14 May 2020

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Freedom of Information request: FOI2020/00102

Thank you for your Freedom of Information request received on the 18 April 2020 in which you requested the following:

Your request:

Please can you send us details on the last three SMART schemes;

1. *the number of applicants*
2. *the number of approved applications*
3. *the breakdown between the innovation categories/project size*
4. *the average size of the grants awarded*
5. *the sectors these were in.*

Our response

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request.

Please find the information you have requested regarding Innovate UK's Smart grants competition at the end of this letter in the following Annexes:

- **Annex A**: Smart Grants competition: October 2019
- **Annex B**: Smart Grants competition: July 2019
- **Annex C**: Smart Grants competition: April 2019

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance
Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: http://www.ico.gov.uk/

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: https://www.ukri.org/about-us/policies-and-standards/complaints-policy/

Yours sincerely,

████████

Information Governance
Information Rights Team
UK Research and Innovation
foi@ukri.org | dataprotection@ukri.org

Annex A: Smart Grants competition: October 2019

Stream 1: Projects 18 months or less in duration, with total project cost of £500k or less
Stream 2: Projects either over 18 months in duration and/or £500k project costs

Table 1: Applications statistics

	Number of applications	Number of funded applications	Average grant funding sought by successful applicants
Stream 1	614	53	£260,363.26
Stream 2	137	11	£564,626.00

Please note there were also **135 ineligible applications**, which are not included in the above figures.

Table 2: Number of funded applications by Innovation Area			
	Number of funded applications		
Innovation Area	**Stream 1**	**Stream 2**	**Grand Total**
Additive layer manufacturing (ALM)	1	0	1
Advanced therapies	2	0	2
Aerospace	1	0	1
Agricultural productivity	0	2	2
Assembly / disassembly / joining	1	0	1
Biosciences	3	0	3
Composite materials	1	1	2
Connected and autonomous vehicles	1	0	1
Connected transport	1	0	1
Creative industries	2	0	2
Diagnostics, medical technology and devices	9	2	11
Digital health	3	0	3
Digital industries	5	1	6
Digital manufacturing	1	0	1
Digital technology	3	2	5
Electronic materials and manufacturing	2	0	2
Electronics, sensors and photonics	0	1	1
Emerging technology	2	0	2
Energy and automotive	2	0	2
Energy efficiency	1	0	1
Enhancing food quality	1	0	1
Forming technologies	0	1	1
Independent living and wellbeing	1	0	1
Material recovery and treatment	1	0	1

Materials, process and manufacturing design technologies	1	0	1
Resource efficiency	1	0	1
Sensor and instrument design or manufacture	0	1	1
Smart infrastructure	1	0	1
Therapeutic and medicine development	3	0	3
Urban living	1	0	1
(blank)	2	0	2
Grand Total	**53**	**11**	**64**

Table 3: Number of funded applications by Research Category

	Number of funded applications	
Research category	**Stream 1**	**Stream 2**
Experimental development	4	0
Feasibility studies	13	1
Industrial research	36	10

Annex B: Smart Grants competition: July 2019

Stream 1: 18 months or less in duration, with total project cost of £500k or less
Stream 2: Projects either over 18 months in duration and/or £500k project costs

Table 1: Application statistics

	Number of applications	Number of funded applications	Average grant funding sought by successful applicants
Stream 1	691	72	£249,919
Stream 2	175	9	£799,122.56

Please note there were also **102 ineligible applications**, which are not included in the above figures.

Table 2: Number of funded applications by Innovation Area			
	Number of funded applications		
Innovation Area	**Stream 1**	**Stream 2**	**Grand total**
Additive layer manufacturing (ALM)	0	1	1
Advanced therapies	2	0	2
Aerospace	1	2	3
Agricultural productivity	2	0	2
Biosciences	4	0	4
Chemical / bio processes	1	0	1
Creative industries	5	0	5
Diagnostics, medical technology and devices	4	1	5
Digital health	5	0	5
Digital industries	8	0	8
Digital technology	11	0	11
Electronic materials and manufacturing	1	1	2
Electronics, sensors and photonics	2	0	2
Emerging technology	2	0	2
Energy - other	1	0	1
Energy and automotive	1	0	1
Energy efficiency	1	0	1
Enhancing food quality	2	1	3
Independent living and wellbeing	1	0	1
Low carbon vehicles	1	0	1

	Stream 1	Stream 2	Total
Marine transport	1	0	1
Material recovery and treatment	2	0	2
Metals / metallurgy	0	1	1
Offshore wind	1	0	1
Other transport	1	0	1
Precision medicine	1	0	1
Rail transport	0	1	1
Resource efficiency	1	0	1
Robotics and autonomous systems	1	0	1
Smart infrastructure	2	0	2
Sustainable materials	2	0	2
Undefined	5	1	6
Grand total	72	9	81

Table 3: Number of funded applications by Research Category

	Number of funded applications	
Research category	**Stream 1**	**Stream 2**
Experimental development	7	0
Feasibility studies	12	0
Industrial research	53	9

Annex C: Smart Grants competition: April 2019

Strand 1: 18 months or less in duration, with total project cost of £500k or less
Strand 2: Projects either over 18 months in duration and/or £500k project costs

Table 1: Applications statistics

	Number of applications	Number of funded applications	Average grant value per project across funded projects
Strand 1	698	71	£238,968.59
Strand 2	205	11	£789,943.27

Please note there were also **129 ineligible applications**, which are not included in the above figures.

Table 2: Number of funded applications by Innovation Area

	Number of funded applications		
Innovation Area	**Strand 2**	**Strand 1**	**Grand Total**
Additive layer manufacturing (ALM)	1	1	2
Advanced therapies	1	1	2
Bioscience	8	1	9
Connected transport	1	0	1
Creative industries	2	0	2
Diagnostics, medical technology and devices	10	0	10
Digital health	3	0	3
Digital industries	4	0	4
Digital manufacturing	2	0	2
Digital technology	12	3	15
Electronic materials and manufacturing	2	0	2
Emerging technology	2	1	3
Energy - other	2	0	2
Energy and automotive	1	0	1
Energy systems	1	0	1
Enhancing food quality	1	0	1
Materials, process and manufacturing design technologies	2	1	3
Metals / metallurgy	0	1	1
Offshore wind	1	0	1

Other transport	2	0	2
Polymers and plastics	2	0	2
Precision medicine	1	0	1
Preclinical technologues and drug target discovery	1	0	1
Resource efficiency	1	1	2
Robotics and autonomous systems	1	0	1
Sensor and instrument design or manufacture	1	0	1
Space technologies	3	0	3
Surface engineering, coatings and thin films	1	1	2
Therapeutic and medicine development	2	0	2
Grand Total	**71**	**11**	**82**

Table 3: Number of funded applications by Research Category

	Number of funded applications	
Research category	**Stream 1**	**Stream 2**
Experimental development	6	0
Feasibility studies	13	1
Industrial research	52	10