

From: [REDACTED]  
To: [REDACTED]  
Subject: Re: Climate Change - Tyndall Centre

[REDACTED]  
Many thanks.

[REDACTED]  
>>> [REDACTED] 01/08/00 09:36:19 >>>  
[REDACTED]

Quarterly invoicing isn't really necessary if we are paying to a pre-determined profile of specific annual amounts, so, I agree to a single invoice each year. I confirm, therefore, that we will provide funding contributions to your profile (£200k, £800k, £700k, £1,500k and £550k) payable on receipt of annual September invoices during the period 2001-02 to 2005-06.

Regards.

[REDACTED]  
>>> [REDACTED] 07/31 4:36 pm >>>  
[REDACTED]

Thanks for your response.

It would be helpful to NERC to stick with the profile summarised in my email and I appreciate your flexibility in accommodating this.

Regarding the invoicing arrangements, I am keen to keep them as simple as possible which was the driver behind the proposal in my email. Would you prefer quarterly invoices? Could we compromise on say, two a year?

I look forward to hearing from you.

[REDACTED]  
>>> [REDACTED] 31/07/00 15:26:14 >>>  
[REDACTED]

Our usual arrangement when contributing to other research councils programmes is to pay on the basis of costs incurred, quarterly in arrears. My current planning line reflects this and shows a more regular profile than the one you propose. Would this arrangement cause problems? If so, given the advantage of a fixed expenditure pattern, we should be able to accommodate your profile.

Regards.

[REDACTED]  
>>> [REDACTED] 07/31 12:45 pm >>>  
[REDACTED]

Catherine Coates has suggested that I contact you direct about the finances for the above Centre.

You are no doubt aware that NERC, EPSRC and ESRC are contributing to the costs of the Centre which will amount to a total of £10m over 5 years starting 1 October 2000. NERC's share is £5m with EPSRC contributing £3.75m and ESRC £1.25m.

NERC is negotiating the contract on behalf of the 3 Research Councils and over the past couple of months, as part of this exercise, I been trying to fix the overall funding profile with a view to agreeing the phasing of the EPSRC and ESRC contributions. I am now in a position to do this and the attached spreadsheet summarises the various figures.

Predictably, it will take sometime for the Centre to get up to speed and the proposed model takes account of ESRC's agreed profile. NERC and EPSRC need to fit around this and the proposed model takes account of figures that have already appeared in our Operating Plan for the early years.

You will see that this results in the following contributions from EPSRC:-

2001/02	£200k
2002/03	£800k
2003/04	£700k
2004/05	£1500k
2005/06	£550k

NERC will handle the cash flow from year to year and I hope the proposed profile is acceptable to EPSRC. Regarding the arrangements for transferring the funds to NERC, I suggest we keep this simple and propose that NERC invoices EPSRC mid way through the financial year for the full amount for that year ie the first invoice would be issued to EPSRC at the end of September 2001 for £200k.

Can you confirm that these arrangements are acceptable to EPSRC please?

[REDACTED]

[REDACTED]

Finance and Information Systems Directorate  
Natural Environment Research Council

[REDACTED]

CC:

[REDACTED]

# FUNDING FOR TYNDALL CLIMATE CHANGE CENTRE

Prepared 31 July 2000

	1999/2000 £k	2000/01 £k	2001/02 £k	2002/03 £k	2003/04 £k	2004/05 £k	2005/06 £k	Totals £k
<b>Funds required by Tyndall Centre</b>		306	1495	2622	2638	2221	718	10000
<b>Research Council contributions</b>								
NERC	100	500	885	1375	1740	400		5000
EPSRC			200	800	700	1500	550	3750
ESRC		25	100	425	250	250	200	1250
<b>Total contributions</b>	100	525	1185	2600	2690	2150	750	10000
<b>Estimated in-year surplus/deficit</b>	100	219	-310	-22	52	-71	32	0

S:\FISD\PROG\BUDGETS\NONBOARD\Climate Change Centre\Funding profile to 2006 31 July 2000.xls]Sheet1

**From:** [REDACTED]  
**To:** [REDACTED]; [REDACTED]; [REDACTED]  
**Date:** 28/07/00 15:53:37  
**Subject:** Funding of Tyndall Centre

Dear colleagues

You will be aware that I was tasked with making proposals on how the four Board contributions of £625k to the above should be profiled.

The attached table sets out: the current future programmes funding profiles for each Board; the overall agreed funding for the total of £10m; the Centre's profiled spend; and the agreed funding profiles from headroom and ESRC. You will see that the spend profile is such that there is a surplus of funds available in each of 1999-00 and 2000-01 without any contribution from the Boards or EPSRC for those years.

Section 5 of the table sets out my proposals for the funding from each Board over the period 2001-02 to 2003-04 utilising your future programmes funding line. [REDACTED] has stated that the Board contributions must be completed by 2003-04.

This section also sets out my proposed profile of funding from EPSRC which we believe they will find acceptable.

The last line shows the proposed annual surplus/deficit position in each year that will be cash flowed.

I am in the process of finalising version 2 of the 2001 Operating Plan planning figures, so I would be grateful for confirmation by 8 August that the proposed profile for your area is acceptable. I am happy to provide further explanations if that would assist.

Many thanks.

[REDACTED]

**CC:**

[REDACTED]; [REDACTED]

Board	99-00	00-01	01-02	02-03	03-04	04-05	05-06	Totals
-------	-------	-------	-------	-------	-------	-------	-------	--------

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NERC central contribution	100	500	500	500	500	400		2500
Boards #								2500
ESRC		25	100	425	250	250	200	1250
EPSRC #								3750
Grand totals	100	525	600	925	750	650	200	10000

<b>3. CENTRE'S PROFILE OF SPEND</b>	<b>306</b>	<b>1495</b>	<b>2622</b>	<b>2638</b>	<b>2221</b>	<b>718</b>	<b>10000</b>
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100      525      600      925      750      650      200      3750

\_\_\_\_\_

**From:** Catherine Coates  
**To:** Ian Dwyer  
**Date:** 18/07/00 12:29:25  
**Subject:** Fwd: Re: Climate Change: project with NERC

Ian, could you please confirm latest position on funding required from EPSRC, your preferred spend pattern, and the proposed start date of the grant? Suggest you reply direct to [REDACTED] in our finance directorate, but would appreciate receiving a copy. Hope this is OK. Catherine

**CC:** [REDACTED]

From: [REDACTED]  
To: [REDACTED]  
Date: 27/07/00 10:28:52  
Subject: Funding of Tyndall CCC

3 pm 27/7

[REDACTED]  
Further to previous discussions, I have updated my table, copy attached, to show **proposed** Board and EPSRC funding profiles.

Can we have a quick discussion, please? I haven't yet spoken with the Board Secs. [REDACTED] has confirmed that the funding must be found from existing planning figures, therefore the NERC contributions have to be met in full in the period up to, and including, 2003-04.

[REDACTED]

Board	99-00	00-01	01-02	02-03	03-04	04-05	05-06	Totals
-------	-------	-------	-------	-------	-------	-------	-------	--------

\_\_\_\_\_

NERC central contribution	100	500	500	500	500	400		2500
Boards		<del>500</del>	<del>500</del>	<del>500</del>	<del>500</del>	<del>500</del>		2500
ESRC		25	100	425	250	250	200	1250
EPSRC								3750

<b>Grand totals</b>	<b>100</b>	<b>1025</b>	<b>1100</b>	<b>1425</b>	<b>1250</b>	<b>1150</b>	<b>200</b>	<b>10000</b>
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Atmospheric	125 ✓		125
Earth	125 ✓	125 ✓	250
Marine	31 ✓	90 ✓	121
Terrestrial	15 ✓		15

<b>Total shortfall</b>	<b>296</b>	<b>215</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>511</b>
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\* ie insufficient funds in annual future programmes line to meet any/all £125k pa due

<b>Centre's profile of spend</b>	<b>306</b>	<b>1495</b>	<b>2622</b>	<b>2638</b>	<b>2221</b>	<b>718</b>	<b>10000</b>
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<b>Available funding (central+ESRC)</b>	100	525	600	925	750	650	200	3750
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**Proposed funding:**

\_\_\_\_\_



6 July 2000

  
Registry  
University of East Anglia  
Norwich  
NR4 7TJ

Dear 

**Tyndall Centre**

I am writing on behalf of NERC to confirm that NERC agrees to meet a total of up to £48,000 of pump-priming expenditure to be incurred by UEA in the initial setting up of the Tyndall Centre during the period from 1 June to 30 September 2000 and in advance of the formal start date for the Tyndall Centre contract. The expenditure shall be in accordance with the budget attached as Annex A. It shall count as part of the budget for the first financial year of the Centre, that is within the total amount allocated to the Centre by the Research Councils of £10m. UEA shall submit a separate claim in respect of this pump-priming period and NERC shall thereupon pay that claim in accordance with its normal procedures. Except as modified above the pump-priming expenditure shall be subject to the terms and conditions of the contract to be agreed between NERC and UEA.

