

[REDACTED]

24 July 2024

[REDACTED]

### Freedom of Information request: FOI2024/00593

Thank you for your Freedom of Information request received on the 2 July in which you requested the following:

#### Your request:

*For Innovate UK's Innovate UK Smart grants: January 2024 competition (both the <18 months [<£500k] and > 18-month streams), please could you release the following information:*

1. *The number of applications.*
2. *The number of assessed applications.*
3. *The number of successful applications.*
4. *The success rate.*
5. *The minimum successful score.*
6. *The maximum successful score.*
7. *Maximum score of failed applications.*
8. *Average grant fund value per project.*
9. *Average score of funded projects.*
10. *The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).*
11. *The count of assessed applications by innovation area.*
12. *The count of successful projects by innovation area for each strand.*
13. *The count of assessed applications by research category (feasibility studies, industrial research, experimental development).*
14. *The count of successful projects by research category.*
15. *The number of unsuccessful applications, if any, which scored above the minimum funded score.*

#### Our response

I can confirm that UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

Where indicated on the data provide, please note the following for additional context:

<sup>1</sup> All scores are the written assessment scores and are given as percentages
<sup>2</sup> Average value of funding sought for 'successful' applications at the assessment stage
<sup>3</sup> Figures are based on 'successful' applications at the assessment stage, 'successful' applications are still subject to due diligence process and may not be funded
<sup>4</sup> The number of unsuccessful applications with a score greater than or equal to the minimum score of successful applications

Table 1 – Questions 1 – 9

Questions	6-18 Months	19-24 Months	Total
1. The number of applications submitted.	1,677	234	1,911
2. The number of assessed applications.	1,465	109	1,574
3. The number of successful applications.	41	5	46
4. The success rate.	3%	5%	3%
5. The minimum successful score.	86.1	87.8	N/A
6. The maximum successful score.	92.8	91.0	N/A
7. Maximum score of failed applications. <sup>1</sup>	85.6	86.7	N/A
8. Average grant fund value per project. <sup>2</sup>	£302,913.12	£613,084.20	£336,627.37
9. Average score of funded projects. <sup>1,3</sup>	88.1	89.0	N/A

Table 2 – Question 10. The score distributions of the applications (e.g. % (or number) of applications which scored &lt;70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).

Score1 Range	Number			Percentage		
	6-18 Months	19-36 Months	Total	6-18 Months	19-36 Months	Total
<=70	855	44	899	58.4%	40.4%	57.1%
70.1-75	245	26	271	16.7%	23.9%	17.2%
75.1-80	181	17	198	12.4%	15.6%	12.6%
80.1-85	132	13	145	9.0%	11.9%	9.2%
85.1-90	49	8	57	3.3%	7.3%	3.6%
90.1-95	3	1	4	0.2%	0.9%	0.3%
95.1-100	0	0	0	0.0%	0.0%	0.0%
<b>Total</b>	1,465	109	1,574	N/A	N/A	N/A

Table 3 – Question 11. The count of assessed applications by innovation area.

Innovation Area	6-18 Months	19-36 Months	Total number
Not categorized	54	4	58
Additive layer manufacturing (ALM)	2	1	3
Advanced therapies	7	3	10
Aerospace	7	2	9
Agricultural productivity	18	6	24
Assembly / disassembly / joining	1	2	3
Biosciences	31	8	39
Chemical / bio processes	11	1	12
Composite materials	4	0	4
Connected and autonomous vehicles	6	1	7
Connected transport	9	3	12
Creative industries	78	2	80
Diagnostics, medical technology and devices	55	9	64
Digital health	142	12	154
Digital industries	342	2	344

: Continuation of Table 3.

