

29 April 2024

Dear [REDACTED],

**Freedom of Information request: FOI2024/00273**

Thank you for your Freedom of Information request received on the 28 March in which you requested the following:

**Your request:**

*If the information is available and you are able to share it could you please answer the following requests:  
For Smart Grants September 2023, by stream:*

1. The total number of submitted applications
2. The number of assessed applications
3. The number of successful applications
4. The success rate
5. the minimum successful score
6. the maximum successful score
7. maximum score of failed applications
8. average grant fund value per project
9. the score distributions of the applications (e.g. % (or number) of applications which scored <70, 71-75, 76-80, 81-85, 86+)
10. the count of applications by innovation area
11. the count of successful projects by innovation area
12. The number of successful projects that were a consortium of 2 partners
13. The number of successful projects that were a consortium of 3+ partners
14. The number of successful projects which included a university or research partner
15. The number of applications which included a university or research partner
16. the number of 1) applications and 2) successful projects with total project cost within £100k increments
17. I would also like to request the number of unsuccessful applications, if any, which scored above the minimum funded score, please.

**Our response:**

I can confirm that UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

**Table 1: Questions 1-8**

Question	Stream 1: 6-18 Months	Stream 2: 19-36 Months	Total
1. The total number of submitted applications	1259	284	1543
2. The number of assessed applications	1134	126	1260
3. The number of successful applications	62	6	68
4. The success rate	5.5%	4.8%	5.4%
5. The minimum successful score	84.4%	86.1%	86.1%
6. The maximum successful score	92.8%	87.8%	92.8%
7. Maximum score of failed applications	83.9%	85.6%	85.6%
8. Average grant fund value per project <sup>1</sup>	£301,728.89	£797,203.67	£345,447.25

<sup>1</sup> Average value of funding sought for 'successful' applications at the assessment stage. 'Successful' applications are still subject to due diligence process and may not be funded.

**Table 2: Question 9 – The score distributions of the applications (e.g. % (or number) of applications which scored <70, 71-75, 76-80, 81-85, 86+)**

Score Range	Stream 1: 6-18 Months		Stream 2: 19-36 Months		Total	
	Number	Percentage	Number	Percentage	Number	Percentage
<=70.99	613	54.1%	45	35.7%	658	52.2%
71-75.99	187	16.5%	24	19.0%	211	16.7%
76-80.99	185	16.3%	27	21.4%	212	16.8%
81-85.99	106	9.3%	24	19.0%	130	10.3%
86+	43	3.8%	6	4.8%	49	3.9%
<b>Total</b>	<b>1134</b>	<b>100%</b>	<b>126</b>	<b>100%</b>	<b>1260</b>	<b>100%</b>

**Table 3: Question 10 – The count of applications by innovation area**

Innovation Area	Stream 1: 6-18 Months	Stream 2: 19-36 Months	Total
Additive layer manufacturing (ALM)	4	1	5
Advanced therapies	4	0	4
Aerospace	6	0	6
Agricultural productivity	10	5	15
Assembly / disassembly / joining	1	0	1
Biosciences	29	8	37
Ceramic / electronic / functional materials	0	1	1
Chemical / bio processes	10	5	15
Composite materials	7	4	11
Connected and autonomous vehicles	4	1	5
Connected transport	5	1	6
Creative industries	49	3	52
Diagnostics, medical technology and devices	49	12	61
Digital health	103	10	113
Digital industries	308	4	312
Digital manufacturing	7	0	7
Digital technology	196	6	202
Electronic materials and manufacturing	4	0	4
Electronics manufacturing	2	0	2
Electronics, sensors and photonics	10	1	11
Emerging technology	53	6	59
Energy - other	15	3	18
Energy and automotive	7	0	7
Energy efficiency	25	5	30
Energy systems	17	5	22
Enhancing food quality	7	0	7
Forming technologies	1	0	1
Independent living and wellbeing	11	0	11
Low carbon vehicles	13	1	14
Marine transport	2	0	2
Material recovery and treatment	10	4	14
Materials, process and manufacturing design technologies	21	2	23
Metals / metallurgy	0	1	1
Nanotechnology / nanomaterials	4	0	4
Not specified	52	5	57
Offshore wind	4	1	5
Other transport	7	5	12
Polymers and plastics	4	2	6
Precision medicine	2	1	3

