



[REDACTED]

16 November 2022

Dear [REDACTED],

Freedom of Information request: FOI2022/00349

Thank you for your Freedom of Information request received on the 24 October in which you requested the following:

Your request:

Good afternoon,

Last year, I made a request for data pertaining to accepted and rejected funding applications for archaeology and heritage projects across the UKRI (reference FOI2021/00494). Today, I am asking for the same information, including applications that have been made up to the current year.

Clarification request 24 October 2022:

Please could you clarify whether you require the same information provided in FOI2021/00494 for the period 2020-2022 as follows:

- 1) Successful and unsuccessful applications received in the area of archaeology and heritage*
- 2) The number of unsuccessful applications broken down by the stage at which applications were rejected (initial sift, peer review, panel review, etc.).*
- 3) The total number of applications (successful and unsuccessful) broken down by the type of funding offered, particularly whether grants were made for Early Career Researchers vs Standard Grants*

Clarification Response received: 24 October 2022

Thanks for your response. I can confirm that this is the data that I am requesting. I am also requesting that this data is broken down by sub-discipline as per your response to my previous request.

Our response

I can confirm that UK Research and Innovation (UKRI) holds some information relevant to your request. Please see the information below.

Information in relation to part 1 of your request for successful and unsuccessful applications received in the area of archaeology and heritage for the period 2020/2022 is provided in table 1, broken down into sub-categories.

Table 1: Applications received in the area of archaeology and heritage

UKRI Funded												
Year ¹ (2020-2022)	Archaeological Theory	Archaeology of Human Origins	Archaeology of Literate Soc.	Industrial Archaeology	Landscape & Environ. Archaeology	Maritime Archaeology	Palaeobiology	Prehistoric Archaeology	Quaternary Science	Science-Based Archaeology	Heritage Management	Total ²
Total³	1	1	5	0	3	1	9	4	2	11	59	114
UKRI Unfunded												
Total³	2	4	12	0	16	0	22	11	3	23	319	452

Notes

¹ Data for 2022 is incomplete as many grants are still in progress

² Total does not equal the sum of each subject area as some councils across UKRI are not able to classify some grants to specific types, due to them not being part of their standard classification system. Totals do not represent the total number of grants as some grants fit in two or more subject classifications.

³ Subject totals do not represent the total number of grants as some grants fit in two or more subject classifications.

- Figures include grants from AHRC, BBSRC, ESRC, Innovate UK, NERC, and Future Leaders Fellowships (FLF). Other councils recorded a nil return.

Information in relation to part 2 of your request for the number of unsuccessful applications broken down by the stage at which applications were rejected is provided in table 2:

Table 2: Unsuccessful applications in the area of archaeology and heritage by rejection stage

Rejection Stage ¹	Archaeological Theory	Archaeology of Human Origins	Archaeology of Literate Soc.	Industrial Archaeology	Landscape & Environ. Archaeology	Maritime Archaeology	Palaeobiology	Prehistoric Archaeology	Quaternary Science	Science-Based Archaeology	Heritage Management	Total ²
Fundable, but not Funded	1	1	7	0	5	0	0	6	0	2	59	87
Interview	0	0	0	0	0	0	0	0	0	0	0	0
Panel Meeting	1	2	2	0	6	0	22	4	3	15	8	66
Office Review	0	1	1	0	4	0	0	0	0	3	39	51
Peer Review	0	0	2	0	1	0	0	1	0	3	213	248
Expression of Interest	0	0	0	0	0	0	0	0	0	0	0	0
Unknown	0	0	0	0	0	0	0	0	0	0	0	0
Total³	2	4	12	0	16	0	22	11	3	23	319	452

Notes

¹ Each Research Council has a different process to review applications, so not all applications included in the data above will have gone through the same selection process.

² Total does not equal the sum of each subject area as some councils across UKRI are not able to classify some grants to specific types, due to them not being part of their standard classification system. Totals do not represent the total number of grants as some grants fit in two or more subject classifications.

³ Subject totals do not represent the total number of grants as some grants fit in two or more subject classifications.

- Figures include grants from AHRC, BBSRC, ESRC, Innovate UK, NERC, and Future Leaders Fellowships (FLF). Other councils recorded a nil return.

Information in relation to part 3 of your request for the total number of applications broken down by type of funding offered is provided in table 3. Due to the range of different councils that these applications are applicable to and considering the types of funding opportunities that are available across a wide range of different themes and programmes, we have split the applications into two categories; “Early Career” vs “Other Grants”

Table 3: Total applications by funding type

Funding Type	Number of Applications
Early Career	59
Other Grants	507
Total	566

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance

Email: foi@ukri.org or infogovernance@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: www.ico.org.uk.

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI’s complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,


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