



21 April 2022

Dear [REDACTED],

Freedom of Information request: FOI2022/00112

Thank you for your Freedom of Information request received on the 23 March in which you requested the following:

Your request:

I am trying to gain further understanding of the support of Innovate UK by sector in the UK specifically, for competitions which are "Open" – i.e. the present iteration of SMART.

Therefore, I am requesting under the Freedom of Information act the following:

- 1) For the 2 most recent SMART ROUNDS (Innovate UK Smart Grants: October 2021 ID:1035; Innovate UK Smart Grants: August 2021 ID:963), split by upper (projects >19 months <£2M) and lower stream (projects <18 months <£500K) where possible, a breakdown of total number of applications and successful applications by "Innovation Area" – as selected at point of submission (under Applications details)*
- 2) If possible a count of "active" reviewers for each of the listed innovation areas.*
- 3) If (1) is not possible (for example it would take it over the time limit as you would have to log into each application to extract the "innovation area") please can I request instead a list of all Lead Organizations which have applied to each round, split by stream.*
- 4) If (3) is not possible a breakdown of total number of applications and successful applications by company SIC code (as available from Companies House).*

Our response:

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information below.

Please note that:

Stream 1: Projects 18 months or less in duration, with total project cost of £500k or less

Stream 2: Projects either over 18 months in duration and/or £500k project costs

Smart Grants: October 2021

| Innovation Area | Stream 1 | | Stream 2 | | Assessors |
|------------------------------------|--------------------|-------------------------|--------------------|-------------------------|-----------|
| | Total Applications | Successful Applications | Total Applications | Successful Applications | |
| Additive layer manufacturing (ALM) | 5 | 1 | 5 | 1 | 13 |
| Advanced therapies | 9 | 0 | 2 | 0 | 16 |
| Aerospace | 6 | 0 | 5 | 0 | 1 |

| | | | | | |
|--|-----|---|----|---|----|
| Agricultural productivity | 17 | 2 | 4 | 1 | 13 |
| Assembly / disassembly / joining | 2 | 0 | 0 | 0 | 8 |
| Biosciences | 25 | 4 | 6 | 0 | 20 |
| Ceramic / electronic / functional materials | 2 | 0 | 1 | 0 | 1 |
| Chemical / bio processes | 6 | 0 | 2 | 0 | 30 |
| Composite materials | 7 | 0 | 3 | 1 | 3 |
| Connected and autonomous vehicles | 1 | 0 | 0 | 0 | 3 |
| Connected transport | 6 | 0 | 2 | 0 | 31 |
| Creative industries | 45 | 7 | 3 | 0 | 49 |
| Diagnostics, medical technology and devices | 34 | 5 | 8 | 0 | 27 |
| Digital health | 90 | 3 | 12 | 0 | 33 |
| Digital industries | 159 | 2 | 9 | 0 | 37 |
| Digital manufacturing | 5 | 1 | 0 | 0 | 21 |
| Digital technology | 156 | 7 | 18 | 1 | 16 |
| Electronic materials and manufacturing | 4 | 1 | 1 | 1 | 15 |
| Electronics manufacturing | 4 | 0 | 0 | 0 | 0 |
| Electronics, sensors and photonics | 5 | 0 | 2 | 0 | 14 |
| Emerging technology | 22 | 2 | 3 | 0 | 21 |
| Energy - other | 25 | 2 | 10 | 0 | 6 |
| Energy and automotive | 7 | 0 | 4 | 0 | 28 |
| Energy efficiency | 22 | 0 | 4 | 0 | 37 |
| Energy systems | 13 | 1 | 1 | 0 | 20 |
| Enhancing food quality | 11 | 2 | 2 | 0 | 3 |
| Forming technologies | 1 | 1 | 0 | 0 | 2 |
| Independent living and wellbeing | 12 | 1 | 2 | 0 | 4 |
| Low carbon vehicles | 8 | 0 | 2 | 0 | 30 |
| Marine transport | 5 | 0 | 2 | 0 | 1 |
| Material recovery and treatment | 8 | 0 | 2 | 0 | 0 |
| Materials, process and manufacturing design | 1 | 0 | 0 | 0 | 0 |
| Materials, process and manufacturing design technologies | 17 | 3 | 2 | 0 | 46 |
| Metals / metallurgy | 5 | 1 | 0 | 0 | 1 |
| Nanotechnology / nanomaterials | 5 | 1 | 1 | 0 | 5 |
| Non-metallics | 1 | 1 | 0 | 0 | 0 |
| Not specified | 66 | 2 | 3 | 0 | 71 |
| Nuclear fission | 1 | 0 | 0 | 0 | 6 |
| Offshore wind | 5 | 0 | 0 | 0 | 4 |
| Other transport | 14 | 1 | 0 | 0 | 0 |
| Polymers and plastics | 4 | 0 | 3 | 0 | 2 |
| Precision medicine | 2 | 0 | 2 | 0 | 1 |
| Preclinical technologies and drug target discovery | 2 | 2 | 2 | 0 | 0 |
| Rail transport | 7 | 2 | 2 | 0 | 2 |
| Resource efficiency | 6 | 0 | 1 | 0 | 2 |
| Robotics and autonomous systems | 10 | 3 | 4 | 2 | 26 |
| Satellite applications | 1 | 0 | 0 | 0 | 4 |
| Sensor and instrument design or manufacture | 1 | 0 | 1 | 0 | 0 |
| Smart infrastructure | 15 | 2 | 5 | 0 | 29 |

| | | | | | |
|--|------------|-----------|------------|----------|------------|
| Space technology | 3 | 0 | 0 | 0 | 19 |
| Surface engineering | 2 | 1 | 3 | 0 | 0 |
| Surface engineering, coatings and thin films | 1 | 0 | 2 | 0 | 3 |
| Sustainable materials | 8 | 1 | 4 | 1 | 0 |
| Therapeutic and medicine development | 6 | 0 | 4 | 0 | 53 |
| Urban living | 13 | 1 | 0 | 0 | 11 |
| Grand Total | 918 | 63 | 154 | 8 | 639 |

