

From: s40 - UKRI AHRC
To: s40
Cc: s40 - UKRI AHRC
Subject: RE: SPF bid - Human Rights and Modern Slavery
Date: 20 November 2018 09:33:00
Attachments: [image005.png](#)
[image002.png](#)

Good morning all

Further to my email(s) below, I am going to give you access to a shared site where we can 'live-edit' the SPF bid collaboratively. The link is here: s40.

This should give you access to a folder that currently has 2 documents in it. Please feel free to add supporting or reference documentation if you think it useful. The main document for us at the minute is the 'draft HR-MS...' one; the other one is the 'real' application, to which I will copy sections as they get agreed. So that we can control the editing, there are some suggested ways of working I'd like all of us to follow:

- I think you may need to sign into or create an account for 'OneDrive', so that I can see who is making the changes, other than 'user'.
- Please download the document by clicking the 'Open in Word' button (screen grab below). This will allow you to see tracked changes and comments more easily. Each time you save, you will be saving in the cloud, so you don't need to do anything else.

Other tips and suggestions are in the first comment at the top of the first page.

I understand s40 is trying to set up a meeting for Monday and some of you may not be able to make that. We would be happy for you to dial in if that works; alternatively please submit ideas in writing as per yesterday's email and we will ensure they are worked into the preliminary draft. That work will then be added to the link above and you will still have the chance to collaborate and edit beyond the meeting on Monday, so please don't worry about not being able to make that date. Of course, I'm always happy to have direct phone calls/meetings with you as well if our diaries allow. I know this is all very, very tight and I appreciate your flexibility!

If you cannot get access or have problems opening the document/s please let me know.



Best Wishes

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From: s40 - UKRI AHRC

Sent: 19 November 2018 18:02

To: s40

s40

s40

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Cc: s40 - UKRI AHRC s40

Subject: RE: SPF bid - Human Rights and Modern Slavery

Sorry I sent this before I was finished!

From: s40 s40 UKRI AHRC

Sent: 19 November 2018 16:21

To: s40

s40

s40

s40

Cc: s40 - UKRI AHRC s40

Subject: RE: SPF bid - Human Rights and Modern Slavery

Dear All

While s40 organises dates, times and venue, I thought I'd send an email asking you all for a bit of homework. Hopefully this will aid in discussions when we meet and give you some background to the other partners involved.

I have not heard anything critically wrong with the 4 broad areas selected (typology, business/supply chains, crime and victims), although I am sure there are questions regarding what we include and what is meant by these areas. That's fine and something we can define.

If it helps, I have some thoughts both from the first academic workshop and the HO's areas of research interest. These are rough notes but gives some thinking behind the topics I picked and what I heard you say. Please see attached. To note that the last topic (crime, justice and enforcement) was an idea from

ESRC and not one I came up with, although I agree it's a good one. I have tried to find the overlapping areas between what you said in the first workshop and what the HO has identified. Because we did not overtly discuss this at the workshop, I have extrapolated things I thought were relevant, but this is a rougher outline than the others (which are rough in themselves!).

Also, by way of context (and this is wholly speculative) I thought it might help if I gave an indication of the conversation s40 and I had about the monetary value of a centre of this type. We believed if we funded a 5-year centre we would bid for between £10-15M. I must stress that we have nothing to base this on and the budget will need to be tested. Again, we will need to think in 3-, 4-, and 5-year funding models and can use this to demonstrate scalability and scope within the bid itself (so the work won't be wasted).

What I would like you to do is share with this group what areas of research expertise/excellence you would want to do through the centre, based on the above topics. Next I would ask you to think about what kinds of activity would support the intellectual content of the bid and how much that would cost. I appreciate that this will be rough and ready and that's okay. We will have less than 1000 words to describe this part of the bid, so I'd be grateful if you could keep this to a half page or so (not including costings), so that we can edit without losing meaning.

So you can see what the other partners specialise in, I have taken the liberty of scraping your websites to summarise the work you do in this space. Please feel free to correct, but I thought it would be helpful as well as a time saver if I did it rather than you all looking each other up.

As far as the homework, I would be grateful if you could send your summaries and rough costings to the group by Friday this week, so that everyone has time to consider it before we meet next week.

Let me know if you have any questions and thank you again for taking part.