Preclinical technologies and drug target discovery	4	2	6
Rail transport	9	3	12
Resource efficiency	9	8	17
Robotics and autonomous systems	10	2	12
Satellite applications	3	0	3
Sensor and instrument design or manufacture	2	0	2
Smart infrastructure	8	2	10
Surface engineering	3	0	3
Surface engineering, coatings and thin films	1	0	1
Sustainable materials	5	2	7
Therapeutic and medicine development	4	2	6
Urban living	13	2	15
<b>Total</b>	<b>1134</b>	<b>126</b>	<b>1260</b>

**Table 4: Question 11 – The count of successful applications by innovation area**

Innovation Area	Stream 1: 6-18 Months	Stream 2: 19-36 Months	Total
Advanced therapies	1	0	1
Agricultural productivity	0	1	1
Chemical / bio processes	1	1	2
Composite materials	2	0	2
Creative industries	7	1	8
Diagnostics, medical technology and devices	8	2	10
Digital health	2	0	2
Digital industries	18	0	18
Digital technology	5	0	5
Electronics manufacturing	1	0	1
Electronics, sensors and photonics	1	0	1
Emerging technology	4	0	4
Energy - other	1	0	1
Energy efficiency	1	0	1
Energy systems	1	0	1
Enhancing food quality	1	0	1
Independent living and wellbeing	1	0	1
Material recovery and treatment	2	1	3
Not specified	5	0	5
<b>Total</b>	<b>62</b>	<b>6</b>	<b>68</b>

**Table 5: Questions 12-15 & 17**

Question	Stream 1: 6-18 Months	Stream 2: 19-36 Months	Total
12. The number of successful projects that were a consortium of 2 partners	6	2	8
13. The number of successful projects that were a consortium of 3+ partners	1	4	5
14. The number of successful projects which included a university or research partner	1	0	1
15. The number of applications which included a university or research partner	1	0	1
17. The number of unsuccessful applications, if any, which scored above the minimum funded score <sup>2</sup>	0	0	0

<sup>2</sup> The number of unsuccessful applications with a score greater than or equal to the minimum score of successful.

**Table 6: Question 16 - The number of 1) applications and 2) successful projects with total project cost within £100k increments**

Funding Increments	Stream 1: 6-18 Months		Stream 2: 19-36 Months		Total	
	Applications	Successful	Applications	Successful	Applications	Successful
<£100000	87	0	0	0	87	0
£100000-£199999	217	6	1	0	218	6
£200000-£299999	309	16	5	0	314	16
£300000-£399999	521	40	7	0	528	40
£400000-£499999	0	0	13	1	13	1
£500000-£599999	0	0	12	1	12	1
£600000-£699999	0	0	11	1	11	1
£700000-£799999	0	0	13	0	13	0
£800000-£899999	0	0	17	1	17	1
£900000-£999999	0	0	8	0	8	0
£1000000-£1099999	0	0	11	1	11	1
£1100000-£1199999	0	0	7	0	7	0
£1200000-£1299999	0	0	4	1	4	1
£1300000-£1399999	0	0	8	0	8	0
£1400000-£1499999	0	0	6	0	6	0
£1500000-£1599999	0	0	3	0	3	0
£1600000-£1699999	0	0	0	0	0	0
£1700000-£1799999	0	0	0	0	0	0
£1800000-£1899999	0	0	0	0	0	0
£1900000-£2000000	0	0	0	0	0	0
<b>Total</b>	<b>1134</b>	<b>62</b>	<b>126</b>	<b>6</b>	<b>1260</b>	<b>68</b>

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact within the next 40 working days:

Head of Information Governance


Email: [foi@ukri.org](mailto:foi@ukri.org)

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: [www.ico.org.uk](http://www.ico.org.uk).

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,

  
Information Governance  
Information Rights Team  
UK Research and Innovation  
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