



[REDACTED]

24 July 2024

[REDACTED]

## Freedom of Information request: FOI2024/00586

Thank you for your Freedom of Information request received on the 1 July in which you requested the following:

### Your request:

*I request information under the Freedom of Information Act 2000. I am interested in obtaining the following information regarding the legal compliance of Monitoring Officers and Assessors.*

*Each of the below specifics requires information year-on-year since 2022, which can be provided in Excel or Word format.*

- 1. How many Monitoring Officers do you have registered?*
- 2. How many Assessors do you have registered?*
- 3. What percentage of your Assessors are also Monitoring Officers?*
- 4. What percentage of your Assessors also have a role in the KTN?*
- 5. What percentage of your Assessors also have roles within another firm or independently are grant bid writers?*
- 6. How many Monitoring Officers are registered with the FCA and on hold a government Anti-Money Laundering registration status?*
- 7. How many Assessors are qualified under either A1, D32/D33 or TAQA awards?*
- 8. What safeguards do you have in place to stop Assessors copying/keeping application text provided?*

### Our response

I can confirm that UK Research and Innovation (UKRI) holds some information relevant to your request. Please see the information below.

Under section 21, information already reasonably accessible, of the FOIA the information you have requested for questions 1-7 is already available in the public domain.

UKRI actively publish responses to FOI requests on our [UKRI Disclosure Log](https://ukri.disclosurelog.co.uk/)<sup>1</sup>. The questions you have asked have been responded to in a previous freedom of information request (FOI2024/00522) which can be accessed on our disclosure log [here](#)<sup>2</sup>.

Section 21 is an absolute exemption which means that there is no requirement to conduct a public interest test.

### **Question 8: What safeguards do you have in place to stop Assessors copying/keeping application text provided?**

All assessors must sign confidentiality agreements and declare any potential conflicts of interest on the applications they are assigned.

<sup>1</sup> <https://ukri.disclosurelog.co.uk/>

<sup>2</sup> <https://ukri.disclosurelog.co.uk/disclosures/94eadb0b-4bd4-4613-8d04-6a2da7d8f544>

The assessor contract states that if any confidentiality is breached, the repercussions for the assessor would be severe from both Innovate UK and the Intellectual Property owner.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact within the next 40 working days:

Head of Information Governance


Email: [foi@ukri.org](mailto:foi@ukri.org)

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: [www.ico.org.uk](http://www.ico.org.uk).

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see [UKRI's complaints policy](#)<sup>3</sup>.

Yours sincerely,

  
Information Governance  
Information Rights Team  
UK Research and Innovation  
[foi@ukri.org](mailto:foi@ukri.org) | [dataprotection@ukri.org](mailto:dataprotection@ukri.org)

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<sup>3</sup> <https://www.ukri.org/who-we-are/contact-us/make-a-complaint/#skipnav-target>