UK Research and Innovation

03 April 2020

Freedom of Information request: FOI2020/00082

Thank you for your Freedom of Information request received on the 20 March 2020 in which you requested the following:

Your request:

For the SMART October 2019 competition, for both the <18 month (<£500k) and >18 month streams:
1. *The number of applications*
2. *The number of successful applications*
3. *The success rate*
4. *the minimum successful score*
5. *the maximum successful score*
6. *maximum score of failed applications*
7. *average grant fund value per project*
8. *total funds allocated*
9. *the score distributions of the applications (e.g. % (or number) of applications which scored <70, 71-75, 76-80, 81-85, 86+)*
10. *the count of successful projects by innovation area for each strand.*

I would also like to request the number of unsuccessful applications, if any, which scored above the minimum funded score, please.

Our response

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information regarding Innovate UK's October 2019 Smart grants competition below.

Please note that:

Stream 1: Projects 18 months or less in duration, with total project cost of £500k or less
Stream 2: Projects either over 18 months in duration and/or £500k project costs

1. *The number of applications*

Stream	Number of eligible applications submitted
Stream 1	614
Stream 1	137

2. *The number of successful applications*

Stream	Number of successful applications
Stream 1	53
Stream 2	11
Total	64

3. *The success rate*

Innovate UK do not calculate the success rate of their competitions, as such this information is not held.

4. *the minimum successful score*

Stream	Maximum successful score	Minimum successful score	Maximum score of failed application
Stream 1	89.6	81.6	81.4
Stream 2	88.8	84	83.4

5. *the maximum successful score*

Please see Q4

6. *maximum score of failed applications*

Please see Q4

7. *average grant fund value per project*

Stream	Average of Funding Sought
Stream 1	£205,071.97
Stream 2	£770,728.85

8. *total funds allocated*

Stream	Total funds allocated
Stream 1	£13,799,253
Stream 2	£6,210,886

9. *the score distributions of the applications (e.g. % (or number) of applications which scored <70, 71-75, 76-80, 81-85, 86+)*

Stream 1

Score	Number of applications
0 - 20	6
21 - 30	11
31 - 35	16
36 - 40	18
41 - 45	14
46 - 50	34
51 - 55	45
56 - 60	67
61 - 65	80
66 - 70	69
71 - 75	107
76 - 80	84
81 - 85	55
86 - 100	8

Stream 2

Score	Number of applications
0 - 50	3
51 - 60	23
61 - 70	27
71 - 75	32
76 - 80	27
81 - 85	21
86 - 100	5

> 10. the count of successful projects by innovation area for each strand.

Please see **Annex A** at the end of this letter

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance
Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: http://www.ico.gov.uk/

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: https://www.ukri.org/about-us/policies-and-standards/complaints-policy/

Yours sincerely,

████████████
Information Governance
Information Rights Team
UK Research and Innovation
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Annex A - The count of successful projects by innovation area for each strand

Technology area	Stream 1 – Funded	Stream 1 - Unfunded	Stream 1 Total	Stream 2 - Funded	Stream 2 – Unfunded	Stream 2 Total	Grand Total
Additive layer manufacturing (ALM)	1	2	3		3	3	6
Advanced therapies	2	4	6		3	3	9
Aerospace	1	3	4		1	1	5
Agricultural productivity		8	8	2	3	5	13
Assembly / disassembly / joining	1	1	2		1	1	3
Biosciences	3	18	21		4	4	25
Chemical / bio processes		3	3		1	1	4
Composite materials	1	5	6	1	2	3	9
Connected and autonomous vehicles	1	1	2		5	5	7
Connected transport	1	1	2		1	1	3
Creative industries	2	31	33		5	5	38
Diagnostics, medical		1	1				1
Diagnostics, medical technology and devices	9	27	36	2	5	7	43
Digital health	3	53	56		17	17	73
Digital industries	5	91	96	1	8	9	105
Digital manufacturing	1	3	4		4	4	8
Digital technology	3	81	84	2	9	11	95
Electronic materials and manufacturing	2	2	4				4
Electronics manufacturing		5	5				5
Electronics, sensors and photonics		2	2	1	1	2	4
Emerging technology	2	26	28		6	6	34
Energy - other		17	17		2	2	19
Energy and automotive	2	7	9		1	1	10
Energy efficiency	1	12	13		5	5	18
Energy systems		11	11		3	3	14
Enhancing food quality	1	3	4		1	1	5
Forming technologies		1	1	1		1	2
Independent living and wellbeing	1	6	7		1	1	8
Low carbon vehicles		5	5		2	2	7
Marine transport		4	4		4	4	8
Material recovery and treatment	1	4	5				5
Materials, process and manufacturing design technologies	1	6	7				7
Nanotechnology / nanomaterials		3	3				3
Nuclear fission		2	2				2

Offshore wind		5	5				5
Other transport		5	5				5
Polymers and plastics		1	1				1
Precision medicine		5	5		1	1	6
Preclinical technologies and drug target discovery		1	1		1	1	2
Rail transport		1	1		1	1	2
Resource efficiency	1	8	9				9
Robotics and autonomous systems		7	7		4	4	11
Satellite applications		1	1		1	1	2
Sensor and instrument design or manufacture		2	2	1	1	2	4
Smart infrastructure	1	10	11		5	5	16
Space technology		6	6				6
Surface engineering, coatings and thin films					2	2	2
Sustainable materials		5	5		2	2	7
Therapeutic and medicine development	3	4	7		2	2	9
Urban living	1	11	12		3	3	15
(blank)	2	40	42		5	5	47
Grand Total	**53**	**561**	**614**	**11**	**126**	**137**	**751**