Yours sincerely

Ian Dwyer

Cc: Dr Mike Hulme

BC: 

## Annex A

### Pump-priming expenditure for Tyndall Centre

Period 1 June to 30 September 2000

Equipment	£20k (PCs, office equipment, printer, etc.)
Consumables	£1k (misc. mailing, stationery costs)
Publicity	£15k (up-front launch expenditure; advertising/leaflets, etc.)
Secretarial support	£7k (general PA assistance)
Temporary website	£3k (hire of internal web-designer for a working site for October)
Travel	£2k (attending Tyndall meetings; Tyndall visit to Potsdam; etc.)
Total	£48k



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Mr Ian Dwyer  
Global Change Co-ordinator  
Natural Environment Research Council  
Polaris House  
North Star Avenue  
Swindon SN2 1EU

2221

Our Ref : BU292

28 June 2000

Dear Ian

**Re: Tyndall Centre**

I enclose herewith the revised budget sheets giving a split by financial years (i.e. April to March). We are working on a start date for the overall contract of 1<sup>st</sup> October 2000 but you will see that we have provided for a pre-contract start-up phase in which we need to incur some £48,000 of expenditure.

In detail the sheets comprise the following:

- (1) a project year summary as in the application but with the following modifications:
  - (a) £27,100 is transferred from equipment as miscellaneous publicity.
  - (b) the DTI contract costs are included so that the total comes to £10,000,000 (items affected are Business Liaison Officer, (-£151,364); business and professional seminars (-£43,636); working seminar unit (-£15,000) ).
- (2) a financial year summary. This shows the start-up costs as a separate column but please note that costs are included in the 2000/01 column.
- (3) individual sheets for each financial year, giving a quarterly breakdown for each.

The figures are our best estimates at this stage. With such a complex project it is inevitable that there will need to be changes and we understand that appropriate flexibility will be provided for in the contract, both by the annual updating of estimates and by a degree of virement. It would be helpful to have some discretion to vire both within the main budget heads (i.e. core costs, training costs, outreach costs and research costs) as well as across these budget heads.

I also attach a brief statement about the basis for the start-up costs. It would be particularly helpful to have confirmation that the Research Councils would be willing to meet these so that we can get ahead with the initial work.

I hope this is all reasonably clear, but please do not hesitate to let me know if you have any questions.

I am copying this to [REDACTED] and in addition to this hard copy I am sending you an advanced copy by e.mail.

Yours sincerely

[REDACTED]

[REDACTED]

Encs.

*and Mike Hulme*

JCB/gr c:\tyndall centre

## Project Years

	Year 1	Year 2	Year 3	Year 4	Year 5	Total
<b><sup>a</sup>Core Costs</b>						
<sup>b</sup> Research Director	108,173	112,500	117,000	121,680	126,547	585,900
External Communications Manager	46,565	50,369	54,430	58,847	63,779	273,990
Science Co-ordinator (0.5)	24,216	26,168	28,292	30,663	33,695	143,034
Regional Assistant Directors (0.5)						
- Manchester	24,216	26,168	28,292	30,663	33,695	143,034
- Southampton	24,216	26,168	28,292	30,663	33,695	143,034
IT/WebMaster (0.5)	23,283	25,185	27,215	29,434	31,890	137,007
Centre Administrator	26,315	28,204	30,226	32,393	34,712	151,850
Secretary	22,636	24,259	26,000	27,876	29,895	130,666
Core Travel	20,000	21,000	22,000	23,000	24,000	110,000
Consumables	10,000	10,500	11,000	11,500	12,000	55,000
<sup>c</sup> Equipment	40,000	0	0	25,000	0	65,000
<b>Sub-Total</b>	<b>369,620</b>	<b>350,521</b>	<b>372,747</b>	<b>421,719</b>	<b>423,908</b>	<b>1,938,515</b>
<b>'Training' Costs</b>						
<sup>d</sup> Fellowships - 3 months	8000	16000	16000	16000	16000	72,000
- 12 months	0	30000	30000	30000	30000	120,000
<sup>e</sup> Studentships	15,000	30,000	45,000	30,000	15,000	135,000
<sup>f</sup> hMobility grants	5,000	10,000	10,000	10,000	10,000	45,000
<sup>g</sup> Visiting Professionals	15,000	5,000	5,000	5,000	5,000	35,000
<sup>h</sup> 'Extending the Frontiers'	25,000	30,000	25,000	25,000	30,000	135,000
<sup>i</sup> Business/professional seminars	-	-	6,364	20,000	20,000	46,364
<sup>j</sup> Summer Schools	15,000	15,000	15,000	15,000	15,000	75,000
<b>Sub-Total</b>	<b>83,000</b>	<b>136,000</b>	<b>152,364</b>	<b>151,000</b>	<b>141,000</b>	<b>663,364</b>
<b>Outreach Costs</b>						
Briefing notes	5,000	10,000	10,000	10,000	10,000	45,000
<sup>k</sup> Media seminars	0	10,000	0	10,000	0	20,000
<sup>l</sup> Mobile seminar unit	0	0	0	5,000	5,000	10,000
Miscellaneous publicity	29,034	5,000	8,066	5,000	5,000	52,100
<b>Sub-Total</b>	<b>34,034</b>	<b>25,000</b>	<b>18,066</b>	<b>30,000</b>	<b>20,000</b>	<b>127,100</b>
<b><sup>m</sup>Research Costs</b>						
(see Table A.2)						
<sup>n</sup> Programme Managers	90,000	90,000	90,000	90,000	90,000	450,000
Tranche 1	1,423,346	576,654	0	0	0	2,000,000
Tranche 2	0	821,825	1,366,823	632,373	0	2,821,021
Tranche 3	0	0	0	764,908	1,325,092	2,000,000
<b>Sub-Total</b>	<b>1,513,346</b>	<b>1,488,479</b>	<b>1,456,823</b>	<b>1,397,281</b>	<b>1,415,092</b>	<b>7,271,021</b>
<b>Grand-Total</b>	<b>2,000,000</b>	<b>2,000,000</b>	<b>2,000,000</b>	<b>2,000,000</b>	<b>2,000,000</b>	<b>10,000,000</b>
<b>Committed Co-Funding</b>						
<sup>o</sup> Executive Director (UEA)	76,916	76,916	76,916	76,916	76,916	384,580
DTI Contract	70,000	70,000	70,000	0	0	210,000
<b>Sub-total</b>	<b>146,916</b>	<b>146,916</b>	<b>146,916</b>	<b>76,916</b>	<b>76,916</b>	<b>594,580</b>
<b>DTI Contract</b>						
<sup>p</sup> Business Liaison Officer	46,565	50,369	54,430	0	0	151,364
<sup>q</sup> Mobile seminar unit	10,000	2,500	2,500	0	0	15,000
<sup>r</sup> Business/professional seminars	13,435	17,131	13,070	0	0	43,636
<b>Sub-total</b>	<b>70,000</b>	<b>70,000</b>	<b>70,000</b>	<b>0</b>	<b>0</b>	<b>210,000</b>

Table A.2: Main budget of Tyndall Centre funds by Project Year.

