



[REDACTED]

13 January 2022

Dear [REDACTED],

**Freedom of Information request: FOI2021/00514**

Thank you for your Freedom of Information request received on the 10 December in which you requested the following:

**Your request:**

*Thanks a lot for your reply. I am happy to provide a refined request in line with other FOI requests previously granted (<https://ukri.disclosurelog.co.uk/case/865a446d-b3b9-415b-b342-e08b910bd061> and <https://ukri.disclosurelog.co.uk/case/ce09676a-ef04-4e0a-829d-35e185fb0372>) and limiting the number of individual scores requested to 40 as suggested.*

*For the most recent two rounds of Smart Grants, could you provide:*

1. *The number of applications*
2. *The number of successful applications*
3. *The success rate*
4. *The minimum successful score*
5. *The top 40 individual scores*
6. *The count of successful projects by innovation area for each strand*
7. *The regional breakdown of all submitted applications*
8. *The regional breakdown of successful applications*

*7 and 8 can also be merged into a breakdown of regional success rates, if preferable.*

**Our response**

I can confirm UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

**Table 1: Questions 1-4 SMART January 2021**

Question	SMART January 2021	Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)	Total
1	The number of applications*	2014	317	2331
2	The number of successful applications	63	11	74
3	The success rate	3.13%	3.47%	
4	The minimum successful score	85.60%	87.40%	

\*This is for eligible applications only.

**Table 2: Questions 1-4 SMART May 2021**

Question	SMART May 2021	Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)	Total
1	The number of applications*	860	152	1012
2	The number of successful applications	61	11	72
3	The success rate	7.10%	7.24%	
4	The minimum successful score	84.20%	84.80%	

\*This is for eligible applications only.

**Table 3: Question 5 - Top 40 Individual Scores**

SMART January 2021	SMART May 2021
92.5	91.8
91.5	91.4
91.2	90.5
90.8	89.6
90.6	89.2
90	89
89.4	88.8
89.4	88.8
89.3	88.4
89.3	88.4
89.3	88.4
89.2	88.4
89	88.25
89	88.2
89	88.2
89	88.2
89	88
88.8	87.4
88.8	87
88.6	87
88.6	86.8
88.5	86.8
88.4	86.6
88.4	86.6
88.2	86.6
88.2	86.6
88	86.5
88	86.4
88	86.4
88	86.2
88	86.2
87.8	86.2
87.8	86.2
87.8	86
87.6	86
87.6	86
87.6	85.8

87.6	85.8
87.6	85.8
87.4	85.8

**Table 4: Question 6 - The count of successful projects by innovation area for each strand**

**SMART January 2021 Stream 1  
(6 - 18 month projects)**

<b>Innovation Area</b>	<b>Number of applications</b>
Additive layer manufacturing (ALM)	1
Advanced therapies	2
Agricultural productivity	1
Bioscience	3
Connected transport	1
Creative industries	1
Diagnostics, medical technology and devices	4
Digital health	2
Digital industries	12
Digital manufacturing	4
Digital technology	8
Electronics, sensors and photonics	1
Emerging technologies	1
Energy - other	1
Energy efficiency	3
Independent living and wellbeing	1
Materials, process and manufacturing design technologies	1
Metals / metallurgy	1
Not Specified	4
Offshore wind	1
Polymers and plastics	2
Robotics and autonomous systems	1
Smart infrastructure	2
Space technologies	1
Sustainable materials	1
Therapeutic and medicine development	1
Transport - Marine	1
Urban living	1
<b>Grand Total</b>	<b>63</b>

**SMART January 2021 Stream 2  
(19 - 36 month projects)**

<b>Innovation Area</b>	<b>Number of applications</b>
Additive layer manufacturing (ALM)	1
Aerospace	1
Agricultural productivity	1
Digital health	2
Digital technology	1
Materials, process and manufacturing design technologies	1
Metals / metallurgy	1
Robotics and autonomous systems	2
Surface engineering, coatings and thin films	1
<b>Grand Total</b>	<b>11</b>

**Table 5: Question 6 - The count of successful projects by innovation area for each strand**

<b>SMART May 2021 Stream 1 (6 - 18 month projects)</b>		<b>SMART May 2021 Stream 2 (19 - 36 month projects)</b>	
<b>Innovation Area</b>	<b>Number of applications</b>	<b>Innovation Area</b>	<b>Number of applications</b>
Agricultural productivity	3	Biosciences	1
Assembly / disassembly / joining	1	Creative industries	1
Biosciences	5	Diagnostics, medical technology and devices	1
Chemical / bio processes	1	Digital industries	1
Composite materials	1	Energy - other	1
Connected transport	2	Energy efficiency	1
Creative industries	2	Low carbon vehicles	1
Diagnostics, medical technology and devices	4	Material recovery and treatment	1
Digital health	2	Resource efficiency	2
Digital industries	5	Sustainable materials	1
Digital manufacturing	1	<b>Grand Total</b>	<b>11</b>
Digital technology	7		
Electronics, sensors and photonics	2		
Emerging technology	3		
Energy efficiency	1		
Low carbon vehicles	2		
Marine transport	1		
Material recovery and treatment	1		
Materials, process and manufacturing design technologies	2		
Nanotechnology / nanomaterials	1		
Offshore wind	2		
Precision medicine	1		
Rail transport	1		
Resource efficiency	2		
Robotics and autonomous systems	2		
Surface engineering, coatings and thin films	1		
Sustainable materials	2		
Not specified	3		
<b>Grand Total</b>	<b>61</b>		

**Table 6: Question 7 and 8 - The regional breakdown of all submitted and successful applications (SMART January 2021)**

<b>SMART January 2021 All applications</b>		<b>SMART January 2021 Successful applications</b>	
<b>Region</b>	<b>Number of applications</b>	<b>Region</b>	<b>Number of applications</b>
East Midlands	95	East Midlands	5
East of England	185	East of England	5
London	796	London	23

North East	43
North West	171
Northern Ireland	31
Scotland	143
South East	355
South West	171
Wales	59
West Midlands	118
Yorkshire and The Humber	100
Unknown	64
<b>Grand Total</b>	<b>2331</b>

North East	1
North West	8
Northern Ireland	1
Scotland	2
South East	10
South West	6
Wales	2
West Midlands	4
Yorkshire and The Humber	6
Unknown	1
<b>Grand Total</b>	<b>74</b>

**Table 7: Question 7 and 8 - The regional breakdown of all submitted and successful applications (SMART May 2021)**

**SMART May 2021 All applications**

Region	Number of applications
East Midlands	40
East of England	95
London	328
North East	20
North West	69
Northern Ireland	11
Scotland	53
South East	155
South West	80
Unknown	26
Wales	43
West Midlands	51
Yorkshire and The Humber	41
<b>Grand Total</b>	<b>1012</b>

**SMART May 2021  
Successful applications**

Region	Number of applications
East Midlands	4
East of England	11
London	22
North West	8
Northern Ireland	1
Scotland	3
South East	6
South West	8
Wales	3
West Midlands	1
Yorkshire and The Humber	5
<b>Grand Total</b>	<b>72</b>

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance

Email: [foi@ukri.org](mailto:foi@ukri.org) or [infogovernance@ukri.org](mailto:infogovernance@ukri.org)

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: <http://www.ico.gov.uk/>

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,

[Redacted Signature]

Information Governance  
Information Rights Team  
UK Research and Innovation  
[foi@ukri.org](mailto:foi@ukri.org) | [dataprotection@ukri.org](mailto:dataprotection@ukri.org)