**UK Research
and Innovation**

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24 July 2024

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Freedom of Information request: FOI2024/00591

Thank you for your Freedom of Information request received on the 1 July in which you requested the following:

Your request:

Data on Innovate UK Smart grants: January 2024

I would be very grateful if you could please provide the below information on the above funding competition for both:
a)Stream 1 projects (6-18 months duration, eligible project costs between £100K-£500K), and;
b)Stream 2 projects (19-24 months duration, total eligible project costs between £100K-£1M).

The information I would like to request is as follows:
1. The total number of applications submitted and the number of those that were assessed (i.e. deemed eligible for funding);
2. The overall number of applications awarded funding and a breakdown of how many of these were first-time applications and how many were resubmissions;
3. The total number of applications within each innovation area and the number of those that were successful in each area;
4. The average grant request and project size of the applications successfully awarded funding;
5. The funding thresholds and average scores of successful applications;
6. The percentages of successful applications that were Industrial Research and Experimental Development;
7. The number of successful Industrial Research and Experimental Development projects that were (i) single applicant/partner, (ii) 2 partners, (iii) 3+ partner;
8. The total funding allocated in this competition round.
9. How many projects awarded went to project set up.

Our response

I can confirm that UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

Where indicated on the data provided, please note the following for additional context:

[1] All scores are the written assessment scores and are given as percentages

[2] Average value of funding sought for 'successful' applications at the assessment stage
[3] Figures are based on 'successful' applications at the assessment stage, 'successful' applications are still subject to due diligence process and may not be funded

Table 1 – Questions 1-2

Question	6-18 Months	19-24 Months	Total
1. The total number of applications submitted	1,677	234	1,911
1a. the number of those that were assessed	1,465	109	1,574
2. The overall number of applications awarded funding	41	5	46
2a. and a breakdown of how many successful of these were first-time applications	24	2	26
2b. and how many were resubmissions;	17	3	20

Table 2 – Questions 3. The count of assessed applications by innovation area.

Innovation Area	6-18 Months	19-24 Months	Total number
Not specified	54	4	58
Additive layer manufacturing (ALM)	2	1	3
Advanced therapies	7	3	10
Aerospace	7	2	9
Agricultural productivity	18	6	24
Assembly / disassembly / joining	1	2	3
Biosciences	31	8	39
Chemical / bio processes	11	1	12
Composite materials	4	0	4
Connected and autonomous vehicles	6	1	7
Connected transport	9	3	12
Creative industries	78	2	80
Diagnostics, medical technology and devices	55	9	64
Digital health	142	12	154
Digital industries	342	2	344
Digital manufacturing	11	1	12
Digital technology	243	3	246
Electronic materials and manufacturing	2	0	2
Electronics manufacturing	6	1	7
Electronics, sensors and photonics	12	2	14
Emerging technology	69	5	74
Energy - other	24	2	26
Energy and automotive	4	1	5
Energy efficiency	27	3	30
Energy systems	22	2	24
Enhancing food quality	9	0	9
Independent living and wellbeing	23	0	23
Low carbon vehicles	9	0	9
Marine transport	6	1	7
Material recovery and treatment	14	4	18
Materials, process and manufacturing design technologies	18	1	19
Metals / metallurgy	3	1	4
Nanotechnology / nanomaterials	10	0	10
Non-metallics	1	0	1

: Continuation of Table 2.

Innovation Area	6-18 Months	19-24 Months	Total number
Nuclear fission	0	1	1
Offshore wind	4	0	4
Other transport	11	0	11
Polymers and plastics	5	3	8
Precision medicine	11	0	11
Preclinical technologies and drug target discovery	12	0	12
Rail transport	3	0	3
Resource efficiency	20	3	23
Robotics and autonomous systems	18	2	20
Satellite applications	6	1	7
Sensor and instrument design or manufacture	4	1	5
Smart infrastructure	43	5	48
Space technology	1	0	1
Surface engineering	3	1	4
Surface engineering, coatings and thin films	3	0	3
Sustainable materials	9	6	15
Therapeutic and medicine development	14	2	16
Urban living	18	1	19
Grand Total	1465	109	1574

Table 3 – Question 3a. The count of successful projects by innovation area for each strand.

Innovation Area	6-18 Months	19-24 Months	Total number
Additive layer manufacturing (ALM)	1	1	2
Aerospace	1	0	1
Agricultural productivity	1	0	1
Assembly / disassembly / joining	0	1	1
Biosciences	2	1	3
Chemical / bio processes	1	0	1
Connected and autonomous vehicles	1	0	1
Creative industries	3	0	3
Diagnostics, medical technology and devices	4	0	4
Digital health	1	0	1
Digital industries	7	0	7
Digital technology	5	0	5
Energy systems	1	0	1
Independent living and wellbeing	1	0	1
Material recovery and treatment	1	0	1
Nanotechnology / nanomaterials	1	0	1
Polymers and plastics	1	1	2
Precision medicine	1	0	1
Preclinical technologies and drug target discovery	1	0	1
Resource efficiency	1	0	1

: Continuation of Table 3.

Innovation Area	6-18 Months	19-24 Months	Total number
Robotics and autonomous systems	2	0	2
Smart infrastructure	1	0	1
Surface engineering	1	0	1
Sustainable materials	1	1	2
Therapeutic and medicine development	1	0	1
Grand Total	41	5	46

Table 4 – Questions 4.

Question	6-18 Months	19-24 Months	Total
4. Average grant fund value per project.[2]	£302,913.12	£613,084.20	£336,627.37
4a.and project size of the applications successfully awarded funding;	£435,084.80	£887,539.60	£484,264.67

Table 5 – Question 5.

Question	6-18 Months	19-24 Months
5. The funding thresholds (lowest scoring that received funding)	86.1	87.8
5a. Average score of funded projects.[1,3]	88.1	89.0

Table 6 – Question 6. The percentages of successful applications by research category (feasibility studies, industrial research, experimental development).

Research category	06 - 18 Months	19 - 24 Months	Grand Total
Experimental development	0%	0%	0%
Feasibility studies	1%	0%	1%
Industrial research	4%	6%	4%
Grand Total	3%	5%	3%

Table 7 – Question 7(i,ii,iii). The count of successful applications by research category (feasibility studies, industrial research) & number of participants.

Feasibility studies:			
No. Participants	06 - 18 Months	19 - 24 Months	Grand Total
1	1	N/A	1
2	1	N/A	1
Industrial research:			
No. Participants	06 - 18 Months	19 - 24 Months	Grand Total
1	33	N/A	33
2	4	4	8
3	2	N/A	2
4	N/A	1	1
Grand Total	41	5	46

Table 8 – Question 8 – 9

Question	6-18 Months	19-24 Months	Total
8. The total funding allocated in this competition round.	£12,419,438.00	£3,065,421.00	£15,484,859.00
9. How many projects awarded went to project set up.	41	5	46

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact within the next 40 working days:

Head of Information Governance
Email: foi@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: www.ico.org.uk.

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy[1].

Yours sincerely,

Information Governance
Information Rights Team
UK Research and Innovation
foi@ukri.org | dataprotection@ukri.org