



[REDACTED]

14 May 2024

Dear [REDACTED],

Freedom of Information request: FOI2024/00346

Thank you for your Freedom of Information request received on the 25 April in which you requested the following:

Your request:

I am trying to better understand the funding process, and portfolio approach of Innovate UK. For the following competitions

*Innovate UK Investor Partnerships: SME round 1
Innovate UK Investor Partnerships: SME round 2
Innovate UK Investor Partnerships: SME round 3
Innovate UK Investor Partnerships: SME round 4
Innovate UK Investor Partnerships: SME round 5
Innovate UK Investor Partnerships: SME round 6*

Could you kindly provide,

Application Data:

- 1. Total number of applications.*
- 2. Total number of applications ineligible for assessment.*
- 3. Total number of successful applications.*
- 4. Total number of applications considered a resubmission of a previous application.*
- 5. Total number of successful applications which were considered a resubmission of a previous application.*
- 6. The success rate.*
- 7. The score of the lowest scoring funded project.*
- 8. The score of the highest scoring funded project.*
- 9. The score of the highest non-funded project.*
- 10. The average (mean) score of funded projects.*
- 11. Average grant fund value of funded projects.*
- 12. The score distributions of the applications (eg. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).*
- 13. The number of assessed applications by research category.*
- 14. The number of successful projects by research category.*
- 15. The number of assessed applications by innovation area.*
- 16. The number of successful projects by innovation area.*
- 17. The number of unsuccessful applicants, if any, which scored above the minimum funded score.*

Our response

I can confirm that UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

¹ All scores are the written assessment scores and are given as percentages.

² Average value of funding sought for 'successful' applications at the assessment stage.

³ Figures are based on 'successful' applications at the assessment stage, 'successful' applications are still subject to due diligence process and may not be funded.

⁴ The number of unsuccessful applications with a score greater than or equal to the minimum score of successful applications.

Table 1 – Question's 1-11

Questions	Future Economy Investor Partnerships SME Rd 1	Future Economy Investor Partnerships SME: Rd 2	Future Economy Investor Partnerships SME: Rd 3	Innovate UK Investor Partnerships: SME Rd 4	Innovate UK Investor Partnerships: SME Rd 5	Innovate UK Investor Partnerships: SME Rd 6
1. Total number of applications.	73	51	71	77	89	72
1a. Total number of assessed applications	57	43	56	65	67	64
2. Total number of applications ineligible for assessment.	16	8	15	11	22	8
3. Total number of successful applications.	21	17	29	27	28	23
4. Total number of applications considered a re-submission of a previous application.	4	8	8	15	19	12
5. Total number of successful applications which were considered a resubmission of a previous application.	0	3	3	8	5	5
6. The success rate.	28.8%	33.0%	33.0%	35.0%	31.5%	31.9%
7. The score of the lowest scoring funded project.	70.0	65.2	68.6	70.0	74.2	69.4
8. The score of the highest scoring funded project.	83.8	86.0	86.2	90.25	87.40	87.25
9. The score of the highest non-funded project. ¹	83.6	82	86.4	85.6	80.80	84.60
10. The average (mean) score of funded projects. ^{1,3}	78.5	78.61	78.49	78.6	80.53	77.56
11. Average grant fund value of funded projects. ²	£484,957.24	£588,955.12	£434,185.34	£391,795.85	£448,447.89	£480,377.04

12. The score distributions of the applications (e.g., % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).

Table 2 – Question 12

Score ¹ Range	Number						
	Rd1	Rd2	Rd3	Rd4	Rd5	Rd6	Total
<=70	20	11	17	18	21	21	108
70.1-75	12	11	9	15	14	12	73
75.1-80	15	11	18	19	15	22	100
80.1-85	10	9	10	9	14	8	60
85.1-90	0	1	2	3	3	1	10
90.1-95	0	0	0	1	0	0	1
95.1-100	0	0	0	0	0	0	0
Total	57	43	56	65	67	64	352

Score ¹ Range	Percentage						
	Rd1	Rd2	Rd3	Rd4	Rd5	Rd6	Total
<=70	35.1%	25.6%	30.4%	27.7%	31.3%	32.8%	30.7%
70.1-75	21.1%	25.6%	16.1%	23.1%	20.9%	18.8%	20.7%
75.1-80	26.3%	25.6%	32.1%	29.2%	22.4%	34.4%	28.4%
80.1-85	17.5%	20.9%	17.9%	13.8%	20.9%	12.5%	17.0%
85.1-90	0.0%	2.3%	3.6%	4.6%	4.5%	1.6%	2.8%
90.1-95	0.0%	0.0%	0.0%	1.5%	0.0%	0.0%	0.3%
95.1-100	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%

13. The count of assessed applications by research category (feasibility studies, industrial research, experimental development).