Best Wishes

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From: [REDACTED] >

Sent: 19 November 2018 11:14

To: [REDACTED] - UKRI AHRC [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Subject: RE: SPF bid - Human Rights and Modern Slavery

Many thanks [REDACTED], I'd certainly be keen to be involved.

Best wishes

[REDACTED]

From: [REDACTED] - UKRI AHRC [REDACTED]

Sent: 19 November 2018 11:12

To: [REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

Subject: RE: SPF bid - Human Rights and Modern Slavery

All

That sounds great and I would be happy to set up something next week. We'll have to be very focussed so that we can get the majority of the intellectual content prepared and ready for editing (the word limit is staggeringly small!) – but I am sure you are all up to the challenge!

I have not heard yet from [REDACTED], but hopefully this works for you as well and I will ask my PA to set something up for us all.

Best Wishes

[REDACTED]

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
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[REDACTED]
[REDACTED]
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From: [REDACTED] s40

Sent: 16 November 2018 15:44

To: [REDACTED] s40 -
UKRI AHRC [REDACTED] s40 [REDACTED] s40
[REDACTED] s40
[REDACTED] s40

Subject: Re: SPF bid - Human Rights and Modern Slavery

More than happy to join in an in-person discussion as well – and [REDACTED] s40 what you've laid out below sounds absolutely brilliant!

[REDACTED] s40

From: [REDACTED] s40

Sent: Friday, November 16, 2018 3:35 PM

To: [REDACTED] s40 - UKRI AHRC'; [REDACTED] s40

Subject: RE: SPF bid - Human Rights and Modern Slavery

Dear All,

This goes for me, too. I'd be very happy to be involved in these discussions.

Best,

[REDACTED] s40

From: [REDACTED] s40

Sent: 16 November 2018 14:58

To: [REDACTED] s40 - UKRI AHRC'; [REDACTED] s40

Subject: RE: SPF bid - Human Rights and Modern Slavery

Hi [REDACTED] s40, everyone

Thanks for your email. Good news about ESRC – I would be happy to meet up again and have some space the week after next, if others are available,

Best wishes

From: s40 - UKRI AHRC s40
Sent: 15 November 2018 10:07
To: s40
Subject: SPF bid - Human Rights and Modern Slavery

Dear esteemed colleagues

I understand from s40 (for those I have not spoken to myself), that you are all interested in taking part in the Policy and Evidence Centre on Human Rights and Modern Slavery. If that is the case, it is great news indeed.

For those who were not at the 2nd workshop where we held a session with non-academic stakeholders (from arts, media, business, NGOs, FCO and the HO), I am pleased to say that s40 from the Home Office has agreed to take part and (I hope) lead the bid on behalf of the other s40 if there is interest in this centre beyond the HO.

I had a meeting with ESRC yesterday and they are on board and happy with the direction of travel and also want to be a part of the centre. So that everyone is up-to-speed – and indeed an opportunity to challenge if you think we're not on the right track – this is how the centre would look in my mind (this is what we discussed with ESRC):

- It would be policy-focussed but research driven
 - It would do research within the centre
 - It would commission research outside the centre where appropriate
 - It would translate research into relevant policy briefs, liaise with policymakers and give an evidence-focus to policymaking
- It will be multi-partner and collaborative
- It will be challenge led (not discipline-led)
- It will have an international focus as well as be strategic in its nature

If we are challenge focussed and disciplinary agnostic my hope is that any academics from any discipline or methodology who feel they have a contribution to make, will not somehow feel excluded from taking part.

So that leads me onto the challenges themselves. After looking through the notes taken at the academic workshop and reading the [Home Office's annual report on modern slavery](#) (the annex describes areas of research interest), I thought broad areas could be as follows:

- Tangible typologies – those that help frameworks or legal definitions for use in courts
- Business / supply chains
- Victims and recovery
- Crime, prevention and enforcement

Within these there are cross-cutting activities and/or outputs, like new or enhanced methodological development; historical perspectives and contexts; or evaluation of current legal frameworks, the MSA, etc., which I hope we could also build in as appropriate.