Innovation Area	6-18 Months	19-36 Months	Total number
Digital manufacturing	11	1	12
Digital technology	243	3	246
Electronic materials and manufacturing	2	0	2
Electronics manufacturing	6	1	7
Electronics, sensors and photonics	12	2	14
Emerging technology	69	5	74
Energy - other	24	2	26
Energy and automotive	4	1	5
Energy efficiency	27	3	30
Energy systems	22	2	24
Enhancing food quality	9	0	9
Independent living and wellbeing	23	0	23
Low carbon vehicles	9	0	9
Marine transport	6	1	7
Material recovery and treatment	14	4	18
Materials, process and manufacturing design technologies	18	1	19
Metals / metallurgy	3	1	4
Nanotechnology / nanomaterials	10	0	10
Non-metals	1	0	1
Nuclear fission	0	1	1
Offshore wind	4	0	4
Other transport	11	0	11
Polymers and plastics	5	3	8
Precision medicine	11	0	11
Preclinical technologies and drug target discovery	12	0	12
Rail transport	3	0	3
Resource efficiency	20	3	23
Robotics and autonomous systems	18	2	20
Satellite applications	6	1	7
Sensor and instrument design or manufacture	4	1	5
Smart infrastructure	43	5	48
Space technology	1	0	1
Surface engineering	3	1	4
Surface engineering, coatings and thin films	3	0	3
Sustainable materials	9	6	15
Therapeutic and medicine development	14	2	16
Urban living	18	1	19
<b>Grand Total</b>	<b>1,465</b>	<b>109</b>	<b>1,574</b>

Table 4 – Question 12. The count of successful projects by innovation area for each strand.

Innovation Area	6-18 Months	19-36 Months	Total number
Additive layer manufacturing (ALM)	1	1	2
Aerospace	1	0	1
Agricultural productivity	1	0	1
Assembly / disassembly / joining	0	1	1
Biosciences	2	1	3
Chemical / bio processes	1	0	1
Connected and autonomous vehicles	1	0	1
Creative industries	3	0	3
Diagnostics, medical technology and devices	4	0	4
Digital health	1	0	1
Digital industries	7	0	7
Digital technology	5	0	5
Energy systems	1	0	1
Independent living and wellbeing	1	0	1
Material recovery and treatment	1	0	1
Nanotechnology / nanomaterials	1	0	1
Polymers and plastics	1	1	2
Precision medicine	1	0	1
Preclinical technologies and drug target discovery	1	0	1
Resource efficiency	1	0	1
Robotics and autonomous systems	2	0	2
Smart infrastructure	1	0	1
Surface engineering	1	0	1
Sustainable materials	1	1	2
Therapeutic and medicine development	1	0	1
<b>Grand Total</b>	<b>41</b>	<b>5</b>	<b>46</b>

Table 5 – Question 13. The count of assessed applications by research category (feasibility studies, industrial research, experimental development).

Research Category	6-18 Months	19-36 Months	Total number
Experimental development	257	9	266
Feasibility studies	217	20	237
Industrial research	991	80	1071
<b>Grand Total</b>	<b>1,465</b>	<b>109</b>	<b>1,574</b>

Table 6 – Question 14. The count of successful projects by research category.

Research Category	6-18 Months	19-36 Months	Total number
Feasibility studies	2	0	2
Industrial research	39	5	44
<b>Grand Total</b>	<b>41</b>	<b>5</b>	<b>46</b>

**Table 7 – Question 15.** The number of unsuccessful applications, if any, which scored above the minimum funded score.<sup>4</sup>

Questions	6-18 Months	19-24 Months
15.The number of unsuccessful applications, if any, which scored above the minimum funded score.4	0	0

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact within the next 40 working days:

Head of Information Governance

Email: [foi@ukri.org](mailto:foi@ukri.org)

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: [www.ico.org.uk](http://www.ico.org.uk).

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see [UKRI's complaints policy](#)<sup>1</sup>.

Yours sincerely,

  
 Information Governance  
 Information Rights Team  
 UK Research and Innovation  
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<sup>1</sup> <https://www.ukri.org/who-we-are/contact-us/make-a-complaint/#skipnav-target>