Table 3 – Question's 13

Research Category	Rd1	Rd2	Rd3	Rd4	Rd5	Rd6	Total number
Experimental development	6	11	0	14	0	11	42
Feasibility studies	0	6	0	5	0	3	14
Industrial research	51	26	0	46	0	34	157
Not Specified	0	0	56	0	67	16	139
Grand Total	57	43	56	65	67	64	352

14. The count of successful projects by research category.

Table 4 – Question 14

Research Category	Rd1	Rd2	Rd3	Rd4	Rd5	Rd6	Total number
Experimental development	1	4	0	5	0	2	12
Feasibility studies	0	0	0	2	0	2	4
Industrial research	20	13	0	20	0	6	59
Not Specified	0	0	29	0	28	13	70
Grand Total	21	17	29	27	28	23	145

15. The number of assessed applications by innovation area.

Table 5 – Question 15

Innovation Area	Rd1	Rd2	Rd3	Rd4	Rd5	Rd6	Total number
Not Specified	57	43	16	1	67	16	200
Additive layer manufacturing (ALM)	0	0	0	1	0	0	1
Advanced therapies	0	0	2	2	0	4	8
Aerospace	0	0	0	2	0	0	2
Agricultural productivity	0	0	1	1	0	1	3
Biosciences	0	0	4	3	0	8	15
Connected and autonomous vehicles	0	0	1	0	0	0	1
Creative industries	0	0	2	3	0	1	6
Diagnostics, medical technology and devices	0	0	9	9	0	6	24
Digital health	0	0	2	6	0	3	11
Digital industries	0	0	3	6	0	2	11
Digital manufacturing	0	0	0	0	0	1	1
Digital technology	0	0	6	4	0	3	13
Emerging technology	0	0	0	3	0	1	4
Energy - other	0	0	0	2	0	0	2
Energy and automotive	0	0	0	1	0	0	1
Energy efficiency	0	0	1	2	0	0	3
Energy systems	0	0	0	1	0	2	3
Independent living and wellbeing	0	0	1	0	0	0	1
Low carbon vehicles	0	0	1	0	0	0	1
Material recovery and treatment	0	0	0	1	0	5	6
Materials, process and manufacturing design technologies	0	0	2	2	0	2	6
Nanotechnology / nanomaterials	0	0	0	0	0	1	1
Offshore wind	0	0	1	0	0	0	1
Polymers and plastics	0	0	1	1	0	1	3
Precision medicine	0	0	0	0	0	1	1
Preclinical technologies and drug target discovery	0	0	0	0	0	1	1
Resource efficiency	0	0	0	3	0	0	3
Robotics and autonomous systems	0	0	1	2	0	0	3
Satellite applications	0	0	0	1	0	1	2
Smart infrastructure	0	0	0	1	0	0	1
Space technology	0	0	1	0	0	0	1
Sustainable materials	0	0	1	3	0	0	4
Therapeutic and medicine development	0	0	0	4	0	4	8
Grand Total	57	43	56	65	67	64	352

16. The number of successful projects by innovation area.

Table 6 – Question 16

Innovation Area	Rd1	Rd2	Rd3	Rd4	Rd5	Rd6	Total number
Additive layer manufacturing (ALM)	0	0	0	1	0	0	1
Advanced therapies	0	0	2	2	0	1	5
Agricultural productivity	0	0	1	1	0	1	3
Biosciences	0	0	3	0	0	2	5
Connected and autonomous vehicles	0	0	1	0	0	0	1
Creative industries	0	0	0	3	0	0	3
Diagnostics, medical technology and devices	0	0	7	2	0	0	9
Digital health	0	0	1	3	0	0	4
Digital industries	0	0	2	1	0	1	4
Digital technology	0	0	5	2	0	0	7
Emerging technology	0	0	0	2	0	0	2
Energy efficiency	0	0	1	2	0	0	3
Energy systems	0	0	0	1	0	1	2
Independent living and wellbeing	0	0	1	0	0	0	1
Low carbon vehicles	0	0	1	0	0	0	1
Material recovery and treatment	0	0	0	1	0	2	3
Materials, process and manufacturing design technologies	0	0	1	1	0	0	2
None	21	17	0	0	0	0	38
Not Specified	0	0	0	0	28	13	41
Polymers and plastics	0	0	0	1	0	0	1
Precision medicine	0	0	0	0	0	1	1
Resource efficiency	0	0	0	1	0	0	1
Robotics and autonomous systems	0	0	1	0	0	0	1
Satellite applications	0	0	0	1	0	0	1
Space technology	0	0	1	0	0	0	1
Sustainable materials	0	0	1	2	0	0	3
Therapeutic and medicine development	0	0	0	0	0	1	1
Grand Total	21	17	29	27	28	23	145

17. The number of unsuccessful applicants, if any, which scored above the minimum funded score. ⁴

Table 7 – Question 17

Question	Future Economy Investor Partnerships SME Rd 1	Future Economy Investor Partnerships SME: Rd 2	Future Economy Investor Partnerships SME: Rd 3	Innovate UK Investor Partnerships: SME Rd 4	Innovate UK Investor Partnerships: SME Rd 5	Innovate UK Investor Partnerships: SME Rd 6
17. The number of unsuccessful applicants, if any, which scored above the minimum funded score. ⁴	17	19	16	21	10	19

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact within the next 40 working days:

Head of Information Governance

Email: foi@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: www.ico.org.uk.

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,


Information Governance
Information Rights Team
UK Research and Innovation
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