The score of the highest non-funded project. <sup>1,5</sup>	77.20
10. The average (mean) score of funded projects. <sup>1,3</sup>	62.17
11. Average grant fund value per project. <sup>2</sup>	£927,667.59
17. The number of unsuccessful applications, if any, which scored above the minimum funded score. <sup>4,5</sup>	13

<sup>1</sup> All scores are the written assessment scores and are given as percentages.

<sup>2</sup> Average value of funding sought for 'successful' applications at the assessment stage.

<sup>3</sup> Figures are based on 'successful' applications at the assessment stage, 'successful' applications are still subject to due diligence process and may not be funded.

<sup>4</sup> The number of unsuccessful applications with a score greater than or equal to the minimum score of successful applications.

<sup>5</sup> As explained in the competition application briefing Innovate UK seeks to fund "a variety of projects across different technologies, markets, technological maturities, locations, strands, and themes, and research categories. We call this a portfolio approach". In line with this approach, as part of the funding decision-making process, Innovate UK has selected an even number of applications across our priority sectors. This was the only control implemented with the rest of the decision-making process strictly based on assessor scores. Further information on the portfolio approach can be found at the [Innovate UK General Guidance](#)<sup>1</sup> webpage.

**Table 2: Question 12 - The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).**

	Number	Percentage
<=70	25	30.86%
70.1-75	23	28.40%
75.1-80	20	24.69%
80.1-85	9	11.11%
85.1-90	4	4.94%
90.1-95	0	0.00%
95.1-100	0	0.00%
	81	

<sup>1</sup> [https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/general-guidance/what-happens-after-you-have-submitted-your-application/?\\_ga=2.196198060.1459200535.1710347606-156671975.1704975370#contents-list](https://www.ukri.org/councils/innovate-uk/guidance-for-applicants/general-guidance/what-happens-after-you-have-submitted-your-application/?_ga=2.196198060.1459200535.1710347606-156671975.1704975370#contents-list)

**Table 3: Question 13 - The count of assessed applications by research category (feasibility studies, industrial research, experimental development)**

Research Category	Total number
Experimental development	4
Industrial research	123
<b>Grand Total</b>	<b>127</b>

**Table 4: Question 14 - The count of successful projects by research category (feasibility studies, industrial research, experimental development)**

Research Category	Total number
Industrial research	28
<b>Grand Total</b>	<b>28</b>

**Table 5: Question 15 - The count of assessed applications by innovation area.**

Innovation Area	
Aerospace	3
Agricultural productivity	14
Assembly / disassembly / joining	1
Biosciences	1
Composite materials	1
Connected and autonomous vehicles	4
Connected transport	7
Creative industries	21
Digital health	2
Digital industries	4
Digital manufacturing	2
Digital technology	15
Emerging technology	4
Energy and automotive	1
Energy efficiency	2
Enhancing food quality	1
Low carbon vehicles	1
Marine transport	1
Material recovery and treatment	1
Materials, process and manufacturing design technologies	5
NULL	4
Other transport	7
Rail transport	7
Robotics and autonomous systems	2
Smart infrastructure	13
Sustainable materials	2
Urban living	1
<b>Grand Total</b>	<b>127</b>

**Table 6: Question 16 - The count of successful projects by innovation area for each strand.**

Innovation Area	
Aerospace	2
Agricultural productivity	4
Biosciences	1
Composite materials	1
Connected transport	2
Creative industries	6
Digital technology	1
Energy efficiency	1
Enhancing food quality	1
Materials, process and manufacturing design technologies	2
NULL	1
Rail transport	1
Robotics and autonomous systems	1
Smart infrastructure	3
Sustainable materials	1
<b>Grand Total</b>	<b>28</b>

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact within the next 40 working days:

Head of Information Governance  
Email: [foi@ukri.org](mailto:foi@ukri.org)

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: [www.ico.org.uk](http://www.ico.org.uk).

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,

  
Information Governance  
Information Rights Team  
UK Research and Innovation  
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