



03 April 2020

**Freedom of Information request: FOI2020/00079**

Thank you for your Freedom of Information request received on the 19 March 2020 in which you requested the following:

**Your request:**

*Please could you help me out by providing the below information, regarding Innovate UK's 'October 19' Smart Competition. (This competition had a deadline of 08/01/20. Applicants were notified of the outcomes of the competition on 12/03/20.)*

*Please could you provide the below information for both [A] Projects 18 months or less in duration, with total project cost of £500k or less; [B] Projects either over 18 months in duration and/or £500k project costs.*

- 1. The number of applications submitted and the number of those that were assessed (i.e. deemed in scope)*
- 2. The overall number of applications awarded funding and how many of these were first-time applications and resubmissions*
- 3. The number of applications within each innovation area and the number of those that were successful in each area.*
- 4. The average grant request and project size of the applications awarded funding*
- 5. The average score of successful applications and the lowest score for a successful application*
- 6. The percentages of successful applications that were Industrial Research and Experimental Development.*
- 7. The number of successful Industrial Research and Experimental Development projects that were (i) single applicant/partner, (ii) 2 partners, (iii) 3+ partner*

**Our response**

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information below.

Please note that:

**Stream 1:** Projects 18 months or less in duration, with total project cost of £500k or less

**Stream 2:** Projects either over 18 months in duration and/or £500k project costs

- 1. The number of applications submitted and the number of those that were assessed (i.e. deemed in scope)*

886 applications submitted – including ineligible applications

135 ineligible applications – ineligible applications are not allocated into Streams.

Stream	Number of eligible applications submitted
Stream 1	614
Stream 1	137

2. The overall number of applications awarded funding and how many of these were first-time applications and resubmissions

Stream	Resubmission	1 <sup>st</sup> Submission	Total
Stream 1	9	44	53
Stream 2	2	9	11
Total	11	53	64

3. The number of applications within each innovation area and the number of those that were successful in each area.

Please see Annex A at the end of this letter

4. The average grant request and project size of the applications awarded funding

Stream	Average of Project Cost	Average of Funding Sought
Stream 1	£ 382,700	£ 260,363
Stream 2	£ 796,478	£ 564,626

5. The average score of successful applications and the lowest score for a successful application

Stream	Average Score of funded	Lowest Funded Score
Stream 1	84.1	81.6
Stream 2	85.9	84

6. The percentages of successful applications that were Industrial Research and Experimental Development.

Stream	Experimental development	Feasibility studies	Industrial research	Grand Total
Stream 1	4	13	36	53
Stream 2	0	1	10	11

7. The number of successful Industrial Research and Experimental Development projects that were (i) single applicant/partner, (ii) 2 partners, (iii) 3+ partner

	Number of Partners				
	1	2	3	4	6
<b>Stream 1</b>					
Experimental development	3	1	0	0	0
Feasibility studies	9	3	1	0	0
Industrial research	22	8	4	2	0
<b>Stream 2</b>					
Experimental development	0	0	0	0	0
Feasibility studies	0	1	0	0	0
Industrial research	0	4	4	1	1

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance

Email: [foi@ukri.org](mailto:foi@ukri.org) or [infogovernance@ukri.org](mailto:infogovernance@ukri.org)

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: <http://www.ico.gov.uk/>

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,

  
Information Governance  
Information Rights Team  
UK Research and Innovation  
[foi@ukri.org](mailto:foi@ukri.org) | [dataprotection@ukri.org](mailto:dataprotection@ukri.org)

**Annex A** - The number of applications within each innovation area and the number of those that were successful in each area.

Technology area	Stream 1 – Funded	Stream 1 - Unfunded	Stream 1 Total	Stream 2 - Funded	Stream 2 – Un- funded	Stream 2 Total	Grand To- tal
Additive layer manufacturing (ALM)	1	2	3		3	3	6
Advanced therapies	2	4	6		3	3	9
Aerospace	1	3	4		1	1	5
Agricultural productivity		8	8	2	3	5	13
Assembly / disassembly / joining	1	1	2		1	1	3
Biosciences	3	18	21		4	4	25
Chemical / bio processes		3	3		1	1	4
Composite materials	1	5	6	1	2	3	9
Connected and autonomous vehicles	1	1	2		5	5	7
Connected transport	1	1	2		1	1	3
Creative industries	2	31	33		5	5	38
Diagnostics, medical		1	1				1
Diagnostics, medical technology and devices	9	27	36	2	5	7	43
Digital health	3	53	56		17	17	73
Digital industries	5	91	96	1	8	9	105
Digital manufacturing	1	3	4		4	4	8
Digital technology	3	81	84	2	9	11	95
Electronic materials and manufacturing	2	2	4				4
Electronics manufacturing		5	5				5
Electronics, sensors and photonics		2	2	1	1	2	4
Emerging technology	2	26	28		6	6	34
Energy - other		17	17		2	2	19
Energy and automotive	2	7	9		1	1	10
Energy efficiency	1	12	13		5	5	18
Energy systems		11	11		3	3	14
Enhancing food quality	1	3	4		1	1	5
Forming technologies		1	1	1		1	2
Independent living and wellbeing	1	6	7		1	1	8
Low carbon vehicles		5	5		2	2	7
Marine transport		4	4		4	4	8
Material recovery and treatment	1	4	5				5
Materials, process and manufacturing design technologies	1	6	7				7
Nanotechnology / nanomaterials		3	3				3
Nuclear fission		2	2				2

Offshore wind		5	5				5
Other transport		5	5				5
Polymers and plastics		1	1				1
Precision medicine		5	5		1	1	6
Preclinical technologies and drug target discovery		1	1		1	1	2
Rail transport		1	1		1	1	2
Resource efficiency	1	8	9				9
Robotics and autonomous systems		7	7		4	4	11
Satellite applications		1	1		1	1	2
Sensor and instrument design or manufacture		2	2	1	1	2	4
Smart infrastructure	1	10	11		5	5	16
Space technology		6	6				6
Surface engineering, coatings and thin films					2	2	2
Sustainable materials		5	5		2	2	7
Therapeutic and medicine development	3	4	7		2	2	9
Urban living	1	11	12		3	3	15
(blank)	2	40	42		5	5	47
Grand Total	53	561	614	11	126	137	751