What we need to do, crucially, is (a) pick the challenges and (b) articulate what the centre would contribute to them. In order to do this, there are some important facts you should know:

- I need to get a draft (which can still be a working draft) to ESRC **by 3 December**, so that they are able to consider and comment because;

- The final SPF bid is due to Central UKRI **by 20 December**.
- The SPF Wave 2 **funding is guaranteed for 2 years only**. I believe this is the case because of the anticipated spending review. However, AHRC is happy to run 'at risk' for a final year, meaning the minimum a centre would be operational would be 3 years. ESRC also agreed that we would need a minimum of 3 years and there may be an opportunity to pitch for longer if both of us will guarantee the 'at risk' year/s. However, that will be for [s40] and [s40] [s40] to decide and we will need a pitch that convinces them to back a longer duration.
- So, at some point we will need to decide **what we can achieve in 3-, 4- or 5-years**, so that we can articulate how the centre can scale, given the opportunity. We don't have to do this immediately (i.e. today!), but I mention it because quite soon we will need to understand what we can achieve and when in order to draft the bid.

I will soon give you all access to a shared space 'in the cloud' so that we may begin to draft the content of the bid itself. But I thought that we might agree concepts (i.e. challenges) before we began word-smithing, as I'm sure you will want to decide what we should be doing before we get down to prose.

It might be easier for us to meet and thrash this through in a mini-workshop, which I would be very happy to organise. What I would say is that we don't have a lot of time to do it all, so we need to decide quite soon if we want to meet or if we'd rather try to edit by email.

I hope after reading all of this you are still happy to take part and I look forward to hearing from you – and indeed working with you – very soon!

Best Wishes

[s40]

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[s40]

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Redaction Summary (97 redactions)

2 Privilege / Exemption reasons used:

- 1 -- <No Reason Given> (1 instance)
- 2 -- "s40" - Personal information (96 instances)

Reason descriptions:

<No Reason Given> - <No Description>

s40 - Personal information

Section 40 - Personal information.

(1) Any information to which a request for information relates is exempt information if it constitutes personal data of which the applicant is the data subject.

(2) Any information to which a request for information relates is also exempt information if—

(a) it constitutes personal data which do not fall within subsection (1), and

(b) either the first or the second condition below is satisfied.

(3) The first condition is—

(a) in a case where the information falls within any of paragraphs (a) to (d) of the definition of “data” in section 1(1) of the Data Protection Act 1998, that the disclosure of the information to a member of the public otherwise than under this Act would contravene—

(i) any of the data protection principles, or

(ii) section 10 of that Act (right to prevent processing likely to cause damage or distress), and

(b) in any other case, that the disclosure of the information to a member of the public otherwise than under this Act would contravene any of the data protection principles if the exemptions in section 33A(1) of the Data Protection Act 1998 (which relate to manual data held by public authorities) were disregarded.

(4) The second condition is that by virtue of any provision of Part IV of the Data Protection Act 1998 the information is exempt from section 7(1)(c) of that Act (data subject’s right of access to personal data).

(5) The duty to confirm or deny—

(a) does not arise in relation to information which is (or if it were held by the public authority would be) exempt information by virtue of subsection (1), and

(b) does not arise in relation to other information if or to the extent that either—

(i) the giving to a member of the public of the confirmation or denial that would have to be given to comply with section 1(1)(a) would (apart from this Act) contravene any of the data protection principles or section 10 of the Data Protection Act 1998 or would do so if the exemptions in section 33A(1) of that Act were disregarded, or

(ii) by virtue of any provision of Part IV of the Data Protection Act 1998 the information is exempt from section 7(1)(a) of that Act (data subject’s right to be informed whether personal data being processed).

(6) In determining for the purposes of this section whether anything done before 24th October 2007 would contravene any of the data protection principles, the exemptions in Part III of Schedule 8 to the Data Protection Act 1998 shall be disregarded.

(7) In this section—

“the data protection principles” means the principles set out in Part I of Schedule 1 to the M7Data Protection Act 1998, as read subject to Part II of that Schedule and section 27(1) of that Act;

“data subject” has the same meaning as in section 1(1) of that Act;

“personal data” has the same meaning as in section 1(1) of that Act.

Redacted pages:

Page 1, <No Reason Given>, 1 instance

Page 1, s40 - Personal information, 12 instances

Page 2, s40 - Personal information, 16 instances

Page 3, s40 - Personal information, 9 instances

Page 4, s40 - Personal information, 26 instances

Page 5, s40 - Personal information, 15 instances

Page 6, s40 - Personal information, 7 instances

Page 7, s40 - Personal information, 11 instances