



[REDACTED]

13 February 2023

Dear [REDACTED],

Freedom of Information request: FOI2023/00010

Thank you for your Freedom of Information request received on the 16 January in which you requested the following:

Your request:

For Innovate UK's Smart Grants: July 2022 competition (both the <18 month (<£500k) and >18 month streams), please could you release the following information:

- 1. The number of applications.*
- 2. The number of assessed applications.*
- 3. The number of successful applications.*
- 4. The success rate.*
- 5. The minimum successful score.*
- 6. The maximum successful score.*
- 7. Maximum score of failed applications.*
- 8. Average grant fund value per project.*
- 9. Average score of funded projects.*
- 10. The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).*
- 11. The count of assessed applications by innovation area.*
- 12. The count of successful projects by innovation area for each strand.*
- 13. The count of assessed applications by research category (feasibility studies, industrial research, experimental development).*
- 14. The count of successful projects by research category.*
- 15. The number of unsuccessful applications, if any, which scored above the minimum funded score.*

Our response

I can confirm that UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

	Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)	Notes
1. The number of applications.	Applications are not divided by stream prior to eligibility checks being completed. There were 1019 applications submitted.		
2. The number of assessed applications.	785	96	138 ineligible
3. The number of successful applications.	41	4	
4. The success rate.	5.2%	4.2%	
5. The minimum successful score.	84%	86.25%	
6. The maximum successful score.	91.7%	89.1%	
7. Maximum score of failed applications.	83.3%	85.8%	
8. Average grant fund value per project.	£299,385	£642,938	This is for successful projects only.
9. Average score of funded projects.	86.3%	87%	

10. The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).

%	Stream 1 (6-18 month projects)	Stream 2 (19 - 36 month projects)
0-70	454	46
70.1-75	122	14
75.1-80	120	14
80.1-85	64	16
85.1-90	21	6
90.1-95	4	0
95.1-100	0	0
<i>Total</i>	<i>785</i>	<i>96</i>

11. The count of assessed applications by innovation area.

12. The count of successful projects by innovation area for each strand.

Stream 1 (6-18 month projects)		
Innovation area	Number of applications	Number of successful applications
Additive layer manufacturing (ALM)	1	0
Advanced therapies	3	1
Aerospace	5	0
Agricultural productivity	12	1
Assembly / disassembly / joining	2	0
Biosciences	18	1
Ceramic / electronic / functional materials	1	0
Chemical / bio processes	7	0
Composite materials	2	0
Connected and autonomous vehicles	5	2
Connected transport	1	0
Creative industries	31	1

Diagnostics, medical technology and devices	31	3
Digital health	67	4
Digital industries	209	7
Digital manufacturing	4	0
Digital technology	110	4
Electronic materials and manufacturing	3	1
Electronics manufacturing	3	0
Electronics, sensors and photonics	8	0
Emerging technology	28	3
Energy - other	21	0
Energy and automotive	4	0
Energy efficiency	24	0
Energy systems	13	1
Enhancing food quality	3	1
Forming technologies	1	0
Independent living and wellbeing	9	2
Low carbon vehicles	8	1
Marine transport	4	0
Material recovery and treatment	3	1
Materials, process and manufacturing design technologies	10	0
Metals / metallurgy	3	0
Nanotechnology / nanomaterials	3	0
Offshore wind	3	0
Other transport	6	0
Polymers and plastics	3	0
Precision medicine	2	1
Preclinical technologies and drug target discovery	1	0
Rail transport	8	1
Resource efficiency	7	0
Robotics and autonomous systems	5	1
Satellite applications	3	0
Sensor and instrument design or manufacture	3	0
Smart infrastructure	18	0
Space technology	4	1
Surface engineering	2	1
Surface engineering, coatings and thin films	2	0
Sustainable materials	6	0
Therapeutic and medicine development	5	0
Urban living	7	1
Not specified	43	1
<i>Grand Total</i>	<i>785</i>	<i>41</i>

Stream 2 (19 - 36 month projects)		
Innovation area	Number of applications	Number of successful applications
Aerospace	1	0
Agricultural productivity	7	1
Assembly / disassembly / joining	2	0

Biosciences	6	1
Chemical / bio processes	1	0
Composite materials	1	0
Connected and autonomous vehicles	1	0
Diagnostics, medical technology and devices	5	1
Digital health	12	0
Digital industries	4	0
Digital manufacturing	1	0
Digital technology	12	0
Emerging technology	7	0
Energy - other	6	0
Energy efficiency	3	0
Enhancing food quality	1	0
Marine transport	2	0
Material recovery and treatment	1	0
Materials, process and manufacturing design technologies	5	1
Offshore wind	1	0
Polymers and plastics	1	0
Rail transport	1	0
Resource efficiency	2	0
Robotics and autonomous systems	3	0
Sensor and instrument design or manufacture	1	0
Smart infrastructure	2	0
Space technology	1	0
Sustainable materials	2	0
Not specified	4	0
<i>Grand Total</i>	96	4

13. The count of assessed applications by research category (feasibility studies, industrial research, experimental development).

14. The count of successful projects by research category.

Stream 1 (6-18 month projects)		
Research category	Number of applications	Number of successful applications
Experimental development	119	1
Feasibility studies	102	2
Industrial research	564	38
<i>Total</i>	785	41

Stream 2 (19 - 36 month projects)		
Research category	Number of applications	Number of successful applications
Experimental development	4	1
Feasibility studies	13	0
Industrial research	79	3
<i>Total</i>	96	4

15. The number of unsuccessful applications, if any, which scored above the minimum funded score.

Smart funds on a top-down basis based on the scores provided by the independent assessors and split across the two streams as detailed in the [online competition brief](#)¹. There are therefore no applications that scored above the minimum funded score and were unsuccessful.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance


Email: foi@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: www.ico.org.uk.

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,


Information Governance
Information Rights Team
UK Research and Innovation
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¹ <https://apply-for-innovation-funding.service.gov.uk/competition/1159/overview#summary>