██████████████

29 November 2021

Dear ███████████,

Freedom of Information request: FOI2021/00479

Thank you for your Freedom of Information request received on the 3 November in which you requested the following:

Your request:

If the information is available and you are able to share it could you please answer the following requests:
For the SMART May 2021 competition, for both the <18 month (<£500k) and >18 month streams:

1. *The number of applications*
2. *The number of successful applications*
3. *The success rate*
4. *The minimum successful score*
5. *The maximum successful score*
6. *Maximum score of failed applications*
7. *Average grant fund value per project*
8. *Total funds allocated*
9. *The score distributions of the applications (e.g. % (or number) of applications which scored <70, 71-75, 76-80, 81-85, 86+)*
10. *The count of successful projects by innovation area for each strand*

I would also like to request the number of unsuccessful applications, if any, which scored above the minimum funded score, please.

Our response:

I can confirm UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

Information in relation to questions 1 to 7 is provided in the table below:

Question		Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)
1	The number of applications*	860	152
2	The number of successful applications	60	11
3	The success rate	6.98%	7.24%
4	The minimum successful score	84.2%	84.8%
5	The maximum successful score	91.8%	88.25%
6	Maximum score of failed applications	84%	84.6%
7	Average grant fund value per project**	£276,035	£713,669

*This is for eligible applications only.
**This is for successful projects only.

With regard to question 8 '*total funds allocated*', as per the information provided in the online competition brief, up to £25m was available for this round. Information regarding specific grant costs is published as part of [transparency data](#)[1]. At present projects are going through financial viability and eligibility checks during which costs may change therefore it is not possible to give a figure at this time.

Information in relation to question 9 the '*score distributions of the applications'* is provided in the table below:

	Stream 1 (6-18 month projects)	Stream 2 (19 - 36 month projects)
<70%	451	46
70-75%	171	33
76-80%	123	43
81-85%	86	23
>86%	29	7
Total	860	152

Information in relation to question 10 the '*count of successful projects by innovation area for each strand'* is provided below:

Stream 1 (6 - 18 month projects)

Innovation Area	Successful Projects
Agricultural productivity	3
Assembly / disassembly / joining	1
Biosciences	5
Chemical / bio processes	1
Composite materials	1
Connected transport	2
Creative industries	2
Diagnostics, medical technology and devices	4
Digital health	2
Digital industries	4
Digital manufacturing	1
Digital technology	7
Electronics, sensors and photonics	2
Emerging technology	3
Energy efficiency	1
Low carbon vehicles	2
Marine transport	1
Material recovery and treatment	1
Materials, process and manufacturing design technologies	2
Nanotechnology / nanomaterials	1
Offshore wind	2
Precision medicine	1
Rail transport	1
Resource efficiency	2
Robotics and autonomous systems	2

Stream 2 (19 - 36 month projects)

Innovation Area	Successful Projects
Biosciences	1
Creative industries	1
Diagnostics, medical technology and devices	1
Digital industries	1
Energy - other	1
Energy efficiency	1
Low carbon vehicles	1
Material recovery and treatment	1
Resource efficiency	2
Sustainable materials	1
Grand Total	**11**

Surface engineering, coatings and thin films	1
Sustainable materials	2
Not specified	3
Grand Total	**60**

With regard to the final point of your request, the Smart competition funds on a solely top down basis split across the two streams. There are therefore no unsuccessful applications that scored above the minimum funded score in their stream.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance
Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: http://www.ico.gov.uk/

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: https://www.ukri.org/about-us/policies-and-standards/complaints-policy/

Yours sincerely,

Information Governance
Information Rights Team
UK Research and Innovation
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