Smart Grants: August 2021

| Innovation Area | Stream 1 | | Stream 2 | | Assessors |
|--|--------------------|-------------------------|--------------------|-------------------------|-----------|
| | Total Applications | Successful Applications | Total Applications | Successful Applications | |
| Additive layer manufacturing (ALM) | 7 | 1 | 1 | 0 | 9 |
| Advanced therapies | 4 | 0 | 1 | 1 | 13 |
| Aerospace | 4 | 0 | 2 | 1 | 0 |
| Agricultural productivity | 11 | 0 | 9 | 1 | 4 |
| Assembly / disassembly / joining | 2 | 0 | 0 | 0 | 8 |
| Biosciences | 22 | 3 | 3 | 0 | 11 |
| Ceramic / electronic / functional materials | 1 | 0 | 0 | 0 | 1 |
| Chemical / bio processes | 2 | 0 | 2 | 1 | 20 |
| Composite materials | 5 | 0 | 1 | 1 | 1 |
| Connected and autonomous vehicles | 3 | 0 | 2 | 0 | 3 |
| Connected transport | 7 | 0 | 0 | 0 | 26 |
| Creative industries | 36 | 3 | 1 | 0 | 31 |
| Diagnostics, medical technology and devices | 29 | 7 | 6 | 0 | 21 |
| Digital health | 48 | 4 | 6 | 0 | 27 |
| Digital industries | 126 | 13 | 4 | 1 | 31 |
| Digital manufacturing | 8 | 1 | 0 | 0 | 15 |
| Digital technology | 87 | 3 | 8 | 1 | 14 |
| Electronic materials and manufacturing | 4 | 0 | 0 | 0 | 11 |
| Electronics, sensors and photonics | 6 | 2 | 1 | 1 | 11 |
| Emerging technology | 21 | 1 | 2 | 0 | 21 |
| Energy - other | 11 | 2 | 5 | 0 | 3 |
| Energy and automotive | 3 | 0 | 0 | 0 | 27 |
| Energy efficiency | 14 | 0 | 1 | 0 | 33 |
| Energy systems | 9 | 0 | 0 | 0 | 17 |
| Enhancing food quality | 5 | 0 | 1 | 0 | 0 |
| Forming technologies | 2 | 1 | 0 | 0 | 2 |
| Independent living and wellbeing | 11 | 2 | 0 | 0 | 4 |
| Low carbon vehicles | 5 | 0 | 0 | 0 | 24 |
| Marine transport | 4 | 1 | 0 | 0 | 1 |
| Material recovery and treatment | 5 | 2 | 1 | 0 | 0 |
| Materials, process and manufacturing design technologies | 9 | 1 | 3 | 0 | 37 |
| Metals / metallurgy | 3 | 0 | 0 | 0 | 1 |
| Nanotechnology / nanomaterials | 2 | 0 | 2 | 0 | 4 |
| Not specified | 49 | 4 | 1 | 0 | 40 |

| | | | | | |
|--|------------|-----------|-----------|-----------|------------|
| Nuclear fission | 2 | 0 | 0 | 0 | 4 |
| Offshore wind | 5 | 1 | 0 | 0 | 3 |
| Other transport | 4 | 0 | 0 | 0 | 0 |
| Polymers and plastics | 2 | 0 | 0 | 0 | 1 |
| Precision medicine | 2 | 1 | 2 | 1 | 1 |
| Preclinical technologies and drug target discovery | 2 | 0 | 0 | 0 | 0 |
| Rail transport | 5 | 1 | 1 | 0 | 1 |
| Resource efficiency | 5 | 0 | 0 | 0 | 1 |
| Robotics and autonomous systems | 10 | 3 | 3 | 0 | 24 |
| Satellite applications | 1 | 0 | 1 | 0 | 5 |
| Smart infrastructure | 6 | 0 | 3 | 0 | 27 |
| Space technology | | 0 | 0 | 0 | 19 |
| Surface engineering, coatings and thin films | 6 | 3 | 0 | 0 | 2 |
| Sustainable materials | 3 | 2 | 2 | 1 | 0 |
| Therapeutic and medicine development | 4 | 2 | 1 | 0 | 34 |
| Urban living | 7 | 0 | 1 | 0 | 11 |
| Grand Total | 629 | 64 | 77 | 10 | 472 |

Please note the following, in regard to the above data:

- Total number of assessors does not equal the number of assessors in each innovation area, as a single assessor can list multiple innovation areas.
- When setting up profiles, assessors are asked to list their skill areas and self-select innovation areas, however, selecting innovation areas is not mandatory so the number of assessors listed per innovation area above is not an indication of how many assessors were used, or available for that particular area.
- Assessors who did not self-select any innovation areas are listed in the “not specified” category.
- When assigning projects to assessors for reviews, both skill area and innovation area are used in combination to determine the most appropriate assessors for each application.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance

Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: <http://www.ico.gov.uk/>

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,


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Information Rights Team
UK Research and Innovation
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