**UK Research and Innovation**

19 January 2021

Dear ███████,

**Freedom of Information request: FOI2021/00009**

Thank you for your Freedom of Information request received on the 11 January in which you requested the following:

**Your request:**

*If the information is available and you are able to share it could you please answer the following requests: For all the SMART 2020 competitions, starting with the January 2020 competition for both the 18 month streams:*

1. *The number of applications*
2. *The number of successful applications*
3. *The success rate*
4. *The minimum successful score*
5. *The maximum successful score*
6. *Maximum score of failed applications*
7. *Average grant fund value per project*
8. *Total funds allocated*
9. *The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70-75, 76-80, 81-85,86+)*
10. *The count of successful projects by innovation area for each strand.*

*I would also like to request the number of unsuccessful applications, if any, which scored above the minimum funded score, please.*

*Please may this be presented by competition.*

**Our response:**

I can confirm UK Research and Innovation (UKRI) hold some of the information relevant to your request. Please see the information below.

The assessment period of the August 2020 Smart Grant competition completed on Tuesday 12 January 2021 and the funders panel for this competition is due to be held on Tuesday 2 February, therefore the information being requested for this competition is not yet available. Notifications are then due to be issued on 5 February and feedback released on 12 February. As such, data for the August 2020 Smart Grant competition will not be available until 12 February at the earliest.

Data, as requested, for the January 2020 Smart Grant competition is below.

Please note that:

**Stream 1:** Projects 18 months or less in duration, with total project cost of £500k or less
**Stream 2:** Projects either over 18 months in duration and/or £500k project costs

1. *The number of applications*

   There were 2728 applications submitted, 288 of these were ineligible. Ineligible applications are not split into streams, therefore only the total number of eligible applications received is available per stream:

   | Stream | Number of eligible applications submitted |
   |---|---|
   | Stream 1 | 2089 |
   | Stream 2 | 351 |
   | Total | 2440 |

2. *The number of successful applications*

   | Stream | Number of successful applications |
   |---|---|
   | Stream 1 | 129 |
   | Stream 2 | 23 |
   | Total | 152 |

3. *The success rate*

   | Stream | Success Rate |
   |---|---|
   | Stream 1 | 6.2% |
   | Stream 2 | 6.6% |

4. *The minimum successful score*

   | Stream | Highest scoring funded project | Lowest scoring funded project | Highest scoring non-funded project |
   |---|---|---|---|
   | Stream 1 | 91.2% | 82.6% | 82.4% |
   | Stream 2 | 91.4% | 85% | 84.8% |

5. *The maximum successful score*

   Please see Q4.

6. *Maximum score of failed applications*

   Please see Q4.

7. *Average grant fund value per project*

   | Stream | Average Grant Value |
   |---|---|
   | Stream 1 | £266,701 |
   | Stream 2 | £707,714 |

8. *Total funds allocated*

   | Stream | Total Funds Allocated |
   |---|---|
   | Stream 1 | £34,404,429 |
   | Stream 2 | £16,277,422 |

9. *The score distributions of the applications (e.g. % (or number) of applications which scored <70, 71-75, 76-80, 81-85, 86+)*

**Stream 1**

| Score (%) | Number of Applications | Distribution of Applications |
|---|---|---|
| 0 – 70 | 1259 | 60.3% |
| 70.1 – 75 | 300 | 14.4% |
| 75.1 – 80 | 286 | 13.7% |
| 80.1 – 85 | 196 | 9.4% |
| 85.1 – 100 | 48 | 2.3% |

**Stream 2**

| Score (%) | Number of Applications | Distribution of Applications |
|---|---|---|
| 0 – 70 | 103 | 29.3% |
| 70.1 – 75 | 65 | 18.5% |
| 75.1 – 80 | 85 | 24.2% |
| 80.1 – 85 | 78 | 22.2% |
| 85.1 – 100 | 20 | 5.7% |

10. *The count of successful projects by innovation area for each strand*

**Stream 1**

| Innovation Area | Number of Successful Applications |
|---|---|
| Advanced therapies | 2 |
| Agricultural productivity | 2 |
| Assembly / disassembly / joining | 2 |
| Biosciences | 5 |
| Chemical / bio processes | 2 |
| Composite materials | 1 |
| Connected and autonomous vehicles | 1 |
| Connected transport | 1 |
| Creative industries | 9 |
| Diagnostics, medical technology and devices | 11 |
| Digital health | 9 |
| Digital industries | 16 |
| Digital technology | 17 |
| Electronics manufacturing | 4 |
| Electronics, sensors and photonics | 1 |
| Emerging technology | 4 |
| Energy - other | 4 |
| Energy efficiency | 6 |
| Energy systems | 1 |
| Enhancing food quality | 1 |
| Low carbon vehicles | 1 |
| Marine transport | 1 |

| | |
|---|---|
| Material recovery and treatment | 2 |
| Materials, process and manufacturing design technologies | 2 |
| Metals / metallurgy | 1 |
| Nanotechnology / nanomaterials | 2 |
| Nuclear fission | 1 |
| Offshore wind | 4 |
| Polymers and plastics | 3 |
| Precision medicine | 2 |
| Preclinical technologies and drug target discovery | 1 |
| Resource efficiency | 1 |
| Robotics and autonomous systems | 1 |
| Sensor and instrument design or manufacture | 1 |
| Smart infrastructure | 1 |
| Space technology | 1 |
| Therapeutic and medicine development | 2 |
| Urban living | 1 |
| Other/unspecified | 2 |

**Stream 2**

| Innovation Area | Number of Successful Applications |
|---|---|
| Additive layer manufacturing (ALM) | 3 |
| Biosciences | 1 |
| Chemical / bio processes | 1 |
| Composite materials | 2 |
| Diagnostics, medical technology and devices | 1 |
| Digital health | 1 |
| Digital industries | 1 |
| Emerging technology | 1 |
| Energy efficiency | 1 |
| Enhancing food quality | 1 |
| Material recovery and treatment | 1 |
| Offshore wind | 1 |
| Resource efficiency | 1 |
| Robotics and autonomous systems | 2 |
| Sensor and instrument design or manufacture | 1 |
| Smart infrastructure | 1 |
| Surface engineering, coatings and thin films | 1 |
| Sustainable materials | 1 |
| Therapeutic and medicine development | 1 |

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance
Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: http://www.ico.gov.uk/

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: https://www.ukri.org/about-us/policies-and-standards/complaints-policy/

Yours sincerely,

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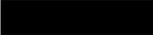
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