## Financial years

		Financial year						
	Start-up	2000/01	2001/02	2002/03	2003/04	2004/05	2005/06	Total
<b>Core costs</b>	incl in 00/01							
Research Director		27,043	109,255	113,625	118,170	122,897	94,910	585,900
External Communications Manager		19,402	48,150	52,061	56,270	60,902	31,890	268,675
Science Co-ordinator (0.5)		10,090	25,192	27,230	29,478	32,179	16,848	141,016
Regional Assistant Director:								
-Manchester		12,108	25,192	27,230	29,478	32,179	16,848	143,034
-Southampton		12,108	25,192	27,230	29,478	32,179	16,848	143,034
IT/Webmaster (0.5)	3,000	12,701	24,234	26,200	28,325	30,662	15,945	138,067
Centre Administrator		10,965	27,102	29,047	31,129	33,359	17,356	148,957
Secretary	7,000	18,318	23,448	25,130	26,938	28,886	14,948	137,666
<b>Core Travel</b>	2,000	11,166	20,500	21,500	22,500	23,500	12,000	111,166
Consumables	1,000	6,000	10,250	10,750	11,250	11,750	6,000	56,000
Equipment	20,000	30,000	10,000	0	0	25,000	0	65,000
<b>Sub-total</b>	<b>33,000</b>	<b>169,901</b>	<b>348,514</b>	<b>360,002</b>	<b>383,014</b>	<b>433,493</b>	<b>243,591</b>	<b>1,938,515</b>
<b>Training costs</b>								
Fellowships - 3 months		0	16,000	16,000	16,000	16,000	8,000	72,000
- 12 months		0	15,000	30,000	30,000	30,000	15,000	120,000
Studentships		2,500	20,000	37,500	42,500	25,000	7,500	135,000
Mobility Grants		0	10,000	10,000	10,000	10,000	5,000	45,000
Visiting Professionals		0	16,500	6,000	5,000	5,000	2,500	35,000
Extending the Frontiers		0	25,000	30,000	25,000	30,000	25,000	135,000
Business/professional seminars		0	0	0	16,364	20,000	10,000	46,364
Summer Schools		1,000	14,000	15,000	15,000	15,000	15,000	75,000
<b>Sub-total</b>		<b>3,500</b>	<b>116,500</b>	<b>144,500</b>	<b>159,864</b>	<b>151,000</b>	<b>88,000</b>	<b>663,364</b>
<b>Outreach Costs</b>								
Briefing notes		2,500	10,000	10,000	10,000	10,000	2,500	45,000
Media Seminars		0	10,000	0	10,000	0	0	20,000
Miscellaneous Publicity	15,000	25,000	9,034	8,066	5,000	5,000	0	52,100
Mobile Seminar Unit		0	0	0	5,000	5,000	0	10,000
<b>Sub-total</b>	<b>15,000</b>	<b>27,500</b>	<b>29,034</b>	<b>18,066</b>	<b>30,000</b>	<b>20,000</b>	<b>2,500</b>	<b>127,100</b>
<b>Research Costs</b>								
Programme Managers		45,000	90,000	90,000	90,000	90,000	45,000	450,000
TIF - T1		0	0	44,000	110,000	11,000	0	165,000
TIF - T2		0	0	0	44,000	110,000	11,000	165,000
Internal projects - T1		60,000	761,250	706,875	271,875	0	0	1,800,000
Internal projects - T2		0	0	857,947	796,665	306,410	0	1,961,021
Internal projects - T3		0	0	0	242,143	645,714	242,143	1,130,000
External projects - T1		0	150,000	400,000	225,000	25,000	0	800,000
External projects - T2		0	0	0	285,714	428,571	85,714	800,000
<b>Sub-total</b>	<b>0</b>	<b>105,000</b>	<b>1,001,250</b>	<b>2,098,822</b>	<b>2,065,397</b>	<b>1,616,695</b>	<b>383,857</b>	<b>7,271,021</b>
<b>Grand Total</b>	<b>48,000</b>	<b>305,901</b>	<b>1,495,298</b>	<b>2,621,390</b>	<b>2,638,275</b>	<b>2,221,188</b>	<b>717,948</b>	<b>10,000,000</b>
<b>DTI Contract</b>								
Business Liaison Officer		19,402	48,150	52,061	31,751			151,364
Other DTI costs		2,500	22,500	22,500	11,136			58,636
<b>Co funding: committed (Exec. Director)</b>		<b>38,458</b>	<b>76,916</b>	<b>76,916</b>	<b>76,916</b>	<b>76,916</b>	<b>38,458</b>	<b>384,580</b>
<b>Difference</b>								

## Financial years

	Financial year 2000/01						
	Start-up	OND	JFM	total			
<b>Core costs</b>							
Research Director		0	27,043	27,043	Assumes 1/1/01 start		
External Communications Manager		7,761	11,641	19,402	Assumes 1/11/00 start		
Science Co-ordinator (0.5)		4,036	6,054	10,090	Assumes 1/11/00 start		
Regional Assistant Director:							
-Manchester		6,054	6,054	12,108	Assumes 1/10/00 start		
-Southampton		6,054	6,054	12,108	Assumes 1/10/00 start		
IT/Webmaster (0.5)/Web consultancy	3,000	3,881	5,821	12,701	Assumes 1/11/00 start		
Centre Administrator		4,386	6,579	10,965	Assumes 1/11/00 start		
Secretary	7,000	5,659	5,659	18,318	Assumes 1/10/00 start		
<b>Core Travel</b>	2,000	5,166	4,000	11,166			
Consumables	1,000	2,500	2,500	6,000			
Equipment	20,000	0	10,000	30,000	£27.1K switched to publicity		
<b>Sub-total</b>	<b>33,000</b>	<b>45,496</b>	<b>91,405</b>	<b>169,901</b>			
<b>Training costs</b>							
Fellowships - 3 months		0	0	0			
- 12 months		0	0	0			
Studentships		0	2,500	2,500	Assumes 2 studentships start January		
Mobility Grants		0	0	0			
Visiting Professionals		0	0	0			
Extending the Frontiers		0	0	0			
Business/professional seminars		0	0	0	DTI costs		
Summer Schools		0	1,000	1,000			
<b>Sub-total</b>		<b>0</b>	<b>3,500</b>	<b>3,500</b>			
<b>Outreach Costs</b>							
Briefing notes		0	2,500	2,500			
Media Seminars		0	0	0			
Miscellaneous Publicity	15,000	5,000	5,000	25,000	#20 taken from equipment		
Mobile Seminar Unit		0	0	0	DTI costs		
<b>Sub-total</b>	<b>15,000</b>	<b>5,000</b>	<b>7,500</b>	<b>27,500</b>			
<b>Research Costs</b>							
Programme Managers		22,500	22,500	45,000			
TIF - T1		0	0	0			
TIF - T2		0	0	0			
Internal projects - T1		0	60,000	60,000			
Internal projects - T2		0	0	0			
Internal projects - T3		0	0	0			
External projects - T1		0	0	0			
External projects - T2		0	0	0			
<b>Sub-total</b>	<b>0</b>	<b>22,500</b>	<b>82,500</b>	<b>105,000</b>			
<b>Grand Total</b>	<b>48,000</b>	<b>72,996</b>	<b>184,905</b>	<b>305,901</b>			
<b>DTI Contract</b>							
Business Liaison Officer		7,761	11,641	19,402	Assumes 1/11/00 start		
Other DTI costs		0	2,500	2,500			
<b>Co funding: committed (Exec.Director)</b>		<b>19,229</b>	<b>19,229</b>	<b>38,458</b>	<b>ED salary</b>		

