



08 March 2023

Dear [REDACTED]

Freedom of Information request: FOI2023/00076

Thank you for your Freedom of Information request received on the 14 February in which you requested the following:

Your request:

For the following competition could you kindly provide:

Innovate UK Smart Grants: July 2022

(Competition opened: Thursday 28 July 2022, Competition closed: Thursday 3 November 2022).

Split into the 2 streams where possible:

- <18 month stream [<£500k]

- >18 month stream

Application data:

1. Total number of applications
2. Total number of applications ineligible for assessment
3. Total number of successful applications
4. Total number of applications considered a resubmission of a previous application
5. Total number of successful applications which were considered a resubmission of a previous application
6. The success rate
7. The score of the lowest-scoring funded project
8. The score of the highest-scoring funded project
9. The score of the highest non-funded project
10. The average (mean) score of funded projects
11. Average grant fund value of funded projects
12. The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100)
13. The number of assessed applications by research category
14. The number of successful projects by research category
15. The number of assessed applications by innovation area
16. The number of successful projects by innovation area.
17. The number of unsuccessful applicants, if any, which scored above the minimum funded score

Our response

I can confirm that UK Research and Innovation (UKRI) hold information relevant to your request. Please see the information below.

	Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)	Notes
1. The total number of applications	Applications are not divided by stream prior to eligibility checks being completed. There were 1,019 applications submitted.		

2. Total number of applications ineligible for assessment	Applications are not divided by stream prior to eligibility checks being completed. 138 applications were ineligible. The total sent for assessment by stream is 785 for Stream 1 and 96 for Stream 2, total 881.		
3. The total number of successful applications	41	4	
4. Total number of applications considered a resubmission of a previous application	178	22	
5. Total number of successful applications which were considered a resubmission of a previous application	6	1	
6. The success rate	5.2%	4.2%	
7. The minimum successful score	84%	86.25%	
8. The maximum successful score	91.7%	89.1%	
9. Maximum score of failed applications	83.3%	85.8%	
10. Average (mean) score of funded projects	86.3%	87%	
11. Average grant fund value per project	£299,385	£642,938	This is for successful projects only

12. The score distributions of the applications (e.g. % (or number) of applications which scored <70, 70.1-75, 75.1-80, 80.1-85, 85.1-90, 90.1-95, 95.1-100)

%	Stream 1 (6-18 month projects)	Stream 2 (19-36 month projects)
0-70	454	46
70.1-75	122	14
75.1-80	120	14
80.1-85	64	16
85.1-90	21	6
90.1-95	4	0
95.1-100	0	0
<i>Total</i>	<i>785</i>	<i>96</i>

13. The count of assessed applications by research category (feasibility studies, industrial research, experimental development)

- and -

14. The count of successful projects by research category

Stream 1 (6-18 month projects)		
Research category	Number of applications	Number of successful applications
Experimental development	119	1
Feasibility studies	102	2
Industrial research	564	38
<i>Total</i>	<i>785</i>	<i>41</i>

Stream 2 (19 - 36 month projects)		
Research category	Number of applications	Number of successful applications
Experimental development	4	1
Feasibility studies	13	0
Industrial research	79	3

Total	96	4
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15. The count of assessed applications by innovation area

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16. The count of successful projects by innovation area for each strand

Stream 1 (6-18 month projects)		
Innovation area	Number of applications	Number of successful applications
Additive layer manufacturing (ALM)	1	0
Advanced therapies	3	1
Aerospace	5	0
Agricultural productivity	12	1
Assembly / disassembly / joining	2	0
Biosciences	18	1
Ceramic / electronic / functional materials	1	0
Chemical / bio processes	7	0
Composite materials	2	0
Connected and autonomous vehicles	5	2
Connected transport	1	0
Creative industries	31	1
Diagnostics, medical technology and devices	31	3
Digital health	67	4
Digital industries	209	7
Digital manufacturing	4	0
Digital technology	110	4
Electronic materials and manufacturing	3	1
Electronics manufacturing	3	0
Electronics, sensors and photonics	8	0
Emerging technology	28	3
Energy - other	21	0
Energy and automotive	4	0
Energy efficiency	24	0
Energy systems	13	1
Enhancing food quality	3	1
Forming technologies	1	0
Independent living and wellbeing	9	2
Low carbon vehicles	8	1
Marine transport	4	0
Material recovery and treatment	3	1
Materials, process and manufacturing design technologies	10	0
Metals / metallurgy	3	0
Nanotechnology / nanomaterials	3	0
Offshore wind	3	0
Other transport	6	0
Polymers and plastics	3	0
Precision medicine	2	1
Preclinical technologies and drug target discovery	1	0

Rail transport	8	1
Resource efficiency	7	0
Robotics and autonomous systems	5	1
Satellite applications	3	0
Sensor and instrument design or manufacture	3	0
Smart infrastructure	18	0
Space technology	4	1
Surface engineering	2	1
Surface engineering, coatings and thin films	2	0
Sustainable materials	6	0
Therapeutic and medicine development	5	0
Urban living	7	1
Not specified	43	1
<i>Grand Total</i>	<i>785</i>	<i>41</i>

Stream 2 (19 - 36 month projects)		
Innovation area	Number of applications	Number of successful applications
Aerospace	1	0
Agricultural productivity	7	1
Assembly / disassembly / joining	2	0
Biosciences	6	1
Chemical / bio processes	1	0
Composite materials	1	0
Connected and autonomous vehicles	1	0
Diagnostics, medical technology and devices	5	1
Digital health	12	0
Digital industries	4	0
Digital manufacturing	1	0
Digital technology	12	0
Emerging technology	7	0
Energy - other	6	0
Energy efficiency	3	0
Enhancing food quality	1	0
Marine transport	2	0
Material recovery and treatment	1	0
Materials, process and manufacturing design technologies	5	1
Offshore wind	1	0
Polymers and plastics	1	0
Rail transport	1	0
Resource efficiency	2	0
Robotics and autonomous systems	3	0
Sensor and instrument design or manufacture	1	0
Smart infrastructure	2	0
Space technology	1	0
Sustainable materials	2	0
Not specified	4	0

<i>Grand Total</i>	96	4
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17. The number of unsuccessful applications, if any, which scored above the minimum funded score

Smart funds on a top-down basis based on the scores provided by the independent assessors and split across the two streams as detailed in the [online competition brief](#)¹. There are therefore no applications that scored above the minimum funded score and were unsuccessful.

If you have any queries regarding our response or you are unhappy with the outcome of your request and wish to seek an internal review of the decision, please contact:

Head of Information Governance


Email: foi@ukri.org

Please quote the reference number above in any future communications.

If you are still not content with the outcome of the internal review, you may apply to refer the matter to the Information Commissioner for a decision. Generally, the ICO cannot make a decision unless you have exhausted the review procedure provided by UKRI. The Information Commissioner can be contacted at: www.ico.org.uk.

If you wish to raise a complaint regarding the service you have received or the conduct of any UKRI staff in relation to your request, please see UKRI's complaints policy: <https://www.ukri.org/about-us/policies-and-standards/complaints-policy/>

Yours sincerely,


Information Governance
Information Rights Team
UK Research and Innovation
foi@ukri.org | dataprotection@ukri.org

¹ <https://apply-for-innovation-funding.service.gov.uk/competition/1159/overview#summary>