



[REDACTED]

03 April 2020

[REDACTED]

**Freedom of Information request: FOI2020/00084**

Thank you for your Freedom of Information request received on the 23 March 2020 in which you requested the following:

**Your request:**

*Split into the 2 strands where possible as defined in the eligibility criteria [Project durations between 6 and 18 months must have total eligible project costs between £25,000 and £500,000. They can be single or collaborative projects.*

*Project durations between 19 months and 3 years must have total eligible project costs between £25,000 and £2 million. They must be collaborative projects.]*

**Application Data:**

1. *Total number of applications*
2. *Total number of successful applications*
3. *Total number of applications ineligible for assessment*
4. *Total number of applications considered a resubmission of a previous application*
5. *Total number of successful applications which were considered a resubmission of a previous application*
6. *The score of the lowest scoring funded project*
7. *The score of the highest scoring funded project*
8. *The score of the highest non-funded project*
9. *The average (mean) score of funded projects*
10. *The raw scores for all applications.*

**Assessor Data:**

11. *The average number of assessments for this round performed by each assessor*
12. *The average number of assessments for this round performed by each assessor considering only winning bids.*
13. *The average experience of each assessor: including number of previous bids assessed within the IUK system; and months registered on IFS portal.*
14. *The average experience of each assessor for this round , considering only those whom were involved with a winning bid.*

**Our response**

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information for Innovate UK's October 2019 Smart grants competition below.

Please note that:

**Stream 1:** Projects 18 months or less in duration, with total project cost of £500k or less

**Stream 2:** Projects either over 18 months in duration and/or £500k project costs

**Application Data:**1. *Total number of applications*

Stream	Number of eligible applications submitted
Stream 1	614
Stream 1	137

2. *Total number of successful applications*

Stream	Number of successful applications
Stream 1	53
Stream 2	11
Total	64

3. *Total number of applications ineligible for assessment*

There were 135 ineligible applications – ineligible applications are not allocated into Streams.

4. *Total number of applications considered a resubmission of a previous application*

Stream	Total number of resubmissions
Stream 1	105
Stream 2	34
Total	139

5. *Total number of successful applications which were considered a resubmission of a previous application*

Stream	Total number of successful resubmissions
Stream 1	9
Stream 2	2
Total	11

6. *The score of the lowest scoring funded project*

Stream	Highest scoring funded project	Lowest scoring funded project	Highest scoring non-funded project
Stream 1	89.6	81.6	81.4
Stream 2	88.8	84	83.4

7. *The score of the highest scoring funded project*

Please see Q6

8. *The score of the highest non-funded project*

Please see Q6

9. *The average (mean) score of funded projects*

Stream	Mean score of funded projects
Stream 1	84.1
Stream 2	85.9

10. *The raw scores for all applications.*

**Stream 1**

Score	Number of applications
0 - 20	6
21 - 30	11
31 - 35	16
36 - 40	18
41 - 45	14
46 - 50	34
51 - 55	45
56 - 60	67
61 - 65	80
66 - 70	69
71 - 75	107
76 - 80	84
81 - 85	55
86 - 100	8

**Stream 2**

Score	Number of applications
0 - 50	3
51 - 60	23
61 - 70	27
71 - 75	32
76 - 80	27
81 - 85	21
86 - 100	5

**Assessor Data:**

We estimate that the cost of complying with this section of your request would exceed the appropriate statutory limit as specified within Section 12 of the FOIA which for UKRI is set at £450. This represents the estimated cost of 18 hours of staff resource on locating, retrieving and extracting the information.

To gather this information, we have determined that we would have to go into each assessor's profile and manually extract the data to enable us to calculate out the averages you have requested. Doing this would take more than 20 hours. Consequently, UKRI is not obliged under Section 12 of the FOIA to process this section of your request further.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance


Email: [foi@ukri.org](mailto:foi@ukri.org) or [infogovernance@ukri.org](mailto:infogovernance@ukri.org)

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: <http://www.ico.gov.uk/>

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,

  
Information Governance  
Information Rights Team  
UK Research and Innovation  
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