## Financial years

	Financial year 2001/02				
	AMJ	JAS	OND	JFM	Total
<b>Core costs</b>					
Research Director	27,043	27,043	27,043	28,125	109,255
External Communications Manager	11,641	11,641	12,275	12,592	48,150
Science Co-ordinator (0.5)	6,054	6,054	6,542	6,542	25,192
Regional Assistant Directors:					
-Manchester	6,054	6,054	6,542	6,542	25,192
-Southampton	6,054	6,054	6,542	6,542	25,192
IT/Webmaster (0.5)	5,821	5,821	6,296	6,296	24,234
Centre Administrator	6,579	6,579	6,894	7,051	27,102
Secretary	5,659	5,659	6,065	6,065	23,448
<b>Core Travel</b>	8,500	4,000	4,000	4,000	20,500
Consumables	2,500	2,500	2,625	2,625	10,250
Equipment	10,000	0	0	0	10,000
<b>Sub-total</b>	<b>95,905</b>	<b>81,405</b>	<b>84,824</b>	<b>86,380</b>	<b>348,514</b>
<b>Training costs</b>					
Fellowships - 3 months	0	0	8,000	8,000	16,000
- 12 months	0	0	7,500	7,500	15,000
Studentships	2,500	2,500	7,500	7,500	20,000
Mobility Grants	2,500	2,500	2,500	2,500	10,000
Visiting Professionals	0	5,000	10,000	1,500	16,500
Extending the Frontiers	5,000	15,000	5,000	0	25,000
Business/professional seminars	0	0	0	0	0
Summer Schools	1,000	13,000	0	0	14,000
<b>Sub-total</b>	<b>11,000</b>	<b>38,000</b>	<b>40,500</b>	<b>27,000</b>	<b>116,500</b>
<b>Outreach Costs</b>					
Briefing notes	2,500	2,500	2,500	2,500	10,000
Media Seminars	0	10,000	0	0	10,000
Miscellaneous Publicity	4,000	2,534	1,250	1,250	9,034
Mobile Seminar Unit	0	0	0	0	0
<b>Sub-total</b>	<b>6,500</b>	<b>15,034</b>	<b>3,750</b>	<b>3,750</b>	<b>29,034</b>
<b>Research Costs</b>					
Programme Managers	22,500	22,500	22,500	22,500	90,000
TIF - T1	0	0	0	0	0
TIF - T2	0	0	0	0	0
Internal projects - T1	108,750	217,500	217,500	217,500	761,250
Internal projects - T2	0	0	0	0	0
Internal projects - T3	0	0	0	0	0
External projects - T1	0	0	50,000	100,000	150,000
External projects - T2	0	0	0	0	0
<b>Sub-total</b>	<b>131,250</b>	<b>240,000</b>	<b>290,000</b>	<b>340,000</b>	<b>1,001,250</b>
<b>Grand Total</b>	<b>244,655</b>	<b>374,439</b>	<b>419,074</b>	<b>457,130</b>	<b>1,495,298</b>
<b>DTI Contract</b>					
Business Liaison Officer	11,641	11,641	12,275	12,592	48,150
Other DTI costs	7,500	0	10,000	5,000	22,500
<b>Co funding: committed</b>	<b>19,229</b>	<b>19,229</b>	<b>19,229</b>	<b>19,229</b>	<b>76,916</b>



## Financial years

Financial year 2002/03					
	AMJ	JAS	OND	JFM	Total
<b>Core costs</b>					
Research Director	28,125	28,125	28,125	29,250	113,625
External Communications Manager	12,592	12,592	13,269	13,608	52,061
Science Co-ordinator (0.5)	6,542	6,542	7,073	7,073	27,230
Regional Assistant Directors:					
-Manchester	6,542	6,542	7,073	7,073	27,230
-Southampton	6,542	6,542	7,073	7,073	27,230
IT/Webmaster (0.5)	6,296	6,296	6,804	6,804	26,200
Centre Administrator	7,051	7,051	7,388	7,557	29,047
Secretary	6,065	6,065	6,500	6,500	25,130
<b>Core Travel</b>	5,250	5,250	5,500	5,500	21,500
<b>Consumables</b>	2,625	2,625	2,750	2,750	10,750
<b>Equipment</b>	0	0	0	0	0
<b>Sub-total</b>	<b>87,630</b>	<b>87,630</b>	<b>91,555</b>	<b>93,187</b>	<b>360,002</b>
<b>Training costs</b>					0
Fellowships - 3 months	0	8,000	0	8,000	16,000
- 12 months	7,500	7,500	7,500	7,500	30,000
Studentships	7,500	7,500	11,250	11,250	37,500
Mobility Grants	2,500	2,500	2,500	2,500	10,000
Visiting Professionals	1,750	1,750	1,250	1,250	6,000
Extending the Frontiers	6,000	18,000	6,000	0	30,000
Business/professional seminars	0	0	0	0	0
Summer Schools	2,000	13,000	0	0	15,000
<b>Sub-total</b>	<b>27,250</b>	<b>58,250</b>	<b>28,500</b>	<b>30,500</b>	<b>144,500</b>
<b>Outreach Costs</b>					0
Briefing notes	2,500	2,500	2,500	2,500	10,000
Media Seminars	0	0	0	0	0
Miscellaneous Publicity	2,017	2,017	2,017	2,017	8,066
Mobile Seminar Unit	0	0	0	0	0
<b>Sub-total</b>	<b>4,517</b>	<b>4,517</b>	<b>4,517</b>	<b>4,517</b>	<b>18,066</b>
<b>Research Costs</b>					0
Programme Managers	22,500	22,500	22,500	22,500	90,000
TIF - T1	0	0	11,000	33,000	44,000
TIF - T2	0	0	0	0	0
Internal projects - T1	217,500	217,500	163,125	108,750	706,875
Internal projects - T2	122,564	245,128	245,128	245,128	857,947
Internal projects - T3	0	0	0	0	0
External projects - T1	100,000	100,000	100,000	100,000	400,000
External projects - T2	0	0	0	0	0
<b>Sub-total</b>	<b>462,564</b>	<b>585,128</b>	<b>541,753</b>	<b>509,378</b>	<b>2,098,822</b>
<b>Grand Total</b>	<b>581,961</b>	<b>735,524</b>	<b>666,324</b>	<b>637,581</b>	<b>2,621,390</b>
<b>DTI Contract</b>					
Business Liaison Officer	12,592	12,592	13,269	13,608	52,061
Other DTI costs	5,625	5,625	5,625	5,625	22,500
<b>Co funding: committed</b>	<b>19,229</b>	<b>19,229</b>	<b>19,229</b>	<b>19,229</b>	<b>76,916</b>

## Financial years

Financial year 2003/04					
	AMJ	JAS	OND	JFM	Total
<b>Core costs</b>					
Research Director	29,250	29,250	29,250	30,420	118,170
External Communications Manager	13,608	13,608	14,344	14,712	56,270
Science Co-ordinator (0.5)	7,073	7,073	7,666	7,666	29,478
Regional Assistant Directors:					
-Manchester	7,073	7,073	7,666	7,666	29,478
-Southampton	7,073	7,073	7,666	7,666	29,478
IT/Webmaster (0.5)	6,804	6,804	7,359	7,359	28,325
Centre Administrator	7,557	7,557	7,918	8,098	31,129
Secretary	6,500	6,500	6,969	6,969	26,938
Core Travel	5,500	5,500	5,750	5,750	22,500
Consumables	2,750	2,750	2,875	2,875	11,250
Equipment	0	0	0	0	0
<b>Sub-total</b>	<b>93,187</b>	<b>93,187</b>	<b>97,461</b>	<b>99,180</b>	<b>383,014</b>
<b>Training costs</b>					0
Fellowships - 3 months	0	8,000	0	8,000	16,000
- 12 months	7,500	7,500	7,500	7,500	30,000
Studentships	11,250	11,250	11,250	8,750	42,500
Mobility Grants	2,500	2,500	2,500	2,500	10,000
Visiting Professionals	1,250	1,250	1,250	1,250	5,000
Extending the Frontiers	5,000	15,000	5,000	0	25,000
Business/professional seminars	1,364	5,000	5,000	5,000	16,364
Summer Schools	2,000	13,000	0	0	15,000
<b>Sub-total</b>	<b>30,864</b>	<b>63,500</b>	<b>32,500</b>	<b>33,000</b>	<b>159,864</b>
<b>Outreach Costs</b>					0
Briefing notes	2,500	2,500	2,500	2,500	10,000
Media Seminars	0	10,000	0	0	10,000
Miscellaneous Publicity	1,250	1,250	1,250	1,250	5,000
Mobile Seminar Unit	1,250	1,250	1,250	1,250	5,000
<b>Sub-total</b>	<b>5,000</b>	<b>15,000</b>	<b>5,000</b>	<b>5,000</b>	<b>30,000</b>
<b>Research Costs</b>					
Programme Managers	22,500	22,500	22,500	22,500	90,000
TIF - T1	33,000	33,000	27,500	16,500	110,000
TIF - T2	0	0	11,000	33,000	44,000
Internal projects - T1	108,750	108,750	54,375	0	271,875
Internal projects - T2	245,128	245,128	183,846	122,564	796,665
Internal projects - T3	0	0	80,714	161,429	242,143
External projects - T1	75,000	50,000	50,000	50,000	225,000
External projects - T2	0	57,143	114,286	114,286	285,714
<b>Sub-total</b>	<b>484,378</b>	<b>516,520</b>	<b>544,221</b>	<b>520,278</b>	<b>2,065,397</b>
<b>Grand Total</b>	<b>613,428</b>	<b>688,207</b>	<b>679,182</b>	<b>657,458</b>	<b>2,638,275</b>
<b>DTI Contract</b>					
Business Liaison Officer	13,608	13,608	4,536	0	31,751
Other DTI costs	3,712	3,712	3,712		11,136
Co funding: committed	19,229	19,229	19,229	19,229	76,916

