



[REDACTED]

22 September 2020

[REDACTED]

**Freedom of Information request: FOI2020/00258**

Thank you for your Freedom of Information request received on the 19 August and clarification on the 20 August in which you requested the following:

**Your request:**

*Could you please provide the following data for the last 2 rounds of the Smart Grants (Innovate UK Smart Grants: October 2019 and Innovate UK Smart Grants: January 2020).*

1. *Number of applications started*
2. *Number of actual submissions*
3. *Number of Grants awarded*
4. *Average Project Cost*
5. *Average Grant value*
6. *% Success Rate*
7. *Number of Projects funded for each research category*
8. *Total IUK commitment of funds*

*For number of applications started - I mean the number that were initiated in total, including those that did not go ahead and press submit. Probably better to call it 'Number of applications initiated'.*

*Actual submissions would then just be the full number of those that actually followed through and pressed submit.*

**Our response:**

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information below.

Please note that:

**Stream 1:** Projects 18 months or less in duration, with total project cost of £500k or less

**Stream 2:** Projects either over 18 months in duration and/or £500k project costs

1. *Number of applications started*

A total of 962 applications were started but not submitted for the October 2019 round. 2,956 applications were started but not submitted for the January 2020 round. It is worth noting that only 187 of these were beyond 50% complete when the competition closed.

2. *Number of actual submissions*

There were 886 applications submitted for the October 2019 round, 135 of these were ineligible.  
There were 2728 applications submitted for the January 2020 round, 288 of these were ineligible.

Ineligible applications are not split into streams, therefore only the total number of eligible applications received is available per stream:

Stream	Number of Eligible Applications Submitted	
	October 2019	January 2020
Stream 1	614	2089
Stream 2	137	351
<b>Total</b>	<b>751</b>	<b>2440</b>

3. *Number of Grants awarded*

Stream	Number of Grants Awarded	
	October 2019	January 2020
Stream 1	53	129
Stream 2	11	23
<b>Total</b>	<b>64</b>	<b>152</b>

4. *Average Project Cost*

Stream	Average Project Cost	
	October 2019	January 2020
Stream 1	£382,700	£389,818
Stream 2	£796,478	£1,030,716

5. *Average Grant value*

Stream	Average Grant Value	
	October 2019	January 2020
Stream 1	£205,072	£266,701
Stream 2	£770,729	£707,714

6. *% Success Rate*

Stream	Success Rate	
	October 2019	January 2020
Stream 1	8.6%	6.2%
Stream 2	8.0%	6.6%

7. *Number of Projects funded for each research category*

Research Category	Number of Funded Projects			
	October 2019		January 2020	
	Stream 1	Stream 2	Stream 1	Stream 2
Experimental Development	4	0	16	1
Feasibility Studies	13	1	17	2
Industrial Research	36	10	96	20

8. *Total IUK commitment of funds*

Stream	Total Funds Allocated	
	October 2019	January 2020
Stream 1	£10,868,816	£34,404,429
Stream 2	£8,478,019	£16,277,422
<b>Total</b>	<b>£19,346,835</b>	<b>£50,681,851</b>

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance


Email: [foi@ukri.org](mailto:foi@ukri.org) or [infogovernance@ukri.org](mailto:infogovernance@ukri.org)

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: <http://www.ico.gov.uk/>

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,

  
Information Governance  
Information Rights Team  
UK Research and Innovation  
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