26 April 2023

Dear ███████████

Freedom of Information request: FOI2023/00173

Thank you for your Freedom of Information request received on the 30 March in which you requested the following:

Your request:

For Innovate UK's Smart Grants: October 2022 competition (both the <18 month (<£500k) and >18 month streams), please could you release the following information:

1. *The number of applications.*
2. *The number of assessed applications.*
3. *The number of successful applications.*
4. *The success rate.*
5. *The minimum successful score.*
6. *The maximum successful score.*
7. *Maximum score of failed applications.*
8. *Average grant fund value per project.*
9. *Average score of funded projects.*
10. *The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1 80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).*
11. *The count of assessed applications by innovation area.*
12. *The count of successful projects by innovation area for each strand.*
13. *The count of assessed applications by research category (feasibility studies, industrial research, experimental development).*
14. *The count of successful projects by research category.*
15. *The number of unsuccessful applications, if any, which scored above the minimum funded score.*

Our response

I can confirm that UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

	Stream 1 (6-18 months)	Stream 2 (19-36 months)	
1. The number of applications.	Applications are not divided by stream prior to eligibility checks being completed. There were 902 applications submitted.		
2. The number of assessed applications.	714	71	Total: 785
3. The number of successful applications.	32	6	Total: 38
4. The success rate.	4.62%	8.33%	
5. The minimum successful score.	84.30%	80.70%	
6. The maximum successful score.	92%	89.20%	
7. Maximum score of failed applications.	84%	80.30%	

8. Average grant fund value per project.	£317,379.00	£817,520.00	
9. Average score of funded projects.	86.95%	85.50%	

10. The **<u>score distributions</u>** of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100).

%	Stream 1 (6-18 months)	Stream 2 (19-36 months)
<70	403	31
70.1-75	137	17
75.1-80	94	15
80.1-85	57	5
85.1-90	21	3
90.1-95	2	0
95.1-100	0	0

11. The count of **<u>assessed</u>** applications by innovation area.

Stream 1 (6-18 months)

Innovation Area	Total
Advanced therapies	4
Aerospace	5
Agricultural productivity	13
Assembly / disassembly / joining	1
Biosciences	24
Chemical / bio processes	2
Composite materials	3
Connected and autonomous vehicles	2
Connected transport	5
Creative industries	23
Diagnostics, medical technology and devices	36
Digital health	69
Digital industries	196
Digital manufacturing	6
Digital technology	102
Electronic materials and manufacturing	4
Electronics manufacturing	1
Electronics, sensors and photonics	5
Emerging technology	25
Energy - other	22
Energy and automotive	2
Energy efficiency	21
Energy systems	10
Enhancing food quality	5
Forming technologies	2
Independent living and wellbeing	5
Low carbon vehicles	3
Marine transport	3
Material recovery and treatment	4

Stream 2 (19-36 months)

Innovation Area	Total
Additive layer manufacturing (ALM)	1
Agricultural productivity	4
Biosciences	4
Composite materials	1
Connected transport	1
Creative industries	4
Diagnostics, medical technology and devices	8
Digital health	3
Digital industries	6
Digital technology	6
Electronics manufacturing	1
Emerging technology	1
Energy - other	3
Energy efficiency	1
Energy systems	1
Independent living and wellbeing	1
Low carbon vehicles	3
Material recovery and treatment	2
Materials, process and manufacturing design technologies	1
Metals / metallurgy	1
Nanotechnology / nanomaterials	1
Offshore wind	1
Polymers and plastics	1
Resource efficiency	2
Robotics and autonomous systems	2
Smart infrastructure	3
Surface engineering, coatings and thin films	1
Sustainable materials	2

Materials, process and manufacturing design technologies	7	
Metals / metallurgy	1	
Nanotechnology / nanomaterials	2	
Offshore wind	2	
Other transport	1	
Polymers and plastics	2	
Precision medicine	2	
Preclinical technologies and drug target discovery	4	
Rail transport	2	
Resource efficiency	9	
Robotics and autonomous systems	9	
Satellite applications	4	
Sensor and instrument design or manufacture	1	
Smart infrastructure	13	
Space technology	1	
Sustainable materials	8	
Therapeutic and medicine development	3	
Urban living	8	
Not Specified	32	
Grand Total	**714**	

Therapeutic and medicine development	1
Urban living	1
Not Specified	3
Grand Total	**71**

12. The count of **_successful_** projects by innovation area for each strand.

Stream 1 (6-18 months)

Innovation Area	Total
Agricultural productivity	1
Biosciences	5
Diagnostics, medical technology and devices	3
Digital health	1
Digital industries	4
Digital technology	7
Electronics, sensors and photonics	1
Enhancing food quality	1
Independent living and wellbeing	1
Preclinical technologies and drug target discovery	3
Sustainable materials	2
Therapeutic and medicine development	1
Not Specified	2
Grand Total	**32**

Stream 2 (19-36 months)

Innovation Area	Total
Additive layer manufacturing (ALM)	1
Offshore wind	1
Resource efficiency	2
Robotics and autonomous systems	1
Sustainable materials	1
Grand Total	**6**

13. The count of __assessed__ applications by research category (feasibility studies, industrial research, experimental development).

6-18 months

Research Category	Count
Experimental development	111
Feasibility studies	118
Industrial research	485
Grand Total	**714**

19-36 months

Research Category	Count
Experimental development	9
Feasibility studies	8
Industrial research	54
Grand Total	**71**

14. The count of __successful__ projects by research category.

6-18 months

Research Category	Total
Experimental development	2
Feasibility studies	4
Industrial research	26
Grand Total	**32**

19-36 months

Research Category	Total
Industrial research	6
Grand Total	**6**

15. The number of unsuccessful applications, if any, which scored above the minimum funded score.

Smart funds on a top-down basis based on the scores provided by the independent assessors and split across the two streams as detailed in the online competition brief. There are therefore no applications that scored above the minimum funded score and were unsuccessful.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance
Email: foi@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: www.ico.org.uk.

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: https://www.ukri.org/about-us/policies-and-standards/complaints-policy/

Yours sincerely,

Information Governance
Information Rights Team
UK Research and Innovation
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