## Financial years

Financial year 2004/05					
	AMJ	JAS	OND	JFM	Total
<b>Core costs</b>					
Research Director	30,420	30,420	30,420	31,637	122,897
External Communications Manager	14,712	14,712	15,534	15,945	60,902
Science Co-ordinator (0.5)	7,666	7,666	8,424	8,424	32,179
<b>Regional Assistant Directors:</b>					
-Manchester	7,666	7,666	8,424	8,424	32,179
-Southampton	7,666	7,666	8,424	8,424	32,179
IT/Webmaster (0.5)	7,359	7,359	7,973	7,973	30,662
Centre Administrator	8,098	8,098	8,485	8,678	33,359
Secretary	6,969	6,969	7,474	7,474	28,886
<b>Core Travel</b>	5,750	5,750	6,000	6,000	23,500
<b>Consumables</b>	2,875	2,875	3,000	3,000	11,750
<b>Equipment</b>	15,000	10,000	0	0	25,000
<b>Sub-total</b>	<b>114,180</b>	<b>109,180</b>	<b>104,156</b>	<b>105,977</b>	<b>433,493</b>
<b>Training costs</b>					0
Fellowships - 3 months	0	0	8,000	8,000	16,000
- 12 months	7,500	7,500	7,500	7,500	30,000
Studentships	8,750	8,750	3,750	3,750	25,000
Mobility Grants	2,500	2,500	2,500	2,500	10,000
Visiting Professionals	1,250	1,250	1,250	1,250	5,000
Extending the Frontiers	6,000	18,000	6,000	0	30,000
Business/professional seminars	5,000	5,000	5,000	5,000	20,000
Summer Schools	2,000	13,000	0	0	15,000
<b>Sub-total</b>	<b>33,000</b>	<b>56,000</b>	<b>34,000</b>	<b>28,000</b>	<b>151,000</b>
<b>Outreach Costs</b>					0
Briefing notes	2,500	2,500	2,500	2,500	10,000
Media Seminars	0	0	0	0	0
Miscellaneous Publicity	1,250	1,250	1,250	1,250	5,000
Mobile Seminar Unit	1,250	1,250	1,250	1,250	5,000
<b>Sub-total</b>	<b>5,000</b>	<b>5,000</b>	<b>5,000</b>	<b>5,000</b>	<b>20,000</b>
<b>Research Costs</b>					0
Programme Managers	22,500	22,500	22,500	22,500	90,000
TIF - T1	11,000	0	0	0	11,000
TIF - T2	33,000	33,000	27,500	16,500	110,000
Internal projects - T1					0
Internal projects - T2	122,564	122,564	61,282	0	306,410
Internal projects - T3	161,429	161,429	161,429	161,429	645,714
External projects - T1	25,000	0	0	0	25,000
External projects - T2	114,286	114,286	114,286	85,714	428,571
<b>Sub-total</b>	<b>489,778</b>	<b>453,778</b>	<b>386,996</b>	<b>286,143</b>	<b>1,616,695</b>
<b>Grand Total</b>	<b>641,958</b>	<b>623,958</b>	<b>530,152</b>	<b>425,120</b>	<b>2,221,188</b>
<b>DTI Contract</b>					
Business Liaison Officer	12	12	13	13	48
Other DTI costs	8	0	10	5	23
<b>Co funding: committed</b>	<b>19,229</b>	<b>19,229</b>	<b>19,229</b>	<b>19,229</b>	<b>76,916</b>

## Financial years

Financial year 2005/06					
	AMJ	JAS	OND	JFM	Total
<b>Core costs</b>					
Research Director	31,637	31,637	31,637	0	94,910
External Communications Manager	15,945	15,945	0		31,890
Science Co-ordinator (0.5)	8,424	8,424	0	0	16,848
Regional Assistant Directors:					
-Manchester	8,424	8,424	0	0	16,848
-Southampton	8,424	8,424	0	0	16,848
IT/Webmaster (0.5)	7,973	7,973	0	0	15,945
Centre Administrator	8,678	8,678	0	0	17,356
Secretary	7,474	7,474	0	0	14,948
Core Travel	6,000	6,000	0	0	12,000
Consumables	3,000	3,000	0	0	6,000
Equipment	0	0	0	0	0
<b>Sub-total</b>	<b>105,977</b>	<b>105,977</b>	<b>31,637</b>	<b>0</b>	<b>243,591</b>
<b>Training costs</b>					
Fellowships - 3 months	8,000				8,000
- 12 months	7,500	7,500	0	0	15,000
Studentships	3,750	3,750			7,500
Mobility Grants	2,500	2,500			5,000
Visiting Professionals	1,250	1,250			2,500
Extending the Frontiers	5,000	15,000	5,000	0	25,000
Business/professional seminars	5,000	5,000	0	0	10,000
Summer Schools	2,000	13,000	0	0	15,000
<b>Sub-total</b>	<b>35,000</b>	<b>48,000</b>	<b>5,000</b>	<b>0</b>	<b>88,000</b>
<b>Outreach Costs</b>					0
Briefing notes	2,500	0	0	0	2,500
Media Seminars	0	0	0	0	0
Miscellaneous Publicity					0
Mobile Seminar Unit	0	0	0	0	0
<b>Sub-total</b>	<b>2,500</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>2,500</b>
<b>Research Costs</b>					0
Programme Managers	22,500	22,500	0	0	45,000
TIF - T1	0	0	0	0	0
TIF - T2	11,000	0	0	0	11,000
Internal projects - T1					0
Internal projects - T2	0	0	0	0	0
Internal projects - T3	121,071	80,714	40,357	0	242,143
External projects - T1	0	0	0	0	0
External projects - T2	57,143	28,571	0	0	85,714
<b>Sub-total</b>	<b>211,714</b>	<b>131,786</b>	<b>40,357</b>	<b>0</b>	<b>383,857</b>
<b>Grand Total</b>	<b>355,191</b>	<b>285,763</b>	<b>76,994</b>	<b>0</b>	<b>717,948</b>
<b>DTI Contract</b>					
Business Liaison Officer	0	0	0	0	0
Other DTI costs	0	0	0	0	0
Co funding: committed	19,229	19,229	0	0	38,458

**Pump-priming expenditure for Tyndall Centre**

Period 1 June to 30 September 2000

Equipment	£20k (PCs, office equipment, printer, etc.)
Consumables	£1k (misc. mailing, stationary costs)
Publicity	£15k (up-front launch expenditure; advertising/leaflets, etc.)
Secretarial support	£7k (general PA assistance)
Temporary website	£3k (hire of internal web-designer for a working site for October)
Travel	£2k (attending Tyndall meetings; Tyndall visit to Potsdam; etc.)
Total	£48k

Assumes contract start date is 1 October 2000

This money to be subtracted from the Year 1 budget

# Science Programmes Directorate

To:

SPD

cc:

Mr I J Dwyer

From:

Date: 12 June 2000

Subject: Funding of the Tyndall Centre

Dave

- (1) The issue of whether or not EO contributes to the funding of the Tyndall Centre has still not been resolved. There are good arguments both for and against so I suggest it can only be resolved by a decision reached through discussion between you and [REDACTED]. No matter what you say someone is going to be unhappy, but the following comments may help.
- (2) The Tyndall Centre is very much the result of a top down process. The Science and Technology Boards and EOEG were not involved in the early stages so they did not feel it was one of "their" proposals.
- (3) [REDACTED] is right to point out (his e-mail to [REDACTED] 9 May 2000) that funding of the Centre was somehow not discussed at RSG and that EO appear not to have been consulted.
- (4) Conversely, the S & T Boards were told that EO would be a funding partner (your e-mail to [REDACTED] 1 October '99) and agreed their contributions on that basis.
- (5) One might ask who the beneficiaries of the Tyndall Centre are likely to be on the expectation that maybe they should pay. I asked Ian Dwyer that question and it seems that although EO may not be a major beneficiary neither are ESTB or ASTB! (Ian's memo of 8 June applies). So that approach is not as helpful as I hoped it would be.
- (6) NERC is committed to finding £5m over five years for this Centre. Half of this sum is coming from headroom (£2.5m). The S & T Boards have agreed to find £100k each for five years (£2.0m) leaving a shortfall of £500k which still needs to be found. There are many possible solutions, but I suggest you consider asking EO to fund it or ask the four S & T Boards for an additional £25k each per year. My personal feeling would be towards equal misery for all, but some would say I am biased!
- (7) Let me know if you want any of the referenced correspondence.

*1.5?*

**From:** Ian Dwyer  
**To:** [REDACTED]@dti.gsi.gov.uk".mime.mime  
**Date:** 08/06/00 10:53:29  
**Subject:** RE: Climate Change Centre - contracts

Dear [REDACTED]

Thank you for your note stating DTI's need for a specific proposal from the Tyndall Centre for the £70K p.a. already agreed in principle. They did of course submit a 100 page bid to the Expert Panel on which DTI was represented by Alister Keddie (who was in favour of awarding the contract to UEA). I hope that can provide the essential legitimacy upon which DTI's money can be awarded.

Having said that, the Panel did make some comments on the bid and so some amendment are being prepared which may have an impact on the business liaison arrangements.

If you are content to pursue this through a separate contract between DTI and UEA, as NERC has suggested, then perhaps you could let Mike Hulme\* (copy me) know what you need over and above what is in the bid (Alister will have at least one copy of the bid). If, however, you feel strongly that it would be easier to have a contract between DTI and NERC, for NERC to administer the money, then I am of course happy to discuss.

Regards  
Ian

\* Mike Hulme, CRU, UEA, Norwich, NR4 7TJ. [REDACTED]

CC: [REDACTED]; [REDACTED]; [REDACTED]

**From:** [REDACTED]  
**To:** [REDACTED]  
**Date:** 14/06/00 11:23:51  
**Subject:** Tyndall Centre

[REDACTED]

Further to the recent email exchange about the funding of the above, I now attach my table of the finances duly amended to delete the proposed EO contribution and insert the revised contributions of £125k pa for each Board.

This can assist in our deliberations once you have the forecast spend profile.

[REDACTED]



Board	99-00	00-01	01-02	02-03	03-04	04-05	05-06	Totals
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NERC central contribution	100	500	500	500	500	400		2500
Boards		500	500	500	500	500		2500
ESRC		25	100	425	250	250	200	1250
EPSRC								3750
Grand totals	100	1025	1100	1425	1250	1150	200	10000

\_\_\_\_\_

From: [REDACTED]  
To: [REDACTED]  
Date: 14/06/00 08:55:30  
Subject: Re: RSG: Tyndall Centre for Climate Change

[REDACTED]

Thanks for getting back so quickly. I agree with your proposal.

The confusion relates to the cryptic minutes of the 8 June 1999 RSG meeting (para 7.1 " *...Boards find £500k per annum on a rough pro rata basis*" and para 7.2 (i) says that final decisions on contributions from Boards to be made when the balance of the Centre's research are clearer). John Krebs was also keen that there were equal contributions from the 4 STBs and EO.

[REDACTED]

>>> [REDACTED] 13/06/00 17:33:26 >>>  
[REDACTED]

I may not be the only one who feels this debate has gone on far too long. My original default position was that the four STBs should contribute £125k pa each from their indicative allocations, unless they agreed amongst themselves to distribute it differently. I did not include EO as it is not an area of science and had neither an STB nor an indicative allocation. Nevertheless, somewhere along the line someone introduced the notion of 5 x £100k pa with EO contributing. I do not believe this was ever discussed formally by the RSG, and was certainly not endorsed by that group. I can see no justification for a contribution from EO, which has planning allocations based on an agreed strategy, which did not include contributing to the Tyndall Centre.

I suggest we revert to plan A and deduct £125k pa from the indicative allocations of the four science areas. That will require some re-profiling of existing unallocated funds but that can be put in the context of the outcomes of SR2000, following which Council will have to rethink indicative allocations anyway.

If you agree, FSD will do it. The issue is not material enough to justify a debate at RSG.

[REDACTED]

CC: [REDACTED]; [REDACTED]; Ian Dwyer; [REDACTED]; [REDACTED]; [REDACTED];  
[REDACTED]

**From:** [REDACTED]  
**To:** [REDACTED]  
**Date:** 13/06/00 16:27:53  
**Subject:** RSG: Tyndall Centre for Climate Change

[REDACTED]

Two points in relation to the above

1. You will have seen [REDACTED] note regarding funding of the Tyndall Centre. Earth Observation are not happy about the need for them to commit £100k per annum for the next five years. Although I recall this as an outcome of RSG./Council discussion I cannot track this back to references in any of the minutes of meetings.

2. Although the financial allocations spreadsheets for Thursday's RSG have a line under "Non-Board specific - Core Strategic" for half the Tyndall Centre funds, there are no corresponding entries in any of the STB or EO spreadsheets ([REDACTED] has done this deliberately until we have resolved the source of the remaining funds).

Can you clarify in respect of the audit trail and/or confirm the sources of the remaining funds? I mention this in case [REDACTED] raises it at RSG.

[REDACTED]

**CC:** [REDACTED]; [REDACTED]; Ian Dwyer; [REDACTED]; [REDACTED]; [REDACTED];  
[REDACTED]

**From:** [REDACTED]  
**To:** [REDACTED]  
**Date:** 09/05/00 10:03:55  
**Subject:** Funding for Climate Change Centre

[REDACTED]

I took the opportunity to look at the RSG papers on the funding of the Climate Change Centre.

Paper RSG 99/15 from the June '99 meeting says:

(para 6)

Council approved the NERC level of funding at £1M per annum for five years. It is proposed that this level of funding is found from contributions totalling £0.5M pa from the **Boards'** indicative allocations with the balance being provided from Council's headroom. The initial presumption is that programme initiation costs in 1999-2000 will be provided from headroom and that each of the **four Boards will contribute £125k pa** from 2000-01 onwards. These shares could be varied by agreement between the boards.

The minutes of the meeting are completely in line with this extract from the paper.

Without re-introducing the issues associated with the financial impact on the EO programme, it seems absolutely clear from the papers that there was no agreement from RSG for a contribution from the EO programme. I cannot see how 'four Boards contributing £125k pa' can be construed as including the EOEG.

Any thoughts on this before I return to [REDACTED] et al?

[REDACTED]

---

[REDACTED]  
NERC  
Monks Wood  
Abbots Ripton  
Huntingdon, Cambridgeshire PE28 2LS

UEA require templates for Annual reports / OPLs etc.

## 6. Finance

- M&E contact point for finance
- Payments quarterly in arrears
- bills in 2002/03
- ? Profile payments. 

	2000/01	2001/02
	£340k	£1682k
- \* UEA to provide mobile by 16 June.

## 7. Handling of competitive bidding

- Finance C&A etc should only claim 10%
- Peer Reviewing - Dept's excluded from 'external'
- Scrap peer review for Innovation Fund ??

## 8. Contracts

- Some expenditure prior to 1 Oct 05 to be covered

Mark / Bob to follow up.

- Deliverables (I am to check what is required)

Exclude work plan in Annual Report.

Contract signed by mid-July

## 9. AOB

- Centre Launch

# + Small Centre for Climate Change Research

Contract meeting - 25 May 2000

Mike  
Hobbs  
(UCL)

Jan  
Dyer

## 1. Recommendations from the assessment panel

- Management
  - Centre Management Board (will meet 4th)

- Business

$\frac{1}{2}$  of Advisory Board will be from business  
Beef up further details.

- PU5

Full time FMRM +  $\frac{1}{2}$  time UCL person  
(incl reference in Annual Report).

## 2. Appointment of Board Director

- UCL to lead THES, New Scientist, Nature, Economist, EOS  
V-C to chair Interview Board.  
Interview (Sept) date to be fixed now

## 3. Supervising Board

## 4. Advisory Board

- Meet twice in 1st year then annually thereafter
- 20 members
- UCL to take on this responsibility
- RC to agree Chair

## 5. Evaluation of Centre

Happy with SRA early year 4 but want  
it clarified that the SR will be limited.

**From:** [REDACTED]  
**To:** [REDACTED]; [REDACTED]; [REDACTED]; [REDACTED]  
**Date:** 09/05/00 10:07:02  
**Subject:** BUDGET FOR THE TYNDALL CENTRE FOR CLIMATE CHANGE

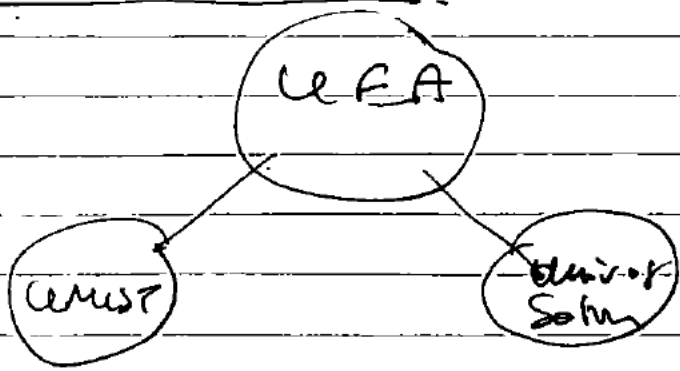
You may (or may not!) recall the attached note from [REDACTED] concerning the allocation of funds for the new Tyndall Centre for Climate Change (TC3). I understand that the concept of equal shares (of £100k per annum for five years) was approved by RSG, but no specific budget lines for TC3 have as yet been created. The object of this note is to point out to you that [REDACTED] and [REDACTED] will be doing just that taking into account the fact that not all of the affected budgets have funds available in the early years. They will send their recommendations to you for your approval in the near future.

[REDACTED]

**CC:** [REDACTED]; [REDACTED]; Dwyer, Ian; [REDACTED]

# Yuhall Centre

①



NERC

£5m

EPSRC

£3.75m

ESRC

£1.25m

£10.0m

②

• One contract between NERC → UEFA

• Agreement/ Exchange of letters EPSRC/ESRC/NERC

• Contract between UEFA/ DTI on their funds

③

Start date 1/10/2000 — 1/1/2001 later

UEFA to come up with new profile

Payment in arrears. ESRC happy to slip providers

\* Names of EPSRC/ESRC Finance/ contracts contracts



27 April 2000

2877  
cc  
Mr I Dwyer  
Dm 2/5  
**E·S·R·C**  
ECONOMIC  
& SOCIAL  
RESEARCH  
COUNCIL

Professor John Lawton  
Chief Executive  
Natural Environment Research Council  
Polaris House

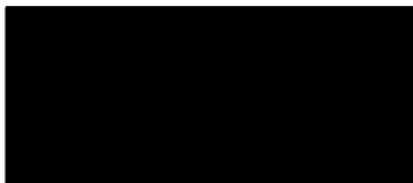
CHIEF EXECUTIVE  
Dr Gordon Marshall

Dear John,

**NERC/ESRC BILATERAL FOLLOW-UP - CLIMATE CHANGE CENTRE.**

Many thanks for agreeing so readily to the re-profiling of the ESRC contribution for 2000-01 to 2002-03, and adjusting the profile of the NERC contribution to accommodate this.

With all good wishes.



**Gordon Marshall**  
Chief Executive



INVESTOR IN PEOPLE

POLARIS HOUSE  
NORTH STAR AVENUE  
SWINDON SN2 1UJ  
TELEPHONE 01793 413000  
DIRECT 01793 413004  
FACSIMILE 01793 413002  
<http://www.esrc.ac.uk>

ESke

From: [REDACTED]@esrc.ac.uk>  
To: [REDACTED]@nerc.ac.uk>  
Date: 18/04/00 14:39:38  
Subject: Re: CCC - funding profile

[REDACTED] has passed your query on to me. The profile for Climate Change Centre is now as follows:

2000/1 £25k  
2001/2 £100k  
2002/3 £425k  
2003/4 £250k  
2004/5 £250k  
2005/6 £200k      TOTAL £1250k

[REDACTED]  
>>> "Ian Dwyer" [REDACTED]@nerc.ac.uk> 04/12 3:31 pm >>>  
[REDACTED]

Our CE received a letter from Your CE stating a revised profile of contributions from ESRC for the climate change centre. We are happy with your suggestions. However, whilst it stated the first three years of contributions, it said the last two years would be on the basis of the original profile. I do not appear to have any previous letter detailing the original profile. Please could you let me know the last two years profile from ESRC.

Many thanks  
Ian

Forwarded by Ian Dwyer 19/4

17 April 2000

Dr Gordon Marshall  
Chief Executive  
Economic & Social Research Council  
Polaris House

**NERC: ESRC BILATERAL FOLLOW-UP - CLIMATE CHANGE CENTRE**

Thank you for your letter of 29 March.

I can confirm that the proposed re-profiling of the ESRC contribution for 2000-01 to 2002-03 is acceptable. NERC will adjust the profile of its contribution to compensate.

← I  
didn't  
say  
this!  
Intention  
is to  
re-profile  
ESRC  
cont.

**JOHN LAWTON**

cc

[REDACTED]

Mr McDwyer

dr 1814

13-5/4/00

## MEMORANDUM

TO: Ian Dwyer, NERC

cc: [REDACTED], NERC ✓

Date: 5 April 2000

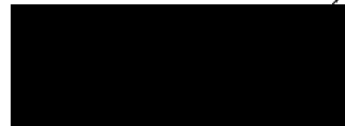
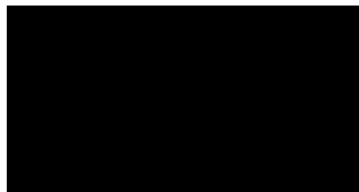
FROM: Adrian Alsop, ESRC

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### ESRC CONTRIBUTION TO THE CLIMATE CHANGE CENTRE

I am pleased to be able to confirm that the ESRC's Research Priorities Board and Director of Research have completed the necessary internal procedures. ESRC will contribute £1.25m to the award to the consortium led by the University of East Anglia.

I understand our External Relations Division has been in touch about the press release.

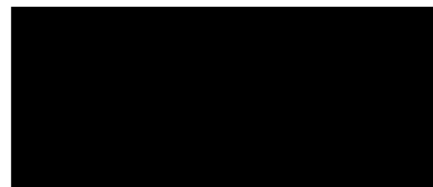


dw  
7/4

For information

cc. Executive Board members  
Heads of SPD Teams

Good news!



5/4/00

Common Read to comment on re-profiling  
of NERC spend

30 MAR 2000/2



29 March 2000

Please discuss with Ian Dwyer on this. Can we re-profile our share to match?

cc Jon Dwyer  
30/3/2000

Professor John Lawton  
Chief Executive  
Natural Environment Research Council

CHIEF EXECUTIVE  
Dr Gordon Marshall

Dear John,

**NERC: ESRC Bilateral Follow-Up - Climate Change Centre**

I thought our discussions were very constructive last week. I would just like to follow up the specific point I raised then. I understand the panel has now reached a unanimous recommendation as to the preferred Centre. We will process the ESRC formalities on this as quickly as possible as I know you are - rightly - anxious for an early announcement of the outcome. I do not foresee any problems on the substance of these formalities. You did also indicate that you would be prepared to consider a re-profiling of our contribution to the Centre, which would greatly assist the cash flow position of the ESRC.

Our proposal is as follows:

	£K	2000/2001	2001/2002	2002/2003	Total over 3 years*	
Currently agreed ESRC contribution		50	250	250	550	700 1050
Proposed re-profiled ESRC contribution		25	100	425	550	

\*subsequent financial years will proceed on the original profile and amounts we have already agreed.

I hope you will be able to agree to this proposed re-profiling.

I look forward to progressing the other issues we discussed in the coming months.

With best wishes,

- 5 APR 2000/1

Gordon Marshall  
Chief Executive



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SWINDON SN2 1UJ  
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FACSIMILE 01793 413002  
<http://www.esrc.ac.uk>

**From:** [REDACTED]  
**To:** [REDACTED]; Dwyer, Ian  
**Date:** 17/04/00 15:35:28  
**Subject:** CCC - Board Funding

[REDACTED] / Ian

I have spoken with [REDACTED] and [REDACTED]. There was some discussion about the Board contributions to the CCC at the November RSG, but nothing conclusive was minuted and no action was taken in the planning tables for the 2000 Operating Plan.

The consensus now is to allocate £500k pa to the CCC for 2000-01 to 2004-05 with equal contributions of £100k pa from AS, ES, MS, TFS and EO.

The funds will need to be found from within Board indicative allocations. EO's contribution will need to be funded from the new programme lines. Whilst the four Boards have sufficient funds in the Future Programmes line within their indicative allocations, they cannot meet a straight £100k pa.

Since this is such a high profile programme, it is in NERC's interests to be able to demonstrate that the full £1m pa is clearly shown in our planning. To achieve this, it will be necessary to re-profile some of the individual Board programmes. This should not be a hardship since many of them have late starts and thus slipped funds. I suggest that [REDACTED] and I get together to come up with a funding plan for each Board, plus EO, which we can then agree with [REDACTED]/Board Secs/EO rep. I would propose that we do this in May, when matters financial are a little quieter!

Happy to discuss.

[REDACTED]

**CC:** [REDACTED]

**From:** Ian Dwyer  
**To:** [REDACTED]; [REDACTED]; Coates, Catherine; world:adrian.Alsop [REDACTED]  
**Date:** 12/04/00 12:10:02  
**Subject:** Climate Change Centre

Dear All

As you know, a winner has been found for the joint research council climate change centre. The contract with the winning institutions will be administered by NERC. We need to start contractual negotiations as soon as possible. The first meeting will cover a number of important issues requiring your input. It needs to be held in May because there is a lot to do between now and when the centre starts operating in October.

From the research councils, the following should attend:

Ian Dwyer (NERC)  
[REDACTED] (NERC) (or substitute if necessary)  
[REDACTED] (NERC) (or substitute if necessary)  
Adrian Alsop (ESRC)  
Catherine Coates (EPSRC)

Please could you let me know your availability in May for a full or half day meeting in Swindon Office. 3-5 people from the winning institutions will also attend, so please be as flexible as possible when supplying dates to maximise the chance of finding a mutual date in May.

Thanks  
Ian

---

Ian Dwyer  
Global Change Coordinator  
Natural Environment Research Council  
Polaris House  
North Star Avenue  
Swindon SN2 1EU  
[REDACTED]  
[REDACTED]

## CLIMATE CHANGE CENTRE

Discussion with Ian Dwyer and [REDACTED] 12 April 2000 in response to the ESRC request to re-profile their contribution to this project. The background relevant to B&P Section was established as:

- The £5m from EPSRC (£3.75m) and ESRC (£1.25m) will be paid to NERC to a profile yet to be agreed
- NERC will set up a contract with the consortium headed by UEA within which payment profiles will be agreed
- The likely start date for the full operation of the Centre is 1 October 2000 and the bid assumes an even £2m pa spend Oct-Sept each year for five years
- Prior to 1 October, the Centre is likely to incur setting up costs
- The NERC contribution from Headroom is set out in the 2000 Operating Plan – total £2.5m
- The Board matching contribution has yet to be finalised – ID to discuss with [REDACTED]
- The ESRC requested profile can be agreed – [REDACTED] to advise [REDACTED]
- The EPSRC profile can be determined once the payment profile is established since NERC will not wish to receive income from the other Councils out of line with spend unless that would be in NERC's interest
- The consortium includes CEH and it is likely that CEH will be awarded funding from both the provision for research by consortium members and the provision for research that is subject to open competition
- Any NERC C/S might be successful in winning an award. A policy decision is thus needed on whether the award should be funded direct to the C/S by NERC from within its SB allocation, or whether the total NERC SB contribution, plus those from EPSRC and ESRC, should be channelled to the Centre. The Centre would then issue and pay an award to the NERC C/S. If the latter, the C/S would show this income as external, even though at least 50% of its source is NERC SB – [REDACTED] to discuss with [REDACTED]
- Once the Council profiles have been agreed, it will be necessary to include this external income in the 2001-02 Supply Estimates and the 2001 Operating Plan, as well as in 2000-01 outturn assessments

[REDACTED]  
12 April 2000

File / Daybook



10am 12/4/2000

From: [REDACTED]  
To: Dwyer, Ian  
Date: 10/04/00 09:21:26  
Subject: Re: CCC

Ian

Thanks for your response.

I would suggest that the first step is a quick chat between [REDACTED], yourself and myself, so that we can have an overall understanding of the income situation and the implications for the NERC planning line.

With regard to the latter, at present I have only included the headroom contribution - do you know when the contributions from the Boards will be resolved? My understanding is that they are to match in total the headroom contribution of £500k pa.

Are you both free tomorrow or Wednesday?

[REDACTED]

Starts 1/10/2000  
Finish 30/9/2005

CC: [REDACTED]

	99/00	01/01	01/02	02/03	03/04	04/05	
NERC (Head)	100	500	500	500	500	400	2500
* Assets		25	100	425			2500
ESRC	<del>25</del>	<del>25</del>	<del>100</del>				1250
EPSC							3750
							<hr/> 10,000

\* Profile required.

**From:** Ian Dwyer  
**To:** [REDACTED]  
**Date:** 10/04/00 09:08:03  
**Subject:** Re: CCC

[REDACTED]

In general terms, ESRC and EPSRC are to hand over their money to NERC for us to administer the whole process.

I think a financial meeting between NERC, ESRC and EPSRC needs to be held. EPSRC may well be happy to provide their contribution (or part of it) earlier in order to ease the situation.

The other factor is the profile of spend desired by the centre itself (unlikely to be simply £2m each year). I will be setting up a contractual negotiation meeting soon. I will talk to [REDACTED] initially. Someone from finances perhaps ought to be present. Which should occur first - the contract meeting with the centre or the financial meeting between the 3 RCs?

Regards  
Ian

>>> [REDACTED] Friday, April 07, 2000 >>>  
Ian

[REDACTED] has passed me some papers re the ESRC contribution and their wish to re-profile.

Can we have a chat about this early next week please, so that I can provide advice on how it might be handled?

In particular, I am not clear how the other councils will be contributing, ie direct, or via NERC.

[REDACTED]

CC: [REDACTED]

From: [REDACTED]  
To: [REDACTED]@esrc.ac.uk  
Subject: Tyndall Centre for Climate Change

[REDACTED]  
I am coordinating the financial aspects of the above initiative and I note the ESRC contribution will be as follows:-

2000/01 £25k  
2001/02 £100k  
2002/03 £425k  
2003/04 £250k  
2004/05 £250k  
2005/06 £200k Total £1250k

I hope to keep the invoicing arrangements between the Research Councils as simple as possible and have agreed with EPSRC to invoice them for their contribution once a year around the end of September.

Would ESRC be happy to operate on a similar basis please with the first invoice for £25k being issued once the contract is signed (hopefully by October 2000) and annually thereafter at the end of September?

[REDACTED]  
1/8/2000

[REDACTED]  
Please see the attached papers for the New Tyndall Centre for Climate Change. When I hear from [REDACTED] I will let you know. I hope she will be happy with annual invoices as EPSRC are

We will need to set up a project/cost centre / account codes etc to gear up for this contract which is due to start 1/10/2000. Can you do this please and open a file for these papers? Thanks [REDACTED] 1/8