5 April 2022

Dear ████████ ,

Freedom of Information request: FOI2022/00104

Thank you for your Freedom of Information request received on the 21 March in which you requested the following:

Your request:

For the Innovate UK Smart Grants October 2021 competition, for both the <18 month (<£500k) and >18 month (up to £2 million) streams:

1. *The number of applications*
2. *The number of successful applications*
3. *The success rate*
4. *The minimum successful score*
5. *The maximum successful score*
6. *Maximum score of failed applications*
7. *Average grant fund value per project*
8. *Total funds allocated*
9. *The score distributions of the applications (e.g. % and number of applications which scored 0 - 70, 70.1 - 75, 75.1 - 80, 80.1 - 85, 85.1 - 100)*
10. *The count of successful projects by innovation area for each strand.*

Our response:

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information below.

Information in relation to questions 1 to 7 is provided in the table below:

Question		Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)
1	The number of applications*	918	154
2	The number of successful applications	63	8
3	The success rate	6.86%	5.19%
4	The minimum successful score	83.5%	87.0%
5	The maximum successful score	90.6%	91.2%
6	Maximum score of failed applications	83.0%	86.8%
7	Average grant fund value per project**	£283,441	£973,256

* This is for eligible applications only
** This is for successful projects only

With regard to question 8 '*total funds allocated*', as per the information provided in the online competition brief[1], up to £25m was available for this round. Information regarding specific grant costs is published as part of transparency data[2]. At present projects are going through financial viability and eligibility checks during which costs may change therefore it is not possible to give a figure at this time.

Information in relation to question 9 the '*score distributions of the applications*' is provided in the table below:

Score	Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)
<70%	489	44
70.1-75%	150	30
75.1-80%	143	26
80.1-85%	105	40
>85.1%	31	14
Total	**918**	**154**

Information in relation to question 10 the '*count of successful projects by innovation area for each strand*' is provided below:

Stream 1 (6-18 month projects)	
Innovation Area	**Successful Projects**
Additive layer manufacturing (ALM)	1
Agricultural productivity	2
Biosciences	4
Creative industries	7
Diagnostics, medical technology and devices	5
Digital health	3
Digital industries	2
Digital manufacturing	1
Digital technology	7
Electronic materials and manufacturing	1
Emerging technology	2
Energy - other	2
Energy systems	1
Enhancing food quality	2
Forming technologies	1
Independent living and wellbeing	1
Materials, process and manufacturing design technologies	3
Metals / metallurgy	1
Nanotechnology / nanomaterials	1
Non-metallics	1
Other transport	1
Preclinical technologies and drug target discovery	2
Rail transport	2
Robotics and autonomous systems	3
Smart infrastructure	2

Stream 2 (19-36 month projects)	
Innovation Area	**Successful Projects**
Additive layer manufacturing (ALM)	1
Agricultural productivity	1
Composite materials	1
Digital technology	1
Electronic materials and manufacturing	1
Robotics and autonomous systems	2
Sustainable materials	1
Grand Total	**8**

Surface engineering	1
Sustainable materials	1
Urban living	1
Not specified	2
Grand Total	**63**

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance
Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: http://www.ico.gov.uk/

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: https://www.ukri.org/about-us/policies-and-standards/complaints-policy/

Yours sincerely,

███████
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Information Rights Team
UK Research and Innovation
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