17 January 2022

Dear ▉▉▉▉▉▉,

Freedom of Information request: FOI2021/00536

Thank you for your Freedom of Information request received on the 22 December in which you requested the following:

Your request:

Since you have mentioned previously that your Innovate UK Smart Grant have been receiving a huge amount of applications which is more highly competitive, could you please make available these number of historical record in November 2020, January 2021, May 2021 and August 2021 competition round on:

- Total number of applications
- The number of successful applicants

And could you please let me know if there is any public sample successful application in the past I can access?

Our response:

I can confirm UK Research and Innovation (UKRI) hold the information relevant to your request. Please see the information below.

Under Section 21 of the FOIA, information already reasonably accessible, the following information you have requested is already available in the public domain:

- Total number of applications and number of successful applicants to Innovate UK (IUK) Smart Grant competitions in [November 2020][1], [January 2021][2] and [May 2021][3].

UKRI actively publish information on the FOI requests that are received. A copy of the request and response sent by UKRI is available on [UKRI's FOI disclosure log][4] which contains all FOI requests from April 2018 to November 2021. This includes a number of requests relating to the IUK Smart Grant competitions covering a wide range of information.

Section 21 is an absolute exemption which means that there is no requirement to conduct a public interest test.

Applications were notified of the results of the August 2021 competition on 17 December 2021 and as such, the requested information for this competition can be found in Table 1.

Please note that:

Stream 1: Projects 18 months or less in duration, with total project cost of £500k or less
Stream 2: Projects either over 18 months in duration and/or £500k project costs

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[1] https://ukri.disclosurelog.co.uk/case/1b92aabc-2cda-4a12-acbb-cb33677e4272
[2] https://ukri.disclosurelog.co.uk/case/865a446d-b3b9-415b-b342-e08b910bd061
[3] https://ukri.disclosurelog.co.uk/case/366d7a25-79d6-45b6-91fb-4fd7aae4721f
[4] https://ukri.disclosurelog.co.uk/

Table 1: Smart Grant August 2021 applications

	Number of applications submitted*	Number of applications assessed	Number of successful applications
Stream 1		629	64
Stream 2		77	10
Total	815	706	74

* applications are not broken down into streams prior to assessment

IUK do not make copies of applications to its competition's public, however case studies[5] that relate to all IUK competitions are available from www.gov.uk.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance
Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: http://www.ico.gov.uk/

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: https://www.ukri.org/about-us/policies-and-standards/complaints-policy/

Yours sincerely,

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Information Governance
Information